
NEW YORK STATE
REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on July 26, 2020
- the 45-day period expires on July 11, 2020
- the 30-day period expires on June 26, 2020

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Milk and Milk Products

I.D. No. AAM-21-20-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend Part 2 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 16, 18, 46-a, 50-k, 71-a and 71-n

Subject: Milk and Milk Products.

Purpose: To incorporate federal requirements applicable to the processing and manufacture of milk and milk products.

Substance of proposed rule (Full text is posted at the following State website: <https://agriculture.ny.gov/system/files/documents/2020/05/expresstermsmilkandmilkproducts.pdf>): The Proposed rule will amend 1 NYCRR Part 2, as follows:

1. Section 2.1, "Applicability", will be amended to incorporate by reference certain subparts of Title 21 of the Code of Federal Regulations Part 117, headed "Current Good Manufacturing Practice, Hazard Analysis, and risk – based preventive controls for Human Food" ("21 CFR Part 117").

2. Section 2.29, "Definitions", will be amended to add definitions of terms used in provisions of 21 CFR Part 117 that the proposed rule will incorporate by reference or terms of 21 CFR Part 117 that the proposed rule will incorporate by reference or terms used in amendments to sections of 1 NYCRR Part 2 that reflect and repeat similar requirements set forth in 21 CFR Part 117.

3. Section 2.30, "Floors-Walls and Ceilings-Construction", will be amended to update provisions relating to floors, walls, and ceiling construction so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

4. Section 2.31, "Doors and Windows", will be amended to update provisions relating to the entry of vermin so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

5. Section 2.32, "Lighting and Ventilation", will be amended to update provisions relating to lighting and ventilation so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

6. Section 2.33, "Separate Rooms", will be amended to update provisions relating to the construction and operation of milk plants so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

7. Section 2.34, "Toilet-Sewage Disposal Facilities", will be amended to update the provisions relating to toilet facilities, plumbing, and sewage/liquid waste disposal so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

8. Section 2.35, "Water Supply", will be amended to update the provisions relating to a milk plant's water and ice supply to, specifically, prevent allergen cross-contamination, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

9. Section 2.36, "Hand-Washing Facilities", will be amended to update provisions relating to hand washing facilities, specifically to ensure they are located and designed in such a manner to ensure that employees' hands are not a source of contamination, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

10. Section 2.37, "Milk Plant Cleanliness", will be amended to update the provisions relating to milk plant cleanliness, specifically to prevent adulteration, allergen cross-contact, and contamination of food, food-contact surfaces, and food-packaging materials, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

11. Section 2.39, "Construction and Repair of Containers and Equipment", will be amended to update provisions relating to the construction, holding, and installation of containers, equipment, and utensils so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

12. Section 2.40, "Cleaning and Sanitizing of Containers and Equipment", will be amended to update provisions relating to the proper cleaning of equipment including, food contact equipment, and utensils, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

13. Sections 2.41 and 2.42, "Storage of Cleaned Containers and Equipment" and "Storage of Single-Service Containers, Utensils and Materials", respectively, will be amended to update provisions relating to those matters, specifically to prevent allergen cross-contact and contamination, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

14. Section 2.43, "Protection from Contamination", will be amended to update the provisions relating to the proper maintenance of the milk plant, to add requirements applicable to the proper storage, use, and quality of raw materials and toxic materials, and to add requirements applicable to human food by-products to be used as animal food, so that they reflect and repeat the complimentary provisions in 21 CFR Part 117.

15. Section 2.44, "Pasteurization", will be amended to update the provisions that set forth pasteurization time/temperature tables so that they accurately reflect correct time/temperature requirements for batch pasteurization and continuous flow pasteurization.

16. Section 2.49, "Cooling of Milk", will be amended to add requirements applicable to temperature measuring or recording devices in freezer and cold storage compartments used to store and hold food that reflect and repeat similar requirements set forth in 21 CFR Part 117.

17. Section 2.52, "Personnel-Cleanliness", will be amended to update personnel hygienic requirements to protect against allergen cross-contact and contamination of food to reflect and repeat similar requirements set forth in 21 CFR Part 117.

18. Section 2.54, "Surroundings", will be amended to update requirements applicable to maintenance of the exteriors of milk plants to reflect and repeat similar requirements set forth in 21 CFR Part 117.

19. Section 2.65, "Personnel Health", will be amended to add requirements applicable to personnel health, to prevent possible contamination of equipment and to food, that reflect and repeat similar requirements set forth in 21 CFR Part 117.

Text of proposed rule and any required statements and analyses may be obtained from: Casey McCue, NYS Department of Agriculture and Markets, 10B Airline Drive, Albany, New York 12235, (518) 457-1772, email: Casey.McCue@agriculture.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Rule Making Determination

The proposed rule will amend Part 2 of 1 NYCRR to set forth requirements with which milk processors and manufacturers must comply. These requirements are presently set forth in Title 21 of the Code of Federal Regulation Part 117, are applicable to such processors or manufacturers, and are enforceable by the Food and Drug Administration.

The Department of Agriculture and Markets anticipates that no person is likely to object to the proposed rule as written. The proposed rule will not require milk processors and manufacturers to comply with any requirement that they are not, currently, required to comply with – the proposed rule will, rather, merely permit the Department of Agriculture and Markets to enforce such requirements which, currently, may only be enforced by FDA.

Based on the foregoing, the Department of Agriculture and Markets believes that the proposed rule is a consensus rule.

Job Impact Statement

The Department has reviewed the potential job impact of this rulemaking, and has determined that the amendments to Part 2 of 1 NYCRR will not have a substantial adverse impact on jobs and employment opportunities. It is evident from the subject matter of the rule making that the amendments will not have a substantial adverse impact on jobs and employment opportunities. Rather, the amendments seek to conform state regulations to regulations of the United States Food and Drug Administration. By promoting uniformity between state and federal regulations, the amendments will have a slight positive or no impact on job and employment opportunities. Accordingly, a full job impact statement is not required pursuant to State Administrative Procedure Act § 201-a(2)(a).

New York State Board on Electric Generation Siting and the Environment

NOTICE OF ADOPTION

Amendment of Parts 1000 and 1001 of Title 16 NYCRR

I.D. No. EGS-09-20-00001-A

Filing No. 346

Filing Date: 2020-05-06

Effective Date: 2020-05-27

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 5/6/20, the Siting Board adopted an order adopting the emergency rule of amendments of Parts 1000 and 1001 of Title 16 of the Official Compilation of Codes, Rules and Regulations of the State of New York as a final rule.

Statutory authority: Public Service Law, section 165(5)

Subject: Amendment of Parts 1000 and 1001 of Title 16 NYCRR.

Purpose: To adopt the emergency rule of amendment of Parts 1000 and 1001 of Title 16 as a final rule.

Text or summary was published in the March 4, 2020 issue of the Register, I.D. No. EGS-09-20-00001-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The Siting Board received several comments relating to the emergency rule, as well as comments addressing the merits of the regulatory language changes themselves. These comments were reviewed by the Siting Board and discussed at length within its Order Adopting Emergency Rule as a Final Rule. Please refer to the Order for this assessment.

(20-F-0067SA1)

Department of Environmental Conservation

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Regulations Governing the Recreational Harvest of Bluefish

I.D. No. ENV-21-20-00003-EP

Filing No. 339

Filing Date: 2020-05-08

Effective Date: 2020-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of Part 40 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 13-0105 and 13-0340

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This emergency rule making is necessary for the preservation of the general welfare. The amendments contained in this rulemaking will ensure that New York State maintains compliance with the requirements of the Atlantic States Marine Fisheries Commission's (ASMFC) and the Mid-Atlantic Fishery Management Council's (MAFMC) Fishery Management Plan (FMP) for bluefish. Failure to maintain compliance with the FMP for bluefish may result in the federal closure of New York State's bluefish fishery.

The Department of Environmental Conservation (DEC) is lowering the recreational possession limit for bluefish in accordance with the FMP provisions. Bluefish, coastwide, are overfished based on the last operational stock assessment conducted in 2019. The overfished determination required the ASMFC and MAFMC to decrease the recreational harvest of bluefish by twenty-nine percent. The new possession limits of 3 fish for private anglers and shore-based fishermen, and 5 fish for anglers fishing from for-hire vessels were approved by the ASMFC and MAFMC and are intended to achieve the required reduction in recreational harvest of bluefish.

New York State must remain in compliance with the FMP to avoid the closure of New York State's bluefish fishery by the federal government and to help protect the status of a vulnerable fishery.

Subject: Regulations governing the recreational harvest of bluefish.

Purpose: To revise regulations concerning the recreational harvest of bluefish in New York State.

Text of emergency/proposed rule: Existing subdivision 40.1(f) of 6 NYCRR is amended to read as follows:

In Table A, Species Striped bass (except the Hudson River north of the George Washington Bridge) through Species Weakfish remains the same.

Species Bluefish is amended to read as follows:

40.1(f) Table A – Recreational fishing.

Species	Open Season	Minimum Length	Possession Limit
Bluefish licensed party/ charter boat anglers ###	All year	[No minimum size limit for the first 10 fish; 12" TL for the next 5 fish] No minimum size limit	[15, no more than 10 of which shall be less than 12" TL] 5

<i>Bluefish all other anglers</i>	<i>All year</i>	<i>No minimum size limit</i>	<i>3</i>
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Species Winter flounder through species Atlantic menhaden remain the same.

Footnote * through footnote **** remain the same.

New footnote ### is added to read as follows:

See special regulations contained in paragraph (h)(4) of this section.

Subdivision 40.1(g) remains the same.

Subdivision 40.1(h) is amended to read as follows:

(h) Summer flounder [and] scup, and bluefish recreational fishing—special regulations.

Paragraphs 40.1(h)(1) through 40.1(h)(3) remain the same.

New paragraph 40.1(h)(4) is added to read as follows:

(4) Party and charter boat license holders must provide each customer who possesses more than 3 bluefish with a commercially printed, dated original fare receipt, bearing the vessel's name and the permit number. The customer of any licensed party or charter boat who lands or possesses more than 3 bluefish must possess an original receipt from that party or charter boat.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 5, 2020.

Text of rule and any required statements and analyses may be obtained from: Rachel Sysak, Department of Environmental Conservation, 205 North Belle Mead Rd., Suite 1, East Setauket, NY 11733, (631) 444-0469, email: rachel.sysak@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: DEC has determined that the Notice of Emergency Adoption is a Type II action and no further review is required pursuant to Article 8 of the ECL, the State Environmental Quality Review Act. DEC has determined that the Notice of Proposed Rule Making is an unlisted action pursuant to Article 8 of the ECL, and a Short Environmental Assessment Form and a negative declaration have been prepared and are on file. A Coastal Assessment Form is also on file.

Regulatory Impact Statement

1. Statutory authority:

Environmental Conservation Law (ECL) § 13-0105 directs that it shall be "the policy of the state that the primary principle in managing the state's marine fishery resource is to maintain the long-term health and abundance of marine fisheries resources and their habitats, and to ensure that [fisheries] are sustained in usable abundance and diversity for future generations." The legislature further directed that "the management of the state's transboundary and migratory species shall be consistent with [all] interjurisdictional management plans, interstate or state-federal."

ECL § 13-0340 authorizes DEC to adopt regulations governing bluefish including: size limits, catch limits and possession limits, open and closed seasons, and/or other relevant management measures.

2. Legislative objectives:

It is the objective of the above-cited legislation that DEC manages marine fisheries to optimize resource use for recreational and commercial harvesters in a manner that is consistent with federal marine fisheries conservation and management policies including all applicable interstate fishery management plans. These amendments will ensure that New York maintains compliance and consistency with requirements of the Atlantic States Marine Fisheries Commission's (ASMFC) and the Mid-Atlantic Fishery Management Council's (MAFMC) Fishery Management Plan (FMP) for bluefish.

3. Needs and benefits:

DEC is adopting this rulemaking on an emergency basis for the preservation of the general welfare. The amendments contained in this rulemaking will ensure that New York State maintains compliance with the requirements of ASMFC's and MAFMC's FMP for bluefish. Failure to maintain compliance with the FMP for bluefish may result in the federal closure of New York State's bluefish fishery.

Bluefish, coastwide, are overfished based on the last operational stock assessment conducted in 2019. The current recreational possession limit is 15 bluefish per day. This amendment will lower the possession limit of recreationally harvested bluefish to 3 fish per day for private anglers and shore based fishers and 5 fish per day for anglers fishing from for-hire vessels. These requirements are intended to achieve a twenty-nine percent reduction to the coast-wide recreational harvest of bluefish, which was identified as a critical measure for the recovery of the stock.

Ultimately, this rule was designed to ensure the continued long-term

sustainability of New York State's bluefish fishery. Sustainable fisheries have a positive effect on employment for both party charter businesses and related industries. Failure to implement this measure to protect New York State's natural resources may result in the collapse of bluefish stock which would have a severe and adverse impact on both the commercial and recreational fisheries for bluefish, as well as supporting industries that benefit economically from the bluefish fishery.

4. Costs:

There are no new costs to state and local governments from this action. The department will incur limited costs associated with both the implementation and administration of these rules, including the costs relating to notifying recreation fishers, party and charter boat operators, and other recreational fishing associated businesses of the new rules.

5. Local government mandates:

The proposed rule does not impose any mandates on local governments.

6. Paperwork:

None.

7. Duplication:

The amendment does not duplicate any state or federal requirement.

8. Alternatives:

"No action" alternative – This option would leave in place current bluefish regulations and would not lower the recreational possession limit. This option was rejected because it would result in overharvest of the bluefish stock and because these regulations are necessary for New York State to comply with ASMFC and MAFMC's FMP for bluefish. Failure to adopt these measures could result in the federal closure of New York State's bluefish fishery.

9. Federal standards:

The amendments are in compliance with federal standards.

10. Compliance schedule:

These regulations are being adopted by emergency rule making and therefore will take effect immediately upon filing with Department of State. Regulated parties will be notified of the changes to the regulations through publication in the State Register, appropriate news releases, and through DEC's website.

Regulatory Flexibility Analysis

1. Effect of rule:

This rule making will reduce the harvest of bluefish in New York by implementing more restrictive possession limits for recreational bluefish harvesters. The proposed amendment approved by the Atlantic States Marine Fisheries Commission and the Mid-Atlantic Fishery Management Council contains the following provisions: 1) lowers the possession limits for private anglers and shore-based fishers from 15 fish per day to 3 fish per day, and 2) lowers the possession limit for anglers fishing from for-hire vessels from 15 fish per day to 5 fish per day.

Based on 2019 figures, there are approximately 519 party and charter license holders in New York State that may be impacted by this rule. There are also retail and wholesale marine bait and tackle shop businesses operating in New York. Data available from 2019 New York State Vessel Trip Reports shows that there were 4,671 party/charter fishing trips that caught and kept bluefish, but these statistics do not include federally permitted commercial vessels operating out of New York State. The National Oceanic and Atmospheric Administration's Marine Recreational Information Program estimates that there were 1,510,696 recreational trips targeting bluefish in New York in 2019. The proposed amendment decreases the amount of bluefish that can be kept by recreational fishers. This could result in a loss of revenue for some party-charter businesses and bait and tackle shops.

Ultimately, these regulations are designed to reduce the harvest of bluefish to allow the stock to recover and maintain the sustainability of an important recreational and commercial fishery.

2. Compliance requirements:

None.

3. Professional services:

None.

4. Compliance costs:

There are no initial capital costs that will be incurred by a regulated business or industry that complies with the proposed rule.

5. Economic and technological feasibility:

The proposed regulations do not require any expenditure on the part of affected businesses in order to comply with the changes. The proposed regulations may decrease the income of party and charter businesses, marinas, and marine bait and tackle shops that depend heavily upon the recreational bluefish fishery.

There are no associated economic or technological hardships identified for local governments.

6. Minimizing adverse impact:

This rule making is the minimum necessary to remain in compliance with ASMFC's and MAFMC's FMP for bluefish. It is critical to remain in compliance with FMPs for bluefish to avoid the federal closure of New York State's bluefish fishery.

This rule was designed to ensure the continued long-term sustainability of New York State's bluefish fishery. Sustainable fisheries have a positive effect on employment for both party charter businesses and related industries. Failure to implement this measure to protect New York State's natural resources may result in the collapse of bluefish stock which would have a severe and adverse impact on both the commercial and recreational fisheries for bluefish, as well as supporting industries that benefit economically from the bluefish fishery.

7. Small business and local government participation:

New York State recreational harvesters had an opportunity to comment on the measures being adopted in this rule making during the Marine Resources Advisory Council (MRAC) Meeting on January 21, 2020. The MRAC was first made aware that bluefish regulations would need to be more restrictive in 2020 during the November 19, 2019 meeting. The MAFMC and ASMFC Bluefish Board met on December 10, 2019 and approved the recreational measures included in this rulemaking as a coastwide measure but allowed for states to submit Conservation Equivalent measures.

MRAC supported the coastwide measures as presented in this regulatory package at their January 21, 2020 meeting.

8. For rules that either establish or modify a violation or penalties associated with a violation:

Pursuant to the State Administrative Procedure Act (SAPA) § 202-b(1-a)(b), a cure period is not included in the rule because of the potential adverse impact on the resource. Cure periods for the illegal taking of fish or wildlife are neither desirable nor recommended. Immediate compliance is required to ensure that the general welfare of the public and the resource are both protected.

9. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

DEC will conduct an initial review of the rule within three years as required by SAPA § 207(1)(b).

Rural Area Flexibility Analysis

DEC has determined that this rule will not impose any adverse impacts on rural areas. This rule making only affects the Marine and Coastal District of the State; there are no rural areas within the Marine and Coastal District. The bluefish fishery is entirely located within the Marine and Coastal District and is not located adjacent to any rural areas of the State. The proposed rule will not impose any reporting, record keeping, or other compliance requirements on public or private entities in rural areas. Since no rural areas will be affected by the proposed amendments, DEC has determined that a Rural Area Flexibility Analysis is not required.

Job Impact Statement

1. Nature of impact:

This rule making will reduce the harvest of bluefish in New York by implementing more restrictive possession limits for recreational bluefish harvesters. The proposed amendment approved by the Atlantic States Marine Fisheries Commission and the Mid-Atlantic Fishery Management Council will adopt the following provisions: lower the possession limits for private anglers and shore-based fishers to 3 fish, and lower the possession limit for anglers fishing from for-hire vessels to 5 fish.

2. Categories and numbers affected:

In 2019, there were 519 licensed party and charter businesses in New York State. There were also retail and wholesale marine bait and tackle shop businesses operating in New York. Data available from 2019 New York State Vessel Trip Reports show that there were 4,671 party/charter fishing trips that caught and kept bluefish in 2019. These statistics do not include federally permitted commercial vessels operating out of New York State.

3. Regions of adverse impact:

The bluefish fishery is located entirely within the Marine and Coastal District of New York State.

4. Minimizing adverse impact:

New York State recreational harvesters had an opportunity to comment on the measures being adopted in this rule making during the Marine Resources Advisory Council (MRAC) Meeting on January 21, 2020. The MRAC was first made aware that bluefish regulations would need to be more restrictive in 2020 during the November 19, 2019 meeting. The MAFMC and ASMFC Bluefish Board met on December 10, 2019 to vote on, and ultimately approved, the recreational measures included in this rulemaking as a coastwide measure but allowed for states to submit Conservation Equivalent measures. MRAC supported the coastwide measure as presented in this regulatory package at their January 21, 2020 meeting over other alternatives.

Ultimately, the maintenance of long-term sustainable fisheries will have a positive effect on employment for the fisheries in question, including party and charter boat fisheries, as well as wholesale and retail bait and tackle shops and other support industries for recreational fisheries.

5. Self-employment opportunities:

Party and charter boat businesses, bait and tackle shops, and marinas are, for the most part, small businesses, owned and often operated by a single owner. The recreational fishing industry is mostly self-employed. This rule will likely have a negative effect upon opportunities for businesses related to the recreational harvest of bluefish. However, failing to adopt this rulemaking and comply with the ASMFC and MAFMC requirements could lead to a complete closure of New York's bluefish fishery.

Ultimately, this rule was designed to ensure the continued long-term sustainability of New York State's bluefish fishery. Sustainable fisheries have a positive effect on employment for both party charter businesses and related industries. Failure to implement this measure to protect New York State's natural resources may result in the collapse of bluefish stock which would have a severe and adverse impact on both the commercial and recreational fisheries for bluefish, as well as supporting industries that benefit economically from the bluefish fishery.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

DEC will conduct an initial review of the rule within three years as required by SAPA § 207(b).

Department of Health

EMERGENCY RULE MAKING

Communicable Diseases Reporting and Control—Adding Severe or Novel Coronavirus

I.D. No. HLT-08-20-00001-E

Filing No. 338

Filing Date: 2020-05-06

Effective Date: 2020-05-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 2.1 and 2.5 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 225

Finding of necessity for emergency rule: Preservation of public health.

Specific reasons underlying the finding of necessity: Compliance with the requirements of the State Administrative Procedure Act for filing of a regulation on a non-emergency basis including the requirement for a period of time for public comment cannot be met because to do so would be detrimental to the health and safety of the general public.

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), which is the virus that causes the disease COVID-19, have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 3.6 million cases and 258,085 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

On February 1, 2020, the New York State Commissioner of Health determined that SARS-CoV-2 is communicable, rapidly emergent and a significant threat to the public health and designated it as a communicable disease under 10 NYCRR Section 2.1. On February 6, 2020, the Public Health and Health Planning Council (PHHPC) adopted emergency regula-

tions, which confirmed the Commissioner's designation by adding "severe or novel coronavirus" to the reportable disease list in Part 2.

Since these emergency regulations were first adopted by PHHPC, New York State has rapidly become the national epicenter of the outbreak. Case were first identified in New York State on March 1, 2020. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 321,192 confirmed cases and 19,645 deaths, New York State continues to be the most impacted state in the nation.

Based on the foregoing, and pursuant to the Executive Order issued on March 7, 2020, which permits the Commissioner to promulgate emergency regulations and to amend the State Sanitary Code, the Department has made the determination that it is necessary to renew this emergency regulation.

Subject: Communicable Diseases Reporting and Control—Adding Severe or Novel Coronavirus.

Purpose: To require physicians, hospitals, nursing homes, D&TCs and clinical laboratories to report instances of severe or novel coronavirus.

Text of emergency rule: Subdivision (a) of Section 2.1 is amended to read as follows:

(a) When used in the Public Health Law and in this Chapter, the term infectious, contagious or communicable disease, shall be held to include the following diseases and any other disease which the commissioner, in the reasonable exercise of his or her medical judgment, determines to be communicable, rapidly emergent or a significant threat to public health, provided that the disease which is added to this list solely by the commissioner's authority shall remain on the list only if confirmed by the Public Health and Health Planning Council at its next scheduled meeting:

- Amebiasis
- Anthrax
- Arboviral infection
- Babesiosis
- Botulism
- Brucellosis
- Campylobacteriosis
- Chancroid
- Chlamydia trachomatis infection
- Cholera
- Cryptosporidiosis
- Cyclosporiasis
- Diphtheria
- E. coli 0157:H7 infections
- Ehrlichiosis
- Encephalitis
- Giardiasis
- Glanders
- Gonococcal infection
- Group A Streptococcal invasive disease
- Group B Streptococcal invasive disease
- Hantavirus disease
- Hemolytic uremic syndrome
- Hemophilus influenzae (invasive disease)
- Hepatitis (A; B; C)
- Herpes infection in infants aged 60 days or younger (neonatal)
- Hospital-associated infections (as defined in section 2.2 of this Part)
- Influenza (laboratory-confirmed)
- Legionellosis
- Listeriosis
- Lyme disease
- Lymphogranuloma venereum
- Malaria
- Measles
- Melioidosis
- Meningitis
 - Aseptic
 - Hemophilus
 - Meningococcal
 - Other (specify type)
- Meningococcemia
- Monkeypox
- Mumps
- Pertussis (whooping cough)
- Plague
- Poliomyelitis
- Psittacosis
- Q Fever
- Rabies

Rocky Mountain spotted fever

Rubella

Congenital rubella syndrome

Salmonellosis

[Severe Acute Respiratory Syndrome (SARS)]

Severe or novel coronavirus

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), including Pediatric Multi-system Inflammatory Syndrome, or any other complication suspected of being associated with SARS-CoV-2 infection

Severe Acute Respiratory Syndrome (SARS)

Middle East Respiratory Syndrome (MERS)

Other (specify type)

Shigellosis

Smallpox

Staphylococcal enterotoxin B poisoning

Streptococcus pneumoniae invasive disease

Syphilis, specify stage

Tetanus

Toxic Shock Syndrome

Trichinosis

Tuberculosis, current disease (specify site)

Tularemia

Typhoid

Vaccinia disease (as defined in section 2.2 of this Part)

Viral hemorrhagic fever

Yersiniosis

* * *

Section 2.5 is amended to read as follows:

2.5. Physician to submit specimens for laboratory examination in cases or suspected cases of certain communicable diseases. A physician in attendance on a person affected with or suspected of being affected with any of the diseases mentioned in this section shall submit to an approved laboratory, or to the laboratory of the State Department of Health, for examination of such specimens as may be designated by the State Commissioner of Health, together with data concerning the history and clinical manifestations pertinent to the examination:

Anthrax

Babesiosis

Botulism

Brucellosis

Campylobacteriosis

Chlamydia trachomatis infection

Cholera

Congenital rubella syndrome

Conjunctivitis, purulent, of the newborn (28 days of age or less)

Cryptosporidiosis

Cyclosporiasis

Diphtheria

E. coli 0157:H7 infections

Ehrlichiosis

Giardiasis

Glanders

Gonococcal infection

Group A Streptococcal invasive disease

Group B Streptococcal invasive disease

Hantavirus disease

Hemophilus influenzae (invasive disease)

Hemolytic uremic syndrome

Herpes infection in infants aged 60 days or younger (neonatal)

Legionellosis

Listeriosis

Malaria

Melioidosis

Meningitis

Hemophilus

Meningococcal

Meningococcemia

Monkeypox

Plague

Poliomyelitis

Q Fever

Rabies

Rocky Mountain spotted fever

Salmonellosis

[Severe Acute Respiratory Syndrome (SARS)]

Severe or novel coronavirus

Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2),

including *Pediatric Multi-system Inflammatory Syndrome*, or any other complication suspected of being associated with SARS-CoV-2 infection

Severe Acute Respiratory Syndrome (SARS)

Middle East Respiratory Syndrome (MERS)

Other (specify type)

Shigellosis

Smallpox

Staphylococcal enterotoxin B poisoning

Streptococcus pneumoniae invasive

Syphilis

Tuberculosis

Tularemia

Typhoid

Viral hemorrhagic fever

Yellow Fever

Yersiniosis

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. HLT-08-20-00001-P, Issue of February 26, 2020. The emergency rule will expire July 4, 2020.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqua@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

Executive Order No. 202, signed by Governor Cuomo on March 7, 2020, and continued by Executive Order No. 202.14, signed on April 7, 2020, modified Section 225 of the Public Health Law ("PHL") to authorize the Commissioner of Health (Commissioner) to promulgate emergency regulations to establish and amend the State Sanitary Code, including those provisions relating to the designation of communicable diseases which are dangerous to public health, designation of diseases for which specimens shall be submitted for laboratory examination, and the nature of information required to be furnished by physicians in each case of communicable disease.

Legislative Objectives:

The legislative objective of PHL § 225 is, in part, to protect the public health by designating communicable diseases, thereby permitting enhanced disease monitoring and authorizing isolation and quarantine measures, if necessary, to prevent further transmission.

Needs and Benefits:

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), which is the virus that causes the disease COVID-19, have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 3.6 million cases and 258,085 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

On February 1, 2020, the New York State Commissioner of Health determined that SARS-CoV-2 is communicable, rapidly emergent and a significant threat to the public health and designated it as a communicable disease under 10 NYCRR Section 2.1. On February 6, 2020, the Public Health and Health Planning Council (PHHPC) adopted emergency regulations, which confirmed the Commissioner's designation by adding "severe or novel coronavirus" to the reportable disease list in Part 2. This amendment also permits the Department of Health (Department) to systematically monitor for the disease and permit decisions about isolation or

quarantine of suspect or confirmed cases to be made on a timely basis. Additionally, this regulation makes it possible for the Department to monitor and respond to other severe or novel coronavirus cases that may arise, including Middle East Respiratory Syndrome (MERS).

Since these emergency regulations were first adopted by PHHPC, New York State has rapidly become the national epicenter of the outbreak. Case were first identified in New York State on March 1, 2020. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 321,192 confirmed cases and 19,645 deaths, New York State continues to be the most impacted state in the nation.

Based on the foregoing, and pursuant to the Executive Order issued on March 7, 2020, which permits the Commissioner to promulgate emergency regulations and to amend the State Sanitary Code, the Department has made the determination that it is necessary to renew this emergency regulation.

Costs:

Costs to Regulated Parties:

As COVID-19 is a newly emerging disease, it is not possible to accurately predict the extent of the outbreak or potential costs. It is imperative to public health, however, that COVID-19 cases be reported immediately and investigated thoroughly to curtail additional exposure and potential morbidity and mortality.

The costs associated with implementing the reporting of this disease are lessened as reporting processes and forms already exist. Hospitals, practitioners and clinical laboratories are accustomed to reporting communicable disease to public health authorities.

Costs to Local and State Governments:

As COVID-19 is a newly emerging disease, it is not possible to accurately predict the extent of the outbreak or potential costs.

Costs to local or state governments associated with investigating and implementing control strategies to curtail the spread of COVID-19, however, could be significant. Control efforts have included and may continue to include isolation and quarantine. These intensive efforts are critical to minimize the spread of this disease.

However, by potentially decreasing the spread of COVID-19, this regulation may reduce costs associated with public health control activities, morbidity, treatment and premature death.

Costs to the Department of Health:

As COVID-19 is a newly emerging disease, it is not possible to accurately predict the extent of the outbreak or potential costs. Costs to the Department associated with assisting local health departments investigating and implementing control strategies to curtail the spread of COVID-19, however, could be significant.

Paperwork:

The existing general communicable disease reporting form (DOH-389) will be revised. This form is familiar to and is already used by regulated parties.

Local Government Mandates:

Under Part 2 of the State Sanitary Code (10 NYCRR Part 2), the city, county or district health officer receiving reports from physicians in attendance on persons with or suspected of being affected with COVID-19, will be required to immediately forward such reports to the State Health Commissioner and to investigate and monitor the cases reported.

Duplication:

There is no duplication of this initiative in existing State or federal law.

Alternatives:

No other alternatives are available, because reporting of cases of COVID-19 is of critical importance to public health. There is an urgent need to conduct surveillance, identify human cases in a timely manner, and reduce the potential for further exposure to contacts.

Federal Standards:

Currently there are no federal standards requiring the reporting of COVID-19.

Compliance Schedule:

Reporting of COVID-19 is currently mandated, pursuant to the emergency regulation adopted by PHHPC on February 6, 2020.

Regulatory Flexibility Analysis

Effect on Small Business and Local Government:

This rule will apply to physicians, hospitals, nursing homes, diagnostic and treatment centers and clinical laboratories. There are approximately 76,500 licensed and registered physicians in New York State; it is not known how many of them practice in small businesses. Five hospitals, 130 nursing homes, 311 diagnostic and treatment centers, and 150 clinical laboratories employ less than 100 persons and qualify as small businesses.

Implementation will require reporting of COVID-19 in all 57 counties of the State outside of New York City. New York City has already adopted regulations identifying severe or novel coronavirus as a reportable, communicable disease.

Compliance Requirements:

Hospitals, clinics, physicians, nursing homes, and clinical laboratories that are small businesses and local governments will utilize revised Department of Health reporting forms and existing laboratory referral forms.

Local health officers receiving reports from physicians in attendance on persons with or suspected of being affected with COVID-19, will be required to immediately forward such reports to the State Health Commissioner and to investigate and monitor the cases reported. Local health officers also need to isolate or quarantine individuals to stop the spread of disease.

Professional Services:

No additional professional services will be required since providers are expected to be able to utilize existing staff to report occurrences of COVID-19 and to order laboratory tests.

Compliance Costs:

No initial capital costs of compliance are anticipated. Annual compliance costs will depend upon the number of COVID-19 cases. The reporting of COVID-19 should have a negligible to modest effect on the estimated cost of disease reporting by hospitals, but the exact cost cannot be estimated. The cost would be less for physicians and other small businesses.

As COVID-19 is a newly emerging disease, it is not possible to accurately predict the extent of the outbreak or potential costs for local governments. Costs to local governments associated with investigating and implementing control strategies to curtail the spread of COVID-19, however, could be significant. Control efforts have included and may continue to include isolation and quarantine. These intensive efforts are critical to minimize the spread of this disease.

However, by potentially decreasing the spread of COVID-19, this regulation may reduce costs associated with public health control activities, morbidity, treatment and premature death.

Economic and Technological Feasibility:

There are no economic or technological impediments to the rule changes.

Minimizing Adverse Impact:

There are no alternatives to the reporting requirement. Adverse impacts have been minimized since revised forms and reporting staff will be utilized by regulated parties. Electronic reporting will save time and expense.

Small Business and Local Government Participation:

Local governments have been consulted in the process through ongoing communication on this issue with local health departments and the New York State Association of County Health Officers (NYSACHO).

Rural Area Flexibility Analysis**Types and Estimated Numbers of Rural Areas:**

This rule applies uniformly throughout the state, including rural areas. Rural areas are defined as counties with a population less than 200,000 and counties with a population of 200,000 or greater that have towns with population densities of 150 persons or fewer per square mile. The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010 (<https://www.census.gov/quickfacts/>).

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County
Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties have a population of 200,000 or greater and towns with population densities of 150 persons or fewer per square mile. Data is based upon the United States Census estimated county populations for 2010.

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Compliance Requirements:

Hospitals, clinics, physicians, nursing homes, and clinical laboratories that are located in rural areas will utilize revised Department of Health reporting forms and existing laboratory referral forms.

Local health officers in rural areas receiving reports from physicians in attendance on persons with or suspected of being affected with COVID-19, will be required to immediately forward such reports to the State Health Commissioner and to investigate and monitor the cases reported. Local health officers also need to isolate or quarantine individuals to stop the spread of disease.

Professional Services:

No additional professional services will be required. Rural providers are expected to use existing staff to comply with the requirements of this regulation.

Compliance Costs:

No initial capital costs of compliance are anticipated. Annual compliance costs will depend upon the number of COVID-19 cases. The reporting of COVID-19 should have a negligible to modest effect on the estimated cost of disease reporting by hospitals in rural areas, but the exact cost cannot be estimated. The cost would be less for physicians and other small businesses.

As COVID-19 is a newly emerging disease, it is not possible to accurately predict the extent of the outbreak or potential costs for local governments in rural areas. Costs to local governments associated with investigating and implementing control strategies to curtail the spread of COVID-19, however, could be significant. Control efforts have and may continue to include isolation and quarantine. These intensive efforts are critical to minimize the spread of this disease.

However, by potentially decreasing the spread of COVID-19, this regulation may reduce costs associated with public health control activities, morbidity, treatment and premature death.

Minimizing Adverse Impact:

No alternative to the reporting requirements were considered due to the obvious need to prevent the spread of COVID-19. Adverse impacts have been minimized since familiar forms and reporting staff will be utilized by regulated parties.

Rural Area Participation:

The New York State Association of County Health Officers, including representatives of rural counties, has been informed about of this rule change and supports the need for it.

Job Impact Statement

The Department of Health has determined that this regulatory change will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Partial Waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process

I.D. No. PSC-21-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed on May 5, 2020 by Kiwi Energy NY LLC for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued December 12, 2019.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.

Purpose: To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on May 5, 2020 by Kiwi Energy NY LLC (Kiwi) for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued on December 12, 2019 in Case 15-M-0127, et al. (December 2019 Order).

The December 2019 Order, among other things, limited the types of products that energy service companies (ESCOs) can offer to New York mass market customers to those products that: (1) include a guaranteed savings over the utility price, as reconciled on an annual basis; (2) are for a fixed-rate commodity product that is priced at no more than 5% greater than the trailing 12-month average utility supply rate; and, (3) are for a renewably sourced electric commodity product that (a) has a renewable mix that is at least 50% greater than the ESCO's current Renewable Energy Standard (RES) obligation, and (b) the ESCO complies with the RES locational and delivery requirements when procuring Renewable Energy Credits (RECs) or entering into bilateral contracts for renewable commodity supply. Additionally, the December 2019 Order allowed for an additional product to be offered by Agway Energy Services, LLC (Agway) who provides customers with its EnergyGuard service. The December 2019 Order provided a limited opportunity for other ESCOs to petition the Commission for the opportunity to sell a product/service similar to EnergyGuard.

Kiwi requests that it be permitted to continue offering its "Green Gas Products" to mass market customers. Kiwi asserts that customers are interested in their Green Gas Products because of the environmental benefits they provide and that it would be inappropriate to prohibit offering of such products. Additionally, Kiwi claims that the same justifications for promoting green electric products apply to green gas products, and that there are no meaningful distinctions between the benefits provided by both types of products.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0127SP14)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-21-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by New York State Electric and Gas Corporation to transfer certain street lighting facilities to the Village of Dryden, Tompkins County, New York.

Statutory authority: Public Service Law, section 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To consider the transfer of street lighting facilities to the Village of Dryden.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by New York State Electric & Gas Corporation (NYSEG or the Company) on April 28, 2020, requesting the transfer of ownership of its system of street lighting facilities installed through the Village of Dryden (Village) to the Village.

The street lighting facilities consist of a system of street lighting poles, luminaires, lamps, mast arms, electrical connections and wiring. NYSEG requests the Commission's approval of the transaction pursuant to Public Service Law § 70(1), as the original cost of the proposed assets to be transferred is greater than \$100,000.

Based on plant records, NYSEG represents that the original book cost of the facilities is approximately \$136,781 and the net book value, as of December 31, 2019, is \$79,288. The Company proposes to transfer the street lighting facilities to the Village for a sale price of \$110,944. Upon the closing date of the sale, the Village will become solely responsible and liable for the operation, maintenance, and condition of the street lighting facilities. The transfer will not impact the reliability, safety, operation, or maintenance of NYSEG's electric distribution system.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0200SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Methodology for the Calculation of Reactive Power Demand

I.D. No. PSC-21-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. (the Company) to modify its electric tariff schedule, P.S.C. No. 10, to change the methodology for the calculation of reactive power demand.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Methodology for the calculation of reactive power demand.

Purpose: To revise the methodology for the calculation of reactive power demand.

Substance of proposed rule: The Commission is considering a petition filed by Consolidated Edison Company of New York, Inc. (Con Edison or the Company) on May 4, 2020, proposing to modify its electric tariff schedule, P.S.C. No. 10, to change the methodology for determining reactive power demand when the same kilowatt (kW) maximum demand occurs two or more times during a billing period. Reactive power is an ancillary service provided only to certain customers needing such service. The tariff presently requires that reactive power demand be determined at the time of the first kW maximum demand occurrence in the billing period; the proposed tariff would use the last occurrence in the billing period.

According to the Company, as part of its Advanced Metering Infrastructure (AMI) program, it has integrated a new Meter Data Management System (MDMS), which is the repository of all data received from the AMI meters. Starting September 2020, the Company plans on using the new MDMS to bill its customers that are billed a Reactive Power Demand Charge. The MDMS is programmed so that if the same kW maximum demand occurs two or more times during the billing period, the reactive power demand used for billing purposes is determined at the time of the last kW maximum demand occurrence. Con Edison states that modifying the MDMS to use the current methodology in the tariff would require the development of custom software coding at significant cost, and is also undesirable from a software management perspective. Therefore, Con Edison proposes to revise the Reactive Power Demand Charge provision in General Rule 10.11 of its tariff schedule to be consistent with the MDMS coding so that reactive power demand will be determined at the time of the last kW maximum demand occurrence when the same kW maximum demand occurs two or more times during the billing period. The Company claims the impact on customers will be minimal because the exact same kW maximum demand occurring two or more times during a billing period is extremely rare.

The full text of the petition and the full record of the proceeding may be

reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0205SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Waiver of Tariff Rules and a Related Commission Regulation

I.D. No. PSC-21-20-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Pigliavento Builders d/b/a Brookview Court, Inc. for a waiver of Niagara Mohawk Power Corporation d/b/a National Grid's tariff rules regarding the extension of electric and gas lines.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Waiver of tariff rules and a related Commission regulation.

Purpose: To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition, filed on April 20, 2020 by Pigliavento Builders d/b/a Brookview Court, Inc. (Petitioner) for a waiver of Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid or Company) tariff rules regarding the extension of electric and gas lines at subdivisions presently under development.

The Commission's regulations at 16 NYCRR § 100.3 require a non-residing applicant for electric service to provide a deposit to the utility equal to the estimated cost of construction. The deposit is returned to the applicant, on a pro rata basis, as each new customer takes service from the utility. Part 100.3(b) provides that any portion of the deposit remaining unrefunded five years after the date the utility is first ready to render service shall be retained by the utility. National Grid's electric tariff, PSC 220 Rule 16.6, incorporates the Commission's regulation and provides that the deposit may take the form of a letter of credit. Rule 16.6.2 specifies that any portion of the letter of credit remaining after the five year period shall be retained by the Company. National Grid's gas tariff, PSC 219, Rule 10.4 provides substantially similar requirements for non-residing applicants requesting the extension of gas facilities.

Petitioner is a non-residing applicant. Petitioner provided National Grid with two letters of credit related to National Grid's extension of gas and electric service at two of Petitioner's subdivision developments, the Fair Oaks Subdivision, in Milton, New York, and the Delmar Pointe Subdivision in Delmar, New York. The letter of credit for the Fair Oaks Subdivision expires on June 17, 2021, while the letter of credit for the Delmar Pointe Subdivision expires in August 2021. Petitioner states that, due to the impact of the current COVID-19 pandemic, it has been unable to progress with construction at both subdivisions according to schedule and thus would unlikely be able complete construction by the time its letters of credit expire. Based on the foregoing, Petitioner requests an extension to the letters of credit.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0209SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Consideration of the NFG Petition to Modify Audit Implementation Plans

I.D. No. PSC-21-20-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition submitted by National Fuel Gas Distribution Corporation (NFG) to modify the Audit Implementation Plans for Cases 11-G-0580 and 13-M-0314.

Statutory authority: Public Service Law, section 66(19)

Subject: Consideration of the NFG petition to modify Audit Implementation Plans.

Purpose: To consider if modifying the Audit Implementation Plans are in the public interest.

Substance of proposed rule: The Commission is considering a petition filed by National Fuel Gas Distribution Corporation (NFG) on May 4, 2020, to modify the Audit Implementation Plans in Case 11-G-0580 and Case 13-M-0314. The NFG petition requests modification to timelines, action steps, deliverables, and completion dates for eight recommendations in Case 11-G-0580 and three recommendations in Case 13-M-0314.

In Case 11-G-0580, the recommendations concern: the pursuit of technology projects in capital budgeting to ensure earlier implementation of the projects; upgrading mainframe asset management and data collection applications; implementation of handheld data collections devices to capture leak data; the evaluation of a compatible units-based work order system; implementation of an enterprise state-of-the-art work management system; the replacement of paper-based reporting with mobile technology; the evaluation of scheduling software to replace manual processes; and the implementation of data collection for work assignments.

In Case 13-M-0314, the recommendations concern: the development of methods to process leak data in a timely manner; the alteration of method of reporting leak backlog data to the Commission; and the development of a system to record the replacement of individual bare steel services.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(11-G-0580SP2)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Light Facilities

I.D. No. PSC-21-20-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by New York State Electric and Gas Corporation for the transfer of certain street lighting facilities to the Town of Thompson, Sullivan County, New York.

Statutory authority: Public Service Law, section 70(1)

Subject: Transfer of street light facilities.

Purpose: To consider the transfer of street lighting facilities to the Town of Thompson.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by New York State Electric & Gas Corporation (NYSEG or the Company) on April 28, 2020, requesting the transfer of ownership of its system of street lighting facilities installed throughout the Town of Thompson (the Town) to the Town.

The street lighting facilities consist of a system of street lighting poles, luminaires, lamps, mast arms, electrical connections and wiring (Facilities). NYSEG requests the Commission's approval of the transaction pursuant to Public Service Law § 70(1), as the original cost of the proposed assets to be transferred is greater than \$100,000.

Based on plant records, NYSEG represents that the original book cost of the Facilities is approximately \$498,340 and the net book value, as of December 31, 2019, is \$163,497. The Company proposes to transfer the ownership of the Facilities to the Town for \$322,745. Upon the closing date of the sale, the Town will become solely responsible and liable for the operation, maintenance, and condition of the Facilities. The transfer will not impact the reliability, safety, operation, or maintenance of NYSEG's electric distribution system.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0199SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Partial Waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process

I.D. No. PSC-21-20-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed on May 7, 2020 by IGS Energy for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued December 12, 2019.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.

Purpose: To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on May 7, 2020 by Interstate Gas Supply, Inc., Accent Energy Midwest Gas, LLC d/b/a IGS Energy, and Accent Energy Midwest II, LLC d/b/a IGS Energy (collectively, IGS Energy) for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued on December 12, 2019 in Case 15-M-0127, et al. (December 2019 Order).

The December 2019 Order, among other things, limited the types of products that energy service companies (ESCOs) can offer to New York mass market customers to those products that: (1) include a guaranteed savings over the utility price, as reconciled on an annual basis; (2) are for a fixed-rate commodity product that is priced at no more than 5% greater than the trailing 12-month average utility supply rate; and, (3) are for a renewably sourced electric commodity product that (a) has a renewable mix that is at least 50% greater than the ESCO's current Renewable Energy Standard (RES) obligation, and (b) the ESCO complies with the RES locational and delivery requirements when procuring Renewable Energy Credits (RECs) or entering into bilateral contracts for renewable commodity supply. Additionally, the December 2019 Order allowed for an additional product to be offered by Agway Energy Services, LLC (Agway) who provides customers with its EnergyGuard service. The December 2019 Order provided a limited opportunity for other ESCOs to petition the Commission for the opportunity to sell a product/service similar to EnergyGuard.

IGS Energy requests that it be permitted to offer two products to mass market customers in New York as energy-related value-added products that do not otherwise need to be bundled with a compliant product as detailed in the December 2019 Order. The first is a Carbon-Neutral Natural Gas Product that IGS Energy describes as a simple and effective way for consumers to offset their everyday carbon emissions arising from burning natural gas. IGS Energy asserts that this product should be approved by the Commission due to the unique value it provides to customers as well as the environmental benefits it can provide to the State.

The second product that IGS Energy requests permission to offer is a Home Warranty Service Product, which IGS Energy describes as nearly identical to the already approved product offered by Agway. According to IGS Energy, its Home Warranty Service Product offers inside/outside utility line protection, HVAC system protection with an annual protection limit of \$2,000, and comprehensive complete home protection with individual annual protection limits up to a combined annual limit of \$34,500.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0127SP15)

Department of Taxation and Finance

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-07-20-00013-A

Filing No. 340

Filing Date: 2020-05-12

Effective Date: 2020-05-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period April 1, 2020 through June 30, 2020.

Text or summary was published in the February 19, 2020 issue of the Register, I.D. No. TAF-07-20-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Tax Regulations Specialist, Department of Taxation and Finance, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Assessment of Public Comment

The agency received no public comment.

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-21-20-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First; 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period July 1, 2020 through September 30, 2020.

Text of proposed rule: Pursuant to the authority contained in subdivision First of section 171, subdivision (c) of section 301-h, subdivision 7 of section 509, subdivision (b) of section 523 and subdivision (a) of section 528 of the Tax Law, the Commissioner of Taxation and Finance hereby proposes to make and adopt the following amendments to the Fuel Use Tax Regulations, as published in Article 3 of Subchapter C of Chapter III of Title 20 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

Section 1. Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (xcix) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax	Composite	Aggregate	Sales Tax	Composite	Aggregate
Component	Rate	Rate	Component	Rate	Rate
<i>(xcviii) April-June 2020</i>					
15.1	23.1	40.50	16.0	24.0	39.65
<i>(xcix) July-Sept. 2020</i>					
13.7	21.7	39.10	15.6	23.6	39.25

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: Kathleen.Chase@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE FOR THE			
AGE-34-19-00014-P	08/20/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-12-20-00006-P	03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
AAM-21-20-00002-P	05/27/21	Milk and Milk Products	To incorporate federal requirements applicable to the processing and manufacture of milk and milk products
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-10-20-00002-P	03/11/21	Credentialing of addiction professionals	Outlines the regulatory requirements for persons seeking credentialing as an addiction professional
ASA-19-20-00001-P	05/13/21	General service standards applicable to outpatient substance use disorder programs	To set-forth the minimum regulatory requirements for certified outpatient substance use disorder treatment programs.
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-39-19-00005-ERP	09/24/20	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety
CFS-39-19-00007-ERP	09/24/20	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-42-19-00002-P	10/15/20	Permissible disclosure of records maintained by OCFS.	To amend existing regulations regarding the permissible disclosure of records by OCFS.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CIVIL SERVICE, DEPARTMENT OF			
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-45-19-00003-P	11/05/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-19-00004-P	11/05/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-45-19-00005-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-45-19-00006-P	11/05/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-45-19-00007-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-45-19-00009-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-06-20-00006-P	02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class

Action Pending Index**NYS Register/May 27, 2020**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-20-00007-P	02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P	02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P	04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-18-20-00004-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00005-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-18-20-00006-P	05/06/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-18-20-00007-P	05/06/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-18-20-00008-P	05/06/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-18-20-00009-P	05/06/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-20-00010-P	05/06/21	Jurisdictional Classification	To delete positions from the non-competitive class
CORRECTION, STATE COMMISSION OF			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-21-19-00014-P	09/05/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-50-19-00002-P	12/10/20	Raise the Age	To update each correctional facility's regulation as a direct result of the Raise the Age legislation
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-30-19-00010-ERP	07/23/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
CJS-19-20-00010-P	05/13/21	Part 364 - Conditional release conditions.	Conform to the recent changes made by the Legislature by removing the term "gravity knife".
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-09-20-00007-P	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
EDV-10-20-00001-P	03/11/21	Empire state entertainment diversity job training development program	To implement the administrative processes for the entertainment diversity job training development program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	08/07/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-27-19-00010-P	07/02/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-52-19-00007-ERP	12/23/20	Update Provisions Relating to Pupil Transportation	To update provisions of the Commissioner's Regulations relating to pupil transportation
EDU-04-20-00006-P	01/28/21	Financial Transparency and Data Reporting Requirements for Charter Schools	To establish criteria and procedures relating to charter financial transparency reporting to ensure compliance with ESSA.
EDU-08-20-00007-P	02/25/21	The Practice of Psychology, Social Work and Mental Health Practitioner Professions	To implement part Y of chapter 57 of the laws of 2018
EDU-08-20-00008-P	02/25/21	The Composition of the Professional Standards and Practices Board for Teaching (PSPB)	To require the PSPB to have at least four members who are practicing, certified school building or district administrator
EDU-08-20-00009-P	02/25/21	Term Limits for Members of the Advisory Committee on Long-Term Clinical Clerkships	To remove the two term limit for committee members to most effectively advise the Board of Regents and the Department
EDU-11-20-00013-P	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-11-20-00014-P	03/18/21	Local Government Records Management	To issue a new records retention and disposition schedule LGS-1
EDU-11-20-00015-EP	03/18/21	Military Ballots for School District and School District Public Library Elections, Budget, and Referenda	To implement chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law
EDU-11-20-00016-P	03/18/21	Eligibility Requirements for Loan Forgiveness and Grant Programs	Conforms Commissioner's regulations to the DREAM Act relating to student's eligibility for loan forgiveness and grant programs
EDU-16-20-00002-ERP	04/22/21	Addressing the COVID-19 Crisis.	To iprovide flexibility for certain regulatory requirements in response to the COVID-19 crisis.
EDU-20-20-00008-EP	05/20/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis

ELECTIONS, STATE BOARD OF

SBE-02-20-00002-P	01/14/21	Combine the 10 Day Post Primary Filing with the July 15th disclosure statement	This amendment combines the 10 Day Post Primary Filing with the July 15th disclosure statement that political committees file
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ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE

ERD-19-20-00012-P	05/13/21	CO2 Allowance Auction Program	Continued administration and implementation of the CO2 allowance auctions and programs under Part 507
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-37-19-00003-P	09/10/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-43-19-00006-P	01/07/21	Class I and Class SD waters	To clarify best usages of Class I and SD waters were/are "secondary contact recreation and fishing" and "fishing," respectively
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-11-20-00002-P	03/18/21	Brookfield Trail System	To protect public safety and natural resources on the Brookfield Trail System
ENV-11-20-00004-EP	03/18/21	Management of sharks, squid and Atlantic cod	To revise regulations concerning size, trip, and possession limits for sharks, squid and Atlantic cod
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.
ENV-14-20-00005-P	04/08/21	Chronic wasting disease.	Rectify an errant subdivision reference and reinstate sections of Part 189 that were inadvertently removed by a clerical error.
ENV-15-20-00015-EP	04/15/21	Regulations governing commercial and recreational fishing for striped bass.	To amend 6 NYCRR Parts 10 and 40 pertaining to commercial and recreational regulations for striped bass.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program
ENV-17-20-00006-P	04/29/21	Emission Statements	The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.
ENV-21-20-00003-EP	05/27/21	Regulations governing the recreational harvest of bluefish	To revise regulations concerning the recreational harvest of bluefish in New York State
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-33-19-00004-P	08/13/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	10/22/20	INDEPENDENT DISPUTE RESOLUTION FOR EMERGENCY SERVICES AND SURPRISE BILLS	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-48-19-00002-P	11/26/20	SUPERINTENDENT'S REGULATIONS: INFORMATION SUBJECT TO CONFIDENTIAL TREATMENT	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-09-20-00008-P	03/04/21	Enterprise Risk Management and Own Risk and Solvency Assessment; Group-Wide Supervision	To authorize the Superintendent to act as the group-wide supervisor for an internationally active insurance groups
DFS-11-20-00001-P	03/18/21	Corporate Governance	To require an authorized insurer to adopt a corporate governance framework and file an annual disclosure
DFS-12-20-00002-EP	03/25/21	Reverse Mortgage Loans	To implement newly enacted Real Property Law section 280-b as soon as it goes into effect.
GAMING COMMISSION, NEW YORK STATE			
SGC-01-20-00006-P	01/07/21	Permit Thoroughbred horses with digital tattoos to race in New York	To promote the integrity of racing and derive a reasonable return for government
SGC-07-20-00002-P	02/18/21	Allow claimant to void claim of lame horse	To enhance horse health and safety in thoroughbred racing
SGC-07-20-00003-P	02/18/21	Spanish 21, a blackjack variant to be offered in commercial casinos.	To set forth the practices and procedures for the operation of Spanish 21 as a casino table game.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-07-20-00004-P	02/18/21	Relating to the provision of social security numbers.	Limit collection of social security numbers.
SGC-07-20-00014-P	02/18/21	Thoroughbred pick-five and pick-six wagers.	To improve the pick-five and pick-six wagers in thoroughbred racing.
SGC-11-20-00012-P	03/18/21	Joint injections in Thoroughbred racing	To improve integrity, health and safety of Thoroughbred horse racing
GENERAL SERVICES, OFFICE OF			
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-30-19-00006-RP	07/23/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00006-P	09/03/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00004-P	10/01/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	01/28/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-04-20-00003-P	01/28/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	01/28/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-04-20-00012-P	01/28/21	State Aid for Public Health Services: Counties and Cities	Clarifying State Aid payments for maintaining a cooling tower program.
HLT-08-20-00001-EP	02/25/21	Communicable Diseases Reporting and Control - Adding Severe or Novel Coronavirus	To require physicians, hospitals, nursing homes, D&TCs and clinical laboratories to report instances of severe or novel coronavirus
HLT-11-20-00003-P	03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUDSON RIVER PARK TRUST			
HPT-19-20-00011-P	05/13/21	Amendment of rules and regulations for Hudson River Park	To create a new penalty schedule for the enforcement of violations of Park rules
HUMAN RIGHTS, DIVISION OF			
HRT-27-19-00002-P	07/02/20	Gender Identity or Expression Discrimination	To conform the Division's regulations with Executive Law as amended by Chapter 8 of the Laws of New York 2019.
LABOR, DEPARTMENT OF			
LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-03-20-00012-P	01/21/21	Minimum Wage Tip Allowances	Amendment of regulations governing tip allowances in the Miscellaneous Industries Wage Order
LAW, DEPARTMENT OF			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LAW, DEPARTMENT OF			
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-18-20-00002-P	05/06/21	Designation of a Privacy Officer	Removal of a named Privacy Officer., along with their contact information
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-47-19-00017-P	exempt	VDER, net metering, and community distributed generation	To update the Authority's tariff for consistency with the Public Service Commission, Department of Public Service, and CLCPA.
LPA-09-20-00009-P	exempt	LIPA's Tariff for Buy-Back Service (Service Classification No. 11)	To add a new Feed-In Tariff to supply the newly proposed Solar Communities program
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-09-20-00011-P	exempt	The modification of Service Classification No. 13 - Negotiated Contracts	To update the Authority's Tariff and authorize a negotiated contract with the Suffolk County Department of Public Works
LPA-09-20-00012-P	exempt	The Smart Grid Small Generator Interconnection Procedures	To be consistent with the New York State Standardized Interconnection Requirements
LPA-09-20-00013-P	exempt	The Long Island Choice provisions of the Authority's Tariff.	To enable CCA formation within the Long Island Choice Program.
LONG ISLAND RAILROAD COMPANY			
LIR-20-20-00005-EP	05/20/21	The conduct and safety of the public in the use of terminals, stations, and trains operated by The Long Island Railroad Company	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminals and stations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-20-20-00002-EP	05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of the transit system
MENTAL HEALTH, OFFICE OF			
OMH-47-19-00001-P	11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-12-20-00003-P	03/25/21	Uncompensated care funds issued pursuant to the Indigent Care Program.	To ensure the appropriate allocation of uncompensated care funds.
OMH-18-20-00003-P	05/06/21	Clinic Treatment Plans	To provide more flexibility in the development and execution of an individual's treatment plan
METRO-NORTH COMMUTER RAILROAD			
MCR-20-20-00004-EP	05/20/21	The conduct and safety of the public in the use of terminal, stations, and trains operated by Metro-North Commuter Railroad	To safeguard the public health and safety by amending rules concerning appropriate and safe uses of terminal and stations
METROPOLITAN TRANSPORTATION AGENCY			
MTA-23-19-00006-EP	06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
MOTOR VEHICLES, DEPARTMENT OF			
MTV-07-20-00005-P	02/18/21	Electronic transmission of data by dismantlers and scrap processors	To establish procedures for the electronic transmission of data by dismantlers and scrap processors
MTV-12-20-00005-P	03/25/21	Establishes an Internet Prelicensing Course.	conforms regulation with statute.
NEW YORK CITY TRANSIT AUTHORITY			
NTA-20-20-00001-EP	05/20/21	The conduct and safety of the public in the use of facilities and conveyances operated by New York City Transit Authority	To safeguard the public health and safety by amending existing rules concerning appropriate and safe use of the transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-07-19-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas meter for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
*PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-23-19-00005-P exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00011-P exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-19-00008-P exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-34-19-00015-P exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately \$31.7 million (or 4.1% in total revenues).

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-34-19-00016-P exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately \$5.8 million (or 1.4% in total revenues).
PSC-34-19-00018-P exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately \$156.7 million (10.4% in total revenues).
PSC-34-19-00020-P exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately \$6.3 million (or 1.4% in total revenues).
PSC-36-19-00009-P exempt	Minor rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-36-19-00011-P exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00020-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
PSC-43-19-00014-P exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-43-19-00015-P exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To consider a rehearing petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.
PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-45-19-00011-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00008-P exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
PSC-46-19-00010-P exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-47-19-00011-P exempt	Waiver of National Grid's code of conduct to allow for use of its name.	To determine if it is in the public interest to allow for the use of National Grid's name in the weatherization program.
PSC-48-19-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00007-P exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-19-00006-P exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00001-P exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00003-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00005-P exempt	Compensation of and rates for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00006-P exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-53-19-00006-P exempt	To amend the terms to which the customer must abide when discontinuing gas service.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-53-19-00007-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-01-20-00010-P exempt	Proposed transfer of water supply assets and dissolution of the Company.	To determine whether the transfer of water supply assets to the Town of North Collins is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-02-20-00005-P exempt	The 2020 Electric Emergency Response Plans for electric utilities subject to the provisions of PSL § 25-a	To consider the adequacy of the 2020 Electric Emergency Response Plans
PSC-02-20-00006-P exempt	The application of the earnings sharing mechanism related to a partial year period.	To consider O&R's petition to modify the application of the earnings sharing mechanism.
PSC-03-20-00009-P exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-04-20-00010-P exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Comunilife Woodhull HDfC for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-04-20-00014-P exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-05-20-00003-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-20-00004-P exempt	A statewide Make-Ready Program that that would provide incentives to deploy EVSE&I to charge light duty electric vehicles (EV).	To deploy the infrastructure needed to meet the State's goals of 850,000 EVs by 2025 and recommend appropriate utility roles.
PSC-05-20-00006-P exempt	Waiver of pipeline reassessment completion deadline while adequate tools to conduct inspection are found.	To ensure the safety of the Clove Lake Segment Pipeline with the use of adequate reassessment tools.
PSC-05-20-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00014-P exempt	A program for the procurement of Renewable Energy Certificates from existing renewable resources.	To purchase Renewable Energy Certificates and maintain the State's baseline of existing renewable resources.
PSC-06-20-00016-P exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-06-20-00017-P exempt	Petitions for rehearing, reconsideration, clarification and stay of the December 12, 2019 Order.	To determine whether the Commission should grant, deny, or modify the relief sought and actions proposed by Petitioners
PSC-07-20-00006-P exempt	Discontinuation of a program administered by Con Edison and removal of the program from the tariff.	Consider discontinuation of the Smart AC Kit Program.
PSC-07-20-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00009-P exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-07-20-00010-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00011-P exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Fallsburg.
PSC-08-20-00002-P exempt	Transfer of stock resulting in a complete ownership transfer.	To determine whether the proposed transfer is in the public interest.
PSC-08-20-00003-P exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-08-20-00004-P exempt	Waiver of provisions and service agreement.	To consider if the waiver and the proposed terms of a service agreement are in the public interest.
PSC-08-20-00005-P exempt	The use funding for certain pipeline safety programs.	To ensure appropriate use of funds reserved for gas safety programs.
PSC-08-20-00006-P exempt	To establish procedures and modify terminology for Underground Residential Distribution Systems.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-20-00002-P exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-09-20-00003-P exempt	Proposed transfer of the Company's assets to the Town and dissolution of the Company.	To determine if transfer of the water system to the Town of North Greenbush is in the public interest.
PSC-09-20-00004-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-20-00005-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-09-20-00006-P exempt	Petition for the use of an electric meter in submetering applications.	Whether to permit the use of the GG electric meter in submetering applications in New York State.
PSC-10-20-00003-P exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00004-P exempt	Recovery of extraordinary repair expenses and establishment of an escrow account.	To consider if the proposed escrow account is in the public interest.
PSC-10-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-10-20-00006-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00007-P exempt	Deferral and recovery of incremental costs and establishment of an extraordinary repair escrow account.	To consider deferring costs related to water main leak repairs for subsequent recovery and establishment of an escrow account.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-11-20-00008-P exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00009-P exempt	Proposed transfer of water supply assets.	To determine whether the transfer of assets from Whitlock to NYAW is in the public interest.
PSC-11-20-00010-P exempt	The proposed transfer of ownership interests in an existing transmission line.	Consideration of whether the proposed transfer is in the public interest.
PSC-11-20-00011-P exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00007-P exempt	Request for waiver of tariff provision.	Consideration of a request for waiver of tariff provision.
PSC-12-20-00008-P exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00009-P exempt	Clarify language regarding treatment of multi-unit buildings in CDG projects.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-12-20-00010-P exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-12-20-00011-P exempt	Sale of facilities.	To consider whether the sale of facilities is in the public interest.
PSC-12-20-00012-P exempt	Transfer of street lighting facilities.	Consideration of petition by NYSEG for transfer of assets to the City of Ithaca.
PSC-12-20-00013-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00014-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00015-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00016-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00017-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00018-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-12-20-00019-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00020-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00021-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00022-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-13-20-00006-P exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-20-00007-P exempt	Request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate energy efficiency protections are in place.
PSC-13-20-00008-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Lancaster.
PSC-15-20-00001-EP exempt	Suspension of tariff fees associated with certain suspended activities and services.	To assist customers in a time of hardship.
PSC-15-20-00002-EP exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-15-20-00003-EP exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-15-20-00004-EP exempt	Postponement of the annual update of the low income discount credits.	To assist customers in a time of hardship.
PSC-15-20-00005-EP exempt	Postponement of delivery rate and System Improvement Charge (SIC) increases and implementation of a make whole surcharge.	To assist customers in a time of hardship.
PSC-15-20-00006-EP exempt	Suspension of tariff fees associated with certain suspended activities and services.	To assist customers in a time of hardship.
PSC-15-20-00011-P exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00012-P exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00013-P exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-15-20-00014-P exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00016-EP exempt	Amendment of tariff modifying cash-out rules and potential penalties.	To ensure gas system reliability and safety.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-20-00001-EP exempt	Suspension of deadline in Standard Interconnection Requirements (SIR).	To prevent unnecessary cancellation of distributed generation and energy storage system projects based on the State of Emergency
PSC-16-20-00003-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00004-P exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-16-20-00005-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00006-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00007-P exempt	Proposed plan to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00008-P exempt	Extension of the ESA between New York State Electric & Gas Corporation and Nucor Steel Auburn, Inc.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preference.
PSC-16-20-00009-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00010-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Clifton Park.
PSC-16-20-00011-P exempt	Proposal to implement a competitive procurement process for participation in multi-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-17-20-00008-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-17-20-00009-P exempt	Proposed filing to provide credits for AMI non-residential customer sided meters.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-20-00010-P exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00011-P exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00012-P exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Gas Customers.	To consider appropriate tariff provisions for non-compliant Interruptible and Off-Peak Firm Gas Customers.
PSC-18-20-00001-EP exempt	Extension of time for gas companies to complete baseline atmospheric corrosion inspections and leakage surveys.	To protect utility workers and customers from virus exposure during the COVID-19 pandemic.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-20-00012-P exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
PSC-18-20-00013-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Clyde.
PSC-18-20-00014-P exempt	Tariff modifications to reduce customer costs related to relocating customer owned equipment for back-lot service relocations.	To facilitate the relocation of service lines owned by customers from the back of their lots to the front.
PSC-18-20-00015-P exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
PSC-19-20-00003-P exempt	Continued implementation of the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-19-20-00004-P exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
PSC-19-20-00005-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
PSC-19-20-00006-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-19-20-00007-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-19-20-00008-P exempt	Review of CECPN ownership transfer and related assets among CHPE, Inc., CHPE Properties, Inc., and CHPE, LLC	To consider the transfer of the CECPN and assets related to the Champlain Hudson Power Express Project.
PSC-19-20-00009-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
PSC-21-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Kiwi Energy NY LLC should be permitted to offer its Green Gas Products to mass market customers.
PSC-21-20-00006-P exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Village of Dryden.
PSC-21-20-00007-P exempt	The methodology for the calculation of reactive power demand.	To revise the methodology for the calculation of reactive power demand.
PSC-21-20-00008-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-21-20-00009-P exempt	Consideration of the NFG petition to modify Audit Implementation Plans.	To consider if modifying the Audit Implementation Plans are in the public interest.
PSC-21-20-00010-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Thompson.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-20-00011-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether IGS Energy should be allowed to offer a Carbon-Neutral Gas Product and a Home Warranty Service Product.
STATE UNIVERSITY OF NEW YORK			
SUN-53-19-00002-P 12/30/20	Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P 12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-14-20-00001-P 04/08/21	Proposed amendments to the traffic and parking regulations at State University of New York System Administration.	Amend existing regulations to update traffic and parking regulations.
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-20-20-00003-EP 05/20/21	The conduct and safety of the public in the use of terminals, stations and trains operated by Staten Island Rapid Transit Auth	To safeguard the public health and safety by amending rules concerning appropriate and safe use of terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-02-20-00001-EP 01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-21-20-00004-P exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period July 1, 2020 through September 30, 2020.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-16-20-00012-P 04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
THRUWAY AUTHORITY, NEW YORK STATE			
THR-01-20-00003-P 01/07/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
WORKERS' COMPENSATION BOARD			
WCB-37-19-00002-P 09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed
WCB-11-20-00005-EP 03/18/21	Updating the prescription drug formulary	To update the prescription drug formulary in response to continuous feedback

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

1045 San Jose LLC
6549 E. Phelps Rd., Scottsdale, AZ 85254
State or country in which incorporated — Arizona

AG CSF1A (Annex) Dislocation Fund, LP
c/o Angelo, Gordon & Co., LP, 245 Park Ave., New York, NY 10167
Partnership — AG CSF1A (Annex) Dislocation Fund GP, LLC

AG CSF1A (Annex) Dislocation Holdings Fund, LP
c/o Angelo, Gordon & Co., LP, 245 Park Ave., New York, NY 10167
Partnership — AG CSF1A (Annex) Dislocation Fund GP, LLC

AG Structured Credit Opportunities Fund, LP
c/o Angelo, Gordon & Co., LP, 245 Park Ave., New York, NY 10167
Partnership — AG Structured Credit Opportunities GP LLC

AG Structured Credit Opportunities Holdings, LP
c/o Angelo, Gordon & Co., LP, 245 Park Ave., New York, NY 10167
Partnership — AG Structured Credit Opportunities GP LLC

ALPS Distributors, Inc.
1290 Broadway, Suite 1000, Denver, CO 80203
State or country in which incorporated — Delaware

Altaris Health Partners V, L.P.
10 East 53rd Street, 31st Floor, New York, NY 10022
Partnership — AHP V GP, LP

Altaris Health Partners V-A, L.P.
10 East 53rd Street, 31st Floor, New York, NY 10022
Partnership — AHP V GP, LP

AMD Trust LLC
11467 Riverwood Place, North Palm Beach, FL 33408
State or country in which incorporated — Florida

Cavalry Fund I LP
61 Kinderkamack Rd., Woodcliff Lake, NJ 07677
Partnership — Cavalry Fund I Management LLC

Francisco Partners Agility II-B, LP
One Letterman Dr., Bldg. C, Suite 410, San Francisco, CA 94129
Partnership — Francisco Partners Agility GP II, LP

Francisco Partners Agility II-C, LP
One Letterman Dr., Bldg. C, Suite 410, San Francisco, CA 94129
Partnership — Francisco Partners Agility GP II, LP

Francisco Partners VI-C, L.P.
One Letterman Dr., Bldg. C, Suite 410, San Francisco, CA 94129
Partnership — Francisco Partners GP VI, L.P.

Francisco Partners VI-D, L.P.
One Letterman Dr., Bldg. C, Suite 410, San Francisco, CA 94129
Partnership — Francisco Partners GP VI, L.P.

FSN Capital VI L.P.
11-15 Seaton Place, St Helier, Jersey, JE4 9WG

GW Los Prados LLC
8524 Hwy. 6, N. Houston, TX 77095
State or country in which incorporated — Texas

Investment Managers Series Trust
235 W. Galena St., Milwaukee, WI 53212
State or country in which incorporated — Delaware

iRemedy PPE, LLC
379 W. Broadway, Suite 456, New York, NY 10012
State or country in which incorporated — Delaware

JVM Preferred Equity Fund, LLC
2020 Series Class A 7% Preferred Equity Interests
903 Commerce Dr., Suite 250, Oak Brook, IL 60523
State or country in which incorporated — Delaware

KB Essential Healthcare 30, DST
1645 Village Center Circle, Suite 200, Las Vegas, NV 89134
State or country in which incorporated — Delaware

MRKTSTAR, INC.
3654 Oso St., San Mateo, CA 94403
State or country in which incorporated — Delaware

Rastegar Holding Company LLC
1705 S Capital of Texas Hwy., Suite 400, Austin, TX 78746
State or country in which incorporated — Delaware

REEF Technology Inc.
601 Brickell Key Dr., Suite 1000, Miami, FL 33131
State or country in which incorporated — Delaware

Rodin Global Property Trust Inc.
110 E. 59th St., New York, NY 10022

RPC Escala Partners LLC
1705 S Capital of Texas Hwy., Suite 400, Austin, TX 78746
State or country in which incorporated — Delaware

Sherpa Healthcare Fund I, LP
c/o Walkers Corporate Limited, Cayman Corporate Centre, 27
Hospital Rd., George Town, Grand Cayman, Cayman Islands, KY1-
IOA
State or country in which incorporated — Delaware

Simulated Aptitude Inc.
c/o Entrepreneurs Roundtable Accelerator, 415 Madison Ave., 4th Fl.,
New York, NY 10017
State or country in which incorporated — Delaware

T Stamp Inc.
75 5th St. NW, Suite 2290, Atlanta, GA 30308
State or country in which incorporated — Delaware

Tanningier Fund I, LLC
c/o Cornice Management LLC, 420 W. 45th St., 2nd Fl., New York,
NY 10036
State or country in which incorporated — Delaware

Vennly, Inc.
447 Broadway, 2nd Fl., New York, NY 10013
State or country in which incorporated — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

UPGRADE ELECTRICAL SWITCHGEAR New York City Children's Center Bellerose, Queens County

Sealed bids for Project No. 46028-E, comprising a contract for Electrical Work, Upgrade Electrical Switchgear, Building 55, New York City Children's Center - Queens Campus, 7403 Commonwealth Blvd, Bellerose (Queens County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, June 3, 2020 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$54,200 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 307 days after the Agreement is approved by the Comptroller.

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten

percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

X Project commenced design before January 1, 2020. Not subject to provision.

Project commenced design on or after January 1, 2020. Subject to provision.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Pursuant to State Finance Law § 143(1), effective January 11, 2020, the required deposit will be waived upon request by any Minority- and Women-Owned Business Enterprise certified pursuant to Article 15-A of the Executive Law or any Service-Disabled Veteran-Owned Business Enterprise certified pursuant to Article 17-B of the Executive Law. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://ogs.ny.gov/design-construction/construction-contractors>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Division of Criminal Justice Services
Commission on Forensic Science

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State Commission on Forensic Science to be held on:

Date: June 5, 2020
Time: 9:00 a.m. - 1:00 p.m.

Video Conference Only: The webcast information for this meeting will be posted on the Division of Criminal Justice website under the Newsroom, Open Meeting/ Webcasts.

<https://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Division of Criminal Justice Services
Law Enforcement Agency Accreditation Council

Pursuant to Public Officers Law § 104, the NYS Division of Criminal Justice Services gives notice of a virtual meeting of the Law Enforcement Agency Accreditation Council to be held on:

Date: Thursday, June 4, 2020
Time: 10:00 a.m.

For further information, please contact: Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, 518-457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Division of Criminal Justice Services
Municipal Police Training Council

Pursuant to Public Officers Law § 104, the NYS Division of Criminal Justice Services gives notice of a virtual meeting of the Municipal Police Training Council to be held on:

Date: Wednesday, June 3, 2020
Time: 10:00 a.m.

For further information, please contact: Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, 518-457-2667

Live Webcast will be available as soon as the meeting commences at: <http://www.criminaljustice.ny.gov/pio/openmeetings.htm>

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional and long term care services. Proposed changes are being made to effect certain rate setting provisions in the approved 2020-2021 New York State Budget, to reflect historical utilization and efficiencies, and to make other operational, technical and streamlining changes. The following changes are proposed:

Non-Institutional Services:

Effective on or after July 1, 2020 the Commissioner of Health in consultation with the Commissioner of the Office for People With Developmental Disabilities (OPWDD), will amend the State Plan for Health Home services to adjust reimbursement to reflect historical utilization and other efficiencies related to the transition to CCOs.

Long Term Care Services:

Effective on or after October 1, 2020, DOH and OPWDD propose to amend the State Plan, to revise the rate setting methodology for calculating the occupancy adjustment for Intermediate Care Facilities for Individuals with Intellectual Disabilities by limiting or eliminating the adjustment based on a system-wide assessment of vacancy utilization, impose a limit on the amount of administration that is recognized in the rate methodology, as well as consider other actions to limit reimbursement where individuals are not in residence.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to these initiatives contained in the budget for state fiscal year 2020-2021 is approximately (\$ 75.2 million).

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, NY 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101
Kings County, Fulton Center

114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432 Albany, NY
12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health (DOH) proposes to amend the Office for People With Developmental Disabilities (OPWDD) 1915(c) Home and Community-Based Services Comprehensive Waiver (NY.0238). Proposed changes are being made to effect certain rate setting provisions in the approved 2020-2021 New York State Budget and to make other operational changes and streamlining changes.

The Amendment will revise the rate setting methodology for calculating the occupancy adjustment for Supervised Residential Habilitation services by limiting or eliminating the adjustment based on a system-wide assessment of vacancy utilization, as well as consider other actions to limit reimbursement where individuals are not in residence. The rate setting regions for Community Prevocational Services will be realigned with the rate settings regions already in use for Community Habilitation services.

The description of Community Habilitation will be updated to reflect that OPWDD will implement clinical review tools to formalize a three-step review process for consistency and efficiency of decision making and fairness and equity of service authorizations for Community Habilitation. This amendment will also implement technical changes to streamline the completion of the Documentation of Choices form by eliminating the need for Regional Office staff to sign the form.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2020-2021 is approximately \$103.8 million.

The public is invited to review and comment on this proposed HCBS Waiver Amendment, a copy of which will be available for public review on the OPWDD's website at: <https://opwdd.ny.gov/providers/home-and-community-based-services-waiver> as of June 1, 2020. Individuals without Internet access may view the proposed Amendment at any local (county) social services district.

Copies will be also be available at local Developmental Disabilities Regional Offices (DDRO) at the following addresses:

Finger Lakes DDRO
620 Westfall Rd./326 Sun St.
Rochester, NY 14620

Western NY DDRO
1200 East and West Rd., Building 16
West Seneca, NY 14224

Broome DDRO
249 Glenwood Rd.
Binghamton, NY 13905
Central NY DDRO
187 Northern Concourse

North Syracuse, NY 13212

Sunmount DDRO
2445 State Route 30
Tupper Lake, NY 12986

Capital District DDRO
500 Balltown Rd.
Schenectady, NY 12304

Hudson Valley DDRO
9 Wilbur Rd.
Thiells, NY 10984

Taconic DDRO
38 Firemens Way
Poughkeepsie, NY 12603

Bernard Fineson DDRO
80-45 Winchester Blvd, Building 80, 2nd Floor Administrative Suite
Queens Village, NY 11427

Metro NY DDRO/Bronx
2400 Halsey St.
Bronx, NY 10461

Brooklyn DDRO
888 Fountain Ave.
Bldg. 1, 2nd Floor
Brooklyn, NY 11239

Metro NY DDRO/Manhattan
25 Beaver St., 7th Floor
New York, NY 10004

Staten Island DDRO
930 Willowbrook Rd.
Staten Island, NY 10314

Long Island DDRO
415-A Oser Ave.
Hauppauge, NY 11788

Written comments will be accepted by email at peoplefirstwaiver@opwdd.ny.gov or by mail at Office for People With Developmental Disabilities, Division of Policy and Program Development, 44 Holland Avenue, Albany, NY 12229. All comments must be postmarked or emailed by July 1, 2020. Please indicate "OPWDD 1915(c) October 2020 Waiver Amendment Comments" in the subject line.

PUBLIC NOTICE

Department of State
F-2020-0084

Date of Issuance – May 27, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP).

The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0084 the applicant, Edwin G Saphar Jr., is proposing to install a rock revetment wall with 5-ton armor stones, keyed in with 3-ton stones with infill behind. The project is located at 7359 Sprogs Bluff, Town of Sodus, Wayne County, Lake Ontario.

The applicant's consistency certification and supporting information are available for review at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0084.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or June 26, 2020.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0322

Date of Issuance – May 27, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0322, Joseph Schmitt is proposing to install a new fiber glass 65 foot section of bulkhead landward of an existing bulkhead. Also proposed is to construct a 68 foot long by 3 feet wide open grate dock out to the low water line, with a boat lift. The project is located on West Meadow Creek at 12 Wylie Way, Stony Brook, NY 11790.

The applicant's consistency certification and supporting information are available for review at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0322Schmitt.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or June 26, 2020.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0331 (DA)

Date of Issuance – May 27, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0331 (DA), U.S. Military Academy is proposing to construct a temporary access trestle to enable the use of barges on the Hudson River for removal of excavated rock. The rock removal will take place during the construction phase of a new academic building within the Central Zone of the United States Military at West Point. This phase is anticipated to last approximately 18 months, after which the trestle in its entirety will be removed and the site restored to pre-construction conditions.

The applicant's consistency certification and supporting information are available for review at: [http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0331\(DA\)U.S.MilitaryAcademy.pdf](http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0331(DA)U.S.MilitaryAcademy.pdf)

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice or June 11, 2020.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0344

Date of Issuance – May 27, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0344, New York State Office of Parks, Recreation and Historic Preservation (NYSOPRHP) anticipates a multi-phase, multi-year approach to improving the recently acquired historic Horse Island, located on Lake Ontario in the Village of Sacketts Harbor, Jefferson County, New York. The NYSOPRHP anticipates a multi-phase, multi-year approach to improving the property for patron use. Two new floating dock systems, each 8' x 120' with 5' x 50' aluminum gangways and 112 square foot concrete abutments are proposed.

The applicant's consistency certification and supporting information are available for review at: <http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0344HorseIsland.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or June 26, 2020.

Comments should be addressed to Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE**Department of State
Uniform Code Variance/Appeal Petitions**

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0237 Matter of Powell & Associates, Robert T. Powell, P.O. Box 438, West Stockbridge, MA 01266, for a variance concerning safety requirements, including the height under a girder/soffit. Involved is an existing one family dwelling located at 144 Kilburn Road, Village of Garden City, County of Nassau, State of New York.

PUBLIC NOTICE**Department of State
Uniform Code Variance/Appeal Petitions**

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2020-0238 In the matter of Gamma Alpha Scientific Association, Larissa Smith, 1223 Oberon Drive, Kings Ferry, NY 13081, concerning safety requirements including a variance for reduction in required height of existing interior handrails and guardrails. Involved is the certificate of compliance inspection of an existing residential occupancy, three stories in height, known as "Gamma Alpha Scientific Association", located at 116 Oak Avenue, City of Ithaca, County of Tompkins, State of New York.

COURT NOTICES

AMENDMENT OF RULE

Court of Appeals

At a session of the Court, held at Court of Appeals Hall in the City of Albany, on the 7th day of May, 2020

Present, HON. JANET DiFIORE, Chief Judge presiding.

In the Matter of The Amendment of the Rules of Practice of the Court of Appeals (22 NYCRR Part 500)

Pursuant to section 51 of the Judiciary Law, it is hereby

ORDERED that Part 500 of the Rules of Practice of the Court of Appeals is amended (22 NYCRR Part 500), effective May 27, 2020, or as soon thereafter as section 52 of the Judiciary Law is complied with, by deleting the bracketed material and adding the underlined material to sections 500.1, 500.2, 500.10, 500.21, 500.22, 500.23, 500.24 and 500.26, to read as follows:

500.1 General Requirements.

(n) Filing of papers. All papers filed shall be addressed to the Clerk of the Court at 20 Eagle Street, Albany, New York 12207, not to a Judge or Judges of the Court, and shall be served on each other party in accordance with the requirements of this Part. Submissions shall [not] only be filed by facsimile transmission, [or] electronic mail, or other electronic transmission [except] when requested by the Clerk of the Court[,] or otherwise required by this Part.

500.2 Submission of Companion Digital Filings [Briefs and Record Material in Digital Format].

(a) The Court requires the submission of *filings in digital format as companions to the required number of copies of paper filings required under sections 500.10, 500.11, 500.12, 500.14, 500.21, 500.22, 500.23, 500.24, 500.26 and 500.27 of this Part.* [briefs and record material in digital format (see subsections 500.11(k); 500.12(h); 500.14(g); 500.23(a)(1)(ii); and 500.27(e) of this Part) as companions to the required number of copies of printed briefs and record material filed and served in accordance with this Part.]

(b) The companion [briefs and record material in digital format] *digital filings* shall comply with the technical specifications and instructions for submission available from the Clerk's Office.

(c) The companion [briefs and record material in digital format] *digital filings* shall be identical to the original printed [briefs and record material] *filings*, except they need not contain an original signature.

(d) Unless otherwise permitted by the Clerk of the Court, companion [briefs and record material in digital format] *digital filings required under sections 500.10, 500.11, 500.12 and 500.27* shall be received by the Clerk's Office no later than the filing due date for the printed [briefs and record material] *filings*. *Companion digital filings required under sections 500.21, 500.22, 500.23 and 500.24 shall be submitted no later than seven days after the return date of the motion. Companion digital filings required under section 500.26 shall be submitted within the time directed by the Clerk of the Court.*

(e) A request to be relieved of the requirements of this Part to submit companion [briefs and record material in digital format] *digital filings* shall be by letter addressed to the Clerk of the Court, with proof of service of one copy on each other party, and shall specifically state the reasons why submission of companion [briefs and record material in digital format] *digital filings* would present an undue hardship. *Such request shall be accompanied by unbound copies of the required printed filings.*

(f) The Clerk of the Court may reject [briefs and record material in

digital format] *companion digital filings* that do not comply with the requirements of this Part or the technical specifications and instructions for submission available from the Clerk's Office.

500.10 Examination of Subject Matter Jurisdiction.

(a) On its own motion, the Court may examine its subject matter jurisdiction over an appeal based on the papers submitted in accordance with section 500.9 of this Part. The Clerk of the Court shall notify all parties by letter (*Jurisdictional Inquiry*) when an appeal has been selected for examination pursuant to this section, stating the jurisdictional concerns identified in reviewing the preliminary appeal statement and setting a due date for filing and service of comments in letter form (*Jurisdictional Response*) from all parties. Such examination shall result in dismissal or transfer of the appeal by the Court or in notification to the parties that the appeal shall proceed either under the review process described in section 500.11 of this Part or in the normal course, with or without oral argument. This examination of jurisdiction shall not preclude the Court from addressing any jurisdictional concerns at any time.

(b) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, each party shall submit in digital format its Jurisdictional Response. Appellant shall also submit in digital format one copy of the record below, or appendix if the appendix method was used in the court below, and one copy of the briefs or papers filed below by each of the parties. The Jurisdictional Response in digital format shall be identical to the filed original Jurisdictional Response, except it need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office. The Jurisdictional Response in digital format shall be received by the Clerk's Office no later than the due date for the printed filings.*

500.21 Motions - General Procedures.

(b) Notice and service. Movant shall serve a notice of motion and supporting papers on sufficient notice to each other party, as set forth in the CPLR and subsections (b)(1), (2), (3) and (4) of this section. In computing the notice period, the date of service shall not be included.

(1) When [movant's papers are] *the motion* is personally served, movant shall give at least eight days' notice (CPLR 2214(b)).

(2) When [movant's papers are] *the motion* is served by regular mail, movant shall give at least 13 days' notice (CPLR 2103(b)(2)).

(3) When [movant's papers are] *the motion* is served by overnight delivery service, movant shall give at least nine days' notice (CPLR 2103(b)(6)).

(4) When [movant's papers are] *the motion* is served by facsimile transmission, movant shall comply with CPLR 2103(b)(5), and give at least eight days' notice.

(c) Filing. *In addition to the submission in digital format required by subsection 500.21(i) of this Part, u[U]nless otherwise permitted by the Court or Clerk of the Court, movant shall file its [papers] motion, with proof of service on each other party [of the required number of copies], at Court of Appeals Hall no later than noon on the Friday preceding the return date. On or before the return date of the motion, respondent may file papers in opposition to the motion, with proof of service on each other party [of the required number of copies]. Submissions shall not be filed by facsimile transmission or electronic*

mail, or other electronic transmission except when requested by the Clerk of the Court[.] or otherwise permitted by this Part. The Court's motion practice does not permit the filing of reply briefs and memoranda. A request for permission to file papers after the return date of the motion is governed by section 500.7 of this Part.

(d) Number of required copies. Except in cases of indigency, where subsection (g) of this section applies, *movant shall file an original and one copy of its [papers] motion, with proof of service of one copy on each other party. Respondent may file an original and one copy of its papers in opposition to the motion, with proof of service of one copy on each other party.* [the number of copies required to be filed is as follows:

(1) Motions for permission to appeal in civil cases. Movant shall file an original and six copies of its papers, with proof of service of two copies on each other party. Respondent may file an original and six copies one copy of papers in opposition to the motion, with proof of service of two copies on each other party.

(2) Motions for reargument of appeals, reargument of motions for permission to appeal and reargument of decisions on certified questions. Movant shall file an original and six copies of its papers, with proof of service of two copies on each other party. Respondent may file an original and six copies of papers in opposition to the motion, with proof of service of two copies on each other party.

(3) Other motions. For motions other than those addressed in subsections (d)(1) and (2) of this section, movant shall file an original and one copy of its papers, with proof of service of one copy on each other party. Respondent may file an original and one copy of papers in opposition to the motion, with proof of service of one copy on each other party.]

(i) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, each party shall submit in digital format its motion or opposition papers. The motion or opposition papers in digital format shall be identical to the filed original printed motion or opposition papers, except the digital filing need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office. The companion motion and opposition papers in digital format shall be submitted no later than seven days after the return date of the motion.*

500.22 Motions for Permission to Appeal in Civil Cases.

(a) Filing and notice. *In addition to the submission in digital format required by subsection 500.22(e) of this section, m[M]ovant shall file an original and [six copies] one copy of its [papers] motion, unless permitted to proceed pursuant to subsection 500.21(g), with proof of service of [two copies] one copy on each other party. The motion shall be noticed for a return date in compliance with CPLR 5516 and subsection 500.21(b) of this Part.*

(b) Content. [Movant's papers] *The motion shall be a single document, bound on the left, and shall contain in the order here indicated:*

(1) A notice of motion (see CPLR 2214).

(2) A statement of the procedural history of the case, including a showing of the timeliness of the motion.

(i) If no prior motion for leave to appeal to the Court of Appeals was filed at the Appellate Division, movant's papers to this Court shall demonstrate timeliness by stating the date movant was served (see CPLR 2103(b)) with the order or judgment sought to be appealed from, with notice of entry.

(ii) If a prior motion for leave to appeal to the Court of Appeals was filed at the Appellate Division, movant's papers filed in this Court shall demonstrate that the timeliness chain is intact by stating:

(a) the date movant was served with the order or judgment sought to be appealed from, with notice of entry,

(b) the date movant served the notice of motion addressed to the Appellate Division upon each other party, and

(c) the date movant was served with the Appellate Division order denying leave to appeal with notice of entry.

(3) A showing that this Court has jurisdiction of the motion and of the proposed appeal, including that the order or judgment sought to be appealed from is a final determination or comes within the special

class of nonfinal orders appealable by permission of the Court of Appeals (see CPLR 5602(a)(2)).

(4) A concise statement of the questions presented for review and why the questions presented merit review by this Court, such as that the issues are novel or of public importance, present a conflict with prior decisions of this Court, or involve a conflict among the departments of the Appellate Division. Movant shall identify the particular portions of the record where the questions sought to be reviewed are raised and preserved.

(5) A disclosure statement pursuant to subsection 500.1(f) of this Part, if required.

(6) Copies of the order or judgment sought to be appealed from with notice of entry, as well as copies of all relevant orders, opinions or memoranda rendered in the courts below. The papers shall state if no opinion was rendered.

(c) Additional documents. Movant shall file with its *motion* [papers] one copy of the record below, or appendix if the appendix method was used in the court below, and one copy of the briefs filed below by each of the parties [.] in digital format only, unless a request to be relieved of the digital filing requirements is submitted pursuant to subsection 500.2(e) of this Part. If a request to be relieved of the digital filing requirements is submitted pursuant to subsection 500.2(e) of this Part, *movant shall file with its [papers] motion one unbound copy of the record below, or appendix if the appendix method was used in the court below, and one unbound copy of the briefs filed below by each of the parties.*

(d) Opposing papers. *In addition to the submission in digital format required by subsection 500.22(e) of this section, r[R]espondent may file an original and [six copies] one copy of papers in opposition to the motion, with proof of service of [two copies] one copy on each other party. The opposing papers shall state concisely respondent's argument for dismissal or denial of the motion.*

(e) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, each party shall submit in digital format its motion or opposition papers. Movant shall also submit in digital format one copy of the record below, or appendix if the appendix was used in the court below, and one copy of the briefs filed below by each of the parties. The motion, opposition and papers filed in the court below in digital format shall be identical to the filed original printed motion or opposition papers and papers filed in the court below, except it need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office. The companion motion and opposition papers in digital format shall be submitted no later than seven days after the return date of the motion.*

500.23 Amicus Curiae Relief.

Any nonparty other than the Attorney General seeking to file an amicus brief on an appeal, certified question or motion for leave to appeal must obtain permission by motion. Potential amici seeking information are encouraged to contact the Clerk's Office by telephone during business hours. Information on the calendar status of appeals and certified questions, Court session dates and appropriate return dates for amicus motions also is available on the Court's web site.

(a) Motions for amicus curiae relief.

(1) Amicus curiae relief on normal course appeals and normal course certified questions.

(i) *In addition to the submission in digital format required by subsection 500.23(c) of this section, m[M]ovant shall file an original and one copy of its motion [papers], accompanied by an original amicus brief, with proof of service of one copy of the motion and one copy of the brief on each other party. The proposed brief shall conform to the word and page limits set forth in subsection 500.13(c) of this Part and the requirements of section 500.1 of this Part.*

(ii) If the motion is granted, in addition to the submission in digital format required by subsection 500.12(h) of this Part, nine copies of the brief shall be filed, with proof of service of three copies on each party, within the time set by the Court's order.

(iii) The motion shall be noticed for a return date no later than

the Court session preceding the session in which argument or submission of the appeal or certified question is scheduled. When an appeal or certified question is scheduled for argument or submission during the Court's January or September session, the motion shall be noticed for a return date no later than the first Monday in December or the first Monday in August, respectively.

(2) Amicus curiae relief on appeals and certified questions selected for review by the alternative procedure. *In addition to the submission in digital format required by subsection 500.23(c) of this section, m[M]ovant shall file an original and one copy of its [papers] motion, accompanied by an original and [two copies] one copy of the proposed submission, with proof of service of one copy on each other party. The motion shall be noticed for a return date no later than the filing date set for respondent's submission on the appeal. The proposed submission shall conform to the word and page limits set forth in subsection 500.11(m) of this Part and the requirements of section 500.1 of this Part.*

(3) Amicus curiae relief on motions for permission to appeal in civil cases. *In addition to the submission in digital format required by subsection 500.23(c) of this section, m[M]ovant shall file an original and one copy of its papers, accompanied by an original amicus brief, with proof of service of the motion and one copy of the brief on each other party. The motion shall be noticed for a return date as soon as practicable after the return date of the motion for permission to appeal to which it relates. The granting of a motion to appear amicus curiae on a motion for permission to appeal does not authorize the movant to appear amicus curiae on the subsequent appeal. A new motion for amicus curiae relief on the appeal must be brought pursuant to subsection (a)(1) or (2) of this section.*

(4) Criteria. Movant shall not present issues not raised before the courts below. A motion for amicus curiae relief shall demonstrate that:

- (i) the parties are not capable of a full and adequate presentation and that movant could remedy this deficiency;
- (ii) movant could identify law or arguments that might otherwise escape the Court's consideration; or
- (iii) the proposed amicus curiae brief otherwise would be of assistance to the Court.

(5) Opposing papers. *In addition to the submission in digital format required by subsection 500.23(c) of this section, r[R]espondent may file an original and one copy of papers in opposition to the motion, with proof of service of one copy on each other party.*

(b) Amicus curiae filings by the Attorney General.

(1) Amicus curiae relief on motions for permission to appeal in civil cases. *In addition to the submission in digital format required by subsection 500.23(c) of this section, t[T]he Attorney General shall file an original and one copy of the submission with proof of service of one copy on each other party. The submission shall be filed without leave of the Court on or before the return date of the motion for permission to appeal.*

(2) Amicus curiae relief on normal course appeals and normal course certified questions. See subsections 500.12(e) and 500.13(c) of this Part and section 500.1 of this Part.

(3) Amicus curiae relief on appeals and certified questions selected for review by the alternative procedure. See subsections 500.11(j) and 500.11(m) of this Part.

(c) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, each party shall submit in digital format its motion or opposition papers. Movant shall also submit in digital format the proposed amicus brief or submission. The motion, proposed amicus brief or submission, and opposition papers submitted in digital format shall be identical to the filed original printed motion or opposition papers, except the digital filing need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office. The motion, proposed amicus brief or submission, and opposition papers in digital format shall be submitted within seven days of the return date of the motion.*

500.24 Motions for Reargument of Appeals, Motions and Decisions on Certified Questions.

(a) Filing and notice. *In addition to the submission in digital format required by subsection 500.24(g) of this section, m[M]ovant shall file an original and [six copies] one copy of its [papers] motion, with proof of service of [two copies] one copy on each other party. [An original and one copy of a motion for reargument of a motion may be served and filed if filing of an original and one copy of papers was allowed on the underlying motion pursuant to subsection 500.21(d)(3) or (g) of this Part.]*

(f) Opposing papers. [Except on those motions described in subsection 500.21(d)(3) of this Part,] *In addition to the submission in digital format required by subsection 500.24(g) of this section, respondent may file an original and [six copies] one copy of papers in opposition to the motion, with proof of service of [two copies] one copy on each other party. The opposing papers shall briefly state respondent's argument for dismissal or denial of the motion.*

(g) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, each party shall submit in digital format its motion or opposition papers. The motion or opposition papers in digital format shall be identical to the filed original printed motion or opposition papers, except the digital filing need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office. The companion motion and opposition papers in digital format shall be submitted within seven days of the return date of the motion.*

500.26 Primary Election Session Procedures.

(b) Motions for permission to appeal.

(1) Movant shall immediately contact the Clerk's Office by telephone upon receipt of the order from which movant seeks leave to appeal.

(2) Movant shall immediately orally notify each other party of the motion.

(3) Within the time directed by the Clerk of the Court, movant shall file:

(i) an original and [nine copies] one copy of a letter requesting permission to appeal with proof of service of one copy on each other party;

(ii) [10 copies] one copy of the Appellate Division decision and order;

(iii) [10 copies] one copy of the Supreme Court decision and order;

[(iv) 10 copies of movant's Appellate Division brief and, where applicable, the record or appendix;]

(iv) [(v)] the original file, where applicable, which movant shall obtain; and

(v) [(vi)] the fee, if any, required by subsection 500.3(b) of this Part.

(4) Within the time directed by the Clerk of the Court, respondent [shall submit 10 copies of its Appellate Division brief, and] may submit an original and [nine copies] one copy of a letter in opposition with proof of service of one copy on each other party.

(5) *Companion submission in digital format. Unless a request to be relieved of the digital filing requirement is submitted pursuant to subsection 500.2(e) of this Part, within the time directed by the Clerk of the Court, movant shall file in digital format a copy of the letter requesting permission to appeal, the Appellate Division decision and order, the Supreme Court decision and order, one copy of movant's Appellate Division brief and, where applicable, the record or appendix; respondent shall file in digital format a copy of respondent's Appellate Division brief and any letter in opposition. These filings in digital format shall be identical to the filed original papers, except the digital filings need not contain an original signature. All material submitted under this section shall comply with the technical specifications and instructions for submission available from the Clerk's Office.*

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: April 30, 2020

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 04/30/2020**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 04/30/2020
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	510,220.87
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	(60,451,646.08)
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	14,267.06
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	300,835.88
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	108.47
1203 DA DFRF MAC #1203 Oneonta	Key Bank	1,021.06
1205 DA DFRF MAC #1205 Delhi	Key Bank	240.67
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	561.64
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	484.09
1208 DA DFRF MAC #1208 Alfred	Key Bank	114,173.75
1209 DA DFRF Mac #1209 Fredonia	Key Bank	606.60
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	234.30
1212 DA DFRF Mac #1212 Oswego	Key Bank	151.23
1214 DA DFRF Mac #1214 Cortland	Key Bank	167,998.53
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	528.34
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	89.25
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	745.13
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	237.10
1219 DA DFRF MAC #1219 Maritime	Key Bank	769.96
1221 DA DFRF MAC #1221 Brockport	Key Bank	347.17
1222 DA DFRF MAC #1222 Geneseo	Key Bank	928.27
1223 DA DFRF MAC #1223 Purchase	Key Bank	1,070.88
1224 DA DFRF MAC #1224 New Paltz	Key Bank	710.48
1225 DA DFRF MAC #1225 Canton	Key Bank	28.91
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	617,824.42
1227 DA DFRF MAC #1227 Potsdam	Key Bank	776.37
1228 DA DFRF MAC #1228 Morrisville	Key Bank	123.44
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	287.45
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	965.01
1239 DA DFRF MAC #1239 Albany	Key Bank	777.32
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMRR MAC #2202 Binghamton	Key Bank	1,000.56
2203 DA OMRR MAC #2203 Oneonta	Key Bank	3,447.01
2205 DA OMRR MAC #2205 Delhi	Key Bank	1,000.54
2206 DA OMRR MAC #2206 Buffalo Univ	Key Bank	1,000.54
2207 DA OMRR MAC #2207 Buffalo College	Key Bank	1,000.29
2208 DA OMRR MAC #2208 Alfred	Key Bank	1,224.77
2209 DA OMRR MAC #2209 Fredonia	Key Bank	1,000.55
2211 DA OMRR MAC #2211 Upstate Medical	Key Bank	1,000.53
2212 DA OMRR MAC #2212 Oswego	Key Bank	1,000.50
2214 DA OMRR MAC #2214 Cortland	Key Bank	1,000.61
2215 DA OMRR MAC #2215 Stony Brook	Key Bank	1,000.41
2216 DA OMRR MAC #2216 Old Westbury	Key Bank	1,236.59
2217 DA OMRR MAC #2217 Farmingdale	Key Bank	1,020.19
2218 DA OMRR MAC #2218 Downstate Med	Key Bank	1,000.10
2219 DA OMRR MAC #2219 Maritime	Key Bank	1,220.56
2221 DA OMRR MAC #2221 Brockport	Key Bank	1,154.39
2222 DA OMRR MAC #2222 Geneseo	Key Bank	1,145.39
2223 DA OMRR MAC #2223 Purchase	Key Bank	1,000.42
2224 DA OMRR MAC #2224 New Paltz	Key Bank	1,000.43
2225 DA OMRR MAC #2225 Canton	Key Bank	1,019.87
2226 DA OMRR MAC #2226 Plattsburgh	Key Bank	1,009.63
2227 DA OMRR MAC #2227 Potsdam	Key Bank	1,215.46
2228 DA OMRR MAC #2228 Morrisville	Key Bank	1,000.05
2229 DA OMRR MAC #2229 SUNYIT	Key Bank	1,000.36
2230 DA OMRR MAC #2230 Cobleskill	Key Bank	1,199.35
2239 DA OMRR MAC #2239 Albany	Key Bank	1,605.26
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,014.65
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	7,908,378.55
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		

0315	The Egg	Key Bank	95,455.79
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	19,552,349.25
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	1,113,690.41
0371	Series H Commercial Paper	Bank of America, N.A.	1,402,012.21
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	337,983.93
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	28,731.51
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	180,810.60
0520	Development Account	Key Bank	3,215,841.15
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	1,072,640.51
0523	Recapture Account	Key Bank	119,036.77
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	440,345.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	107,771.92
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	27,553.21
0626	Criminal Investigation Division	Key Bank	344,431.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	2,802,771.18
0827	Stock Transfer Incentive Fund	Key Bank	1,095,445.55
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	(12,167,908.72)
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	205,007.68
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	4,151,644.61
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,052,486.58
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	74,031.52
0285	Public Purpose Account	Key Bank	146,404.95
0286	Disbursement Account	Key Bank	3,749,256.55
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,302.18
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	198,761.96
0294	Housing Plan Fund	Key Bank	(16,717,054.41)
0301	HFA - Subsidy Repayment Account	Key Bank	176,152.12
0305	HPD Disbursement Fund	Key Bank	2,071,568.92
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,999.24
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,052,929.60
0460	Escrow Account	M&T Bank	2,623,974.96
0461	Housing Modernization Account	M&T Bank	1,046,215.93
0462	General Custodial Account	M&T Bank	48,207,200.88
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	8,655,889.79
0466	Homes for Working Families Account	M&T Bank	3,608,397.71
0467	Section 8 Administrative Account	M&T Bank	24,499,825.47
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	4,384,201.12
0470	HCV Main Account	Bank of America, N.A.	15,710,926.61
0471	Empire State Relief Fund	M&T Bank	533,181.54
0472	Master Escrow Account	Bank of America, N.A.	0.00

0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,052,931.15
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	1,548,759.86
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	2,224,023.74
0891	Small Cities Community Development Block Grant Program	M&T Bank	13,384.55
0892	Disaster Recovery Initiative Account	M&T Bank	317,934.52
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	927,397.59
0899	HTFC Storm Recovery Lockbox	US Bank	43,132.46
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	700,998.87
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	503,028.90
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	596,883.17
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	671,147.88
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,211,751.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	86,827,652.02
0054	State Insurance Fund	Bank of America, N.A.	12,552,927.51
0055	State Insurance Fund	Bank of America, N.A.	11,749,407.06
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	24,993.85
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,061,901.03
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,768.24
0870	Deductions Account	Key Bank	225,984.94
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 04/30/2020
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	181,825,221.46
Occupational Training Act Funding Account	Key Bank	136,916.00
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(222,334,535.10)
General Checking	Key Bank	(360,654,708.51)
Direct Deposit Account	Wells Fargo	79,888.81
TOTAL		(241,647,726.59)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,891.08
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	22,749.08
NY ISO Account	Key Bank	9,000,022.13
OGS Binghamton Office Bldg	Key Bank	0.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	537.12
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	280,009.56
State of New Your OGS Escrow	Key Bank	127,542.90
State of NY Office Of General Services	Key Bank	0.00
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	2,481.75
Key Advantage Account	Key Bank	82,970.98
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	544,652.92
NYSPTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	8,149.22
Special Fund	Key Bank	48,848.48
State Police Receipts Account	Bank of America, N.A.	394,285.33
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,500.00
Troop B Confidential	Key Bank	6,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,320.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,500.00
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	664.75
Troop K Poughkeepsie-Confidential	Bank of Millbrook	2,355.60
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	9,149.29
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	9,944.84
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	26,502.67
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	11,114.53
Revenue Account	JPMorgan Chase Bank, N.A.	112,160.20
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS Flex Spending	Key Bank	89,555.19
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	No report received
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,220.71
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	130,546.46
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	4,679.20
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,897.00
FBI Fee Account	Bank of America, N.A.	4,284.46
Fingerprint Fee Account	Bank of America, N.A.	522,955.50
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	2,002.91
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	58,269.78
Alcoholic Bev Control License	Wells Fargo Bank	53,449.64
Alcoholic Beverage Control License	M&T Bank	87,905.63
Assessments Bulk	JPMorgan Chase Bank, N.A.	348,246.67
Assessments Receivable	JPMorgan Chase Bank, N.A.	3,658,814.53
Assessments Receivable (EFT)	Wells Fargo Bank	121,754.59
Boxing And Wrestling Tax	Bank of America, N.A.	64,522.09
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	251,736.12
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	2,476,167.15
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	173,065.67
Check 21 PIT	JPMorgan Chase Bank, N.A.	666,086.28
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	198,670.77
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,288,174.39
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	500.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	430,634.27
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	174.83
Cigarette Tax Tobacco Products	Bank of America, N.A.	66,913.99
Congestion Surcharge	Wells Fargo Bank	128,867.22
Corporation Tax	Wells Fargo Bank	924.01
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	87,111.30
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	57,368.52
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	206,499.17
ERS Petty Cash Acct	Key Bank	No report received
Estate Tax	Bank of America, N.A.	0.00
Estimated Tax	JPMorgan Chase Bank, N.A.	5,352,656.89
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	1,997.79
Highway Use - Permits & Reg.	Bank of America, N.A.	2,454.00
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,067,862.38
Hudson River-Black River	Bank of America, N.A.	585,456.23
Hudson River-Black River	Community Bank	28,751.83
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	6,941.50
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	218,561.61
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	5,493.00
IFTA-Fuel Use	Bank of America, N.A.	33,940.28
Income Tax - LLC	JPMorgan Chase Bank, N.A.	45,241.07
Justice Court	Key Bank	168,831.82
Mac #847 NYS T&F Pari Mutuel	Key Bank	6,112.72
Mac #848 NYS T&F Off Track	Key Bank	89,725.93
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	875.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	144,450.00
Medical Marijuana Tax Collections	Bank of America, N.A.	1,685.89
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	120,328.95
Ogdensburg Bridge & Port	Community Bank	43,483.34
Opioid Excise Tax	Wells Fargo Bank	50,250.43
Personal Income Tax	Wells Fargo Bank	44,880.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	312,753.23
Petroleum Products Tax	Bank of America, N.A.	1,542,921.58
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	2,504,791.00
Port Of Oswego	Key Bank	102,336.15

Promptax - MCTMT	Wells Fargo Bank	4,618,928.92
Promptax - Petroleum Business Tax	Wells Fargo Bank	587.83
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	292.03
Promptax - Withholding	Wells Fargo Bank	4,428,408.84
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	24,648.96
Real Estate Transfer Tax	Key Bank	173,272.86
Revenue Holding	First Niagara Bank	2,118,000.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	999.91
SUNY Concentration	First Niagara Bank	199,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,937.12
Troy Debt Service Reserve Fund	Bank of America, N.A.	243,267.17
Uncashed Winning Tickets	Bank of America, N.A.	25,873.39
Unclaimed Funds	JPMorgan Chase Bank, N.A.	86,344.46
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	14,454.51
Withholding	Wells Fargo Bank	598.24
Withholding Tax	JPMorgan Chase Bank, N.A.	20,554,324.79
Cash Advance Accounts		
Advance For Travel Account	Key Bank	800.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	2,850.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	No report received
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	No report received
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	No report received
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	No report received
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	No report received
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	No report received
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	No report received
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	No report received
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	No report received
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	9,380,987.86
National Global Settlement	JPMorgan Chase Bank, N.A.	5,206,640.25
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,260.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,293.88
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,000.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,183.18
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	19,485.30
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		

Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,630,125.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,679,385.00
Finger Print Account	JPMorgan Chase Bank, N.A.	2,425.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	467.50
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,305,273.37
Lawyers Fund For Client Protection - Bail	Key Bank	700.79
Petty Cash	Key Bank	1,970.69
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	No report received
Clerk Of The Court Of Appeals	Key Bank	No report received
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	42,600.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	71,453.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	22,944.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	24,900.50
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	12,573.50
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	No report received
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.54
Glen Cove City Court Revenue	Wells Fargo Bank	1,874.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	4,020.20
Long Beach Court Bail	Wells Fargo Bank	30,638.56
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	51,557.72
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	153,645.40
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	20,316.85
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	96,345.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	4,790.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	63,694.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	20,473.71
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	125,437.83
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	0.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	142,864.00
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	1,106.25
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	192,333.50
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	86,952.00
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	84,415.25
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	32,152.75
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	107,197.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	1,323.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	1,650.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	39,125.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	1,880.00

Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	39,583.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	210.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	41,058.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	1,243.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	50.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	7,026.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	290.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	59,710.50
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	14,081.00
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	47,635.50
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	35,331.18
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,546,749.28
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	88.37
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	15,677.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	21,282.25
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	0.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	36,747.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	0.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	7,955.00
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	12,033.42
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	146,633.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	12,236.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	28,853.75
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	5,996.10
Cohoes City Court Fees/Fines Account	Key Bank	0.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	77.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	151.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	29,136.04
Hudson City Revenue	Wells Fargo Bank	12,305.00
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	0.00
Kingston City Court Revenue	Wells Fargo Bank	0.00
Rensselaer City Court		

Rensselaer City Court - Bail	Wells Fargo Bank	8,801.00
Rensselaer City Court - Revenue	Wells Fargo Bank	931.00
Rensselaer City Court Bail Acct	Key Bank	3,210.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	14,119.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	0.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	75.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	78,345.40
Troy Police Court Bail Account	Bank of America, N.A.	12,510.50
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	7,737.25
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	47,904.00
Watervliet City Court - Revenue	Wells Fargo Bank	14,000.30
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	No report received
Amsterdam City Court - Revenue	Key Bank	No report received
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	No report received
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	No report received
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	No report received
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	No report received
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	No report received
Glens Falls City Court Bail Acct	Glens Falls National	No report received
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	No report received
Gloversville City Court Revenue	NBT Bank	No report received
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	No report received
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	No report received
Johnstown City Court Fines/Fees - Revenue	Key Bank	No report received
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	No report received
Mechanicville City Ct Revenue Acct	TD Bank	No report received
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	No report received
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	No report received
Ogdensburg City Court Revenue	Community Bank	No report received
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	No report received
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	No report received
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	No report received
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	No report received
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	No report received
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	No report received
Schenectady City Court Revenue	Bank of America, N.A.	No report received
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	No report received
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	No report received
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	No report received
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	No report received
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	1,876.10
Fulton City Court Revenue	Key Bank	10,086.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	632.00
Jefferson Surrogates		

Jefferson Co Surrogate Revenue	Key Bank	4,507.00
Lewis County		
Lewis County Clerk	Community Bank	9,025.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,617.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	750.00
Little Falls City Court Revenue	M&T Bank	617.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	3,048.33
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	9,524.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	9,770.00
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	10,177.67
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	2,864.07
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	4,807.75
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	1,099.25
Rome City Court - Revenue	Bank of America, N.A.	17,678.50
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	413.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	111,807.00
Syracuse City Court - Fees - Revenue	Alliance Bank	14,184.51
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	37,130.82
Utica City Court Revenue Account	Key Bank	4,167.00
Watertown City Court		
Watertown City Court Bail	Key Bank	71,062.58
Watertown City Court Fees & Fines - Revenue	Key Bank	6,997.82
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	47,710.00
Binghamton City Court Revenue	M&T Bank	6,143.55
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	2.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	0.00
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	0.00
Cortland City Court		
Court City Court Bail	NBT Bank	13,039.21
Court City Court- Revenue	NBT Bank	17,996.25
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	292.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	0.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	3,014.00
Elmira City Court Bail	Chemung Canal Trust	14,347.85
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	4,324.00
Ithaca City Court Revenue	Tompkins County Trust	210.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	0.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	200.00
Norwich City Court Revenue Acct	NBT Bank	0.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	4,165.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	9,015.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	2,098.00
Oneonta City Court Bail Account	Community Bank	5,454.50
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	384.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	0.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	432.00

Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	50.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	12,786.55
Auburn City Court Fees & Fines - Revenue	Key Bank	2,094.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	17,173.42
Canandaigua City Court Revenue	Canandaigua National Bank	3,653.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	292.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	0.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	17,166.96
Geneva City Court Revenue Account	Wells Fargo Bank	3,942.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	3,750.00
Hornell City Court Revenue	Steuben Trust Co.	335.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	1,051.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	15,549.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,114.75
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	270,794.60
Rochester City Revenue	M&T Bank	3,440.00
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	412.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	1,555.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	845.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	463.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
Buffalo City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Revenue	M&T Bank	No report received

ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	30,170.64
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	0.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	5,666.00
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	43,799.98
Middletown City Bail Escrow - Bail	Wells Fargo Bank	36,535.95
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	16,279.30
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	104,510.93
Mt Vernon City Court State Revenue	Wells Fargo Bank	54,729.85
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	228,743.92
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	20,677.08
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	11,584.35
Newburgh City Court Revenue	Wells Fargo Bank	8,507.00
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	3,822.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	13,121.20
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	32,698.24
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	2,820.19
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	4,224.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	97,843.16
Poughkeepsie City Court -Revenue	Wells Fargo Bank	0.00
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	0.00
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	5,768.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	2,150.23
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	856.25
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	61,281.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	2,762.12
White Plains City Court Bail Account	Wells Fargo Bank	65,219.12
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	43,784.00
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	147,052.08
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	36,902.00
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	26,155.65
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	1,337.68
Animal Population Control Account	Key Bank	35,494.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	24,640.00
Dairy Industry Services Account	Key Bank	38,770.80
Dairy Promotion Order Fund	Key Bank	0.00

Milk Producers Security Fund	Key Bank	62,142.17
NYS Farmers Market Program	Key Bank	432,776.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	138.25
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,964.06
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.25
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	29,721.66
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	33,234.93
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	26,021.36
State Fair		
NYS Fair Operating Account	Solvay Bank	190,707.06
NYS Fair Special Account	Solvay Bank	231.20
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,468.00
Examination Application Fees Account	Key Bank	5,727.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	11,802,186.97
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	484.90
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	0.00
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	493,915.72
Conservation Petty Cash Account	M&T Bank	30,000.00
DEC/Exchange Account	M&T Bank	20,483.53
ENCON License Issuing Office	M&T Bank	532.50
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,204.90
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,919.32
Hunting Trapping & Fishing Account	M&T Bank	219,684.61
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,206.43
Lockbox Account	Wells Fargo Bank	127,177.89
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.06
NY Conservationist	Bank of America, N.A.	25,179.54
Program Fee	JPMorgan Chase Bank, N.A.	16,383.55
Revenue Account	Bank of America, N.A.	2,342,946.58
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,454.78
State of New York	Key Bank	105,845.47
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	0.00
Region 1		
Marine Permit Account	Bank of Smithtown	87,371.68
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	13,392.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region 5W	TD Bank	16,930.93
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	313.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,300.70
Tree Nursery	Bank of America, N.A.	44,287.20
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	15.80
Lands & Forests District #6	Community Bank	60.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,623.71
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	6,548.42
General Cash Fund	Five Star Bank	11,539.29
Inmate Occupational Therapy Fund	Five Star Bank	56,438.98
Inmate Savings Account	Five Star Bank	254,589.15

Spendable Fund	Five Star Bank	393,271.55
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,821.89
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Key Bank	19,643.63
Inmate Spendable Account	Key Bank	186,511.66
Inmate Spendable Savings CD	Key Bank	252,638.88
Misc Revenue	Key Bank	45,244.04
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,038.17
Employee Benefit Fund	Key Bank	13,049.47
General Fund	Key Bank	856.46
Inmate Funds	Key Bank	176,929.40
Inmate Funds Money Market Account	Key Bank	784,377.89
Inmate Occupational Therapy Acct	Key Bank	55,088.80
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,352.95
Inmate Occupational Therapy Fund	Key Bank	19,406.32
Inmate Spendable Funds	Key Bank	68,565.67
Inmate Spendable Savings Account	Key Bank	60,670.68
Miscellaneous Receipts	Key Bank	15,701.54
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Facility Advance	Key Bank	6,102.89
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	166,230.28
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	9,722.10
Occupational Therapy	Key Bank	22,183.39
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	2,753.71
Employee Benefits	M&T Bank	9,204.39
Inmate Spending Account	M&T Bank	462,922.39
Inmates Account	M&T Bank	788,330.00
Inmates Benefit	M&T Bank	32,041.37
Misc Receipts	M&T Bank	11,192.51
Occupational Therapy Account	M&T Bank	19,711.38
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,441.65
Employee Benefit	Key Bank	1,570.39
Inmate Occupational Therapy Fund	Key Bank	43,283.43
Inmate Savings Account	Key Bank	88,185.41
Inmates Fund Account	Key Bank	126,872.92
Misc. Receipts	Key Bank	14,322.58
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,954.62
General Fund	Key Bank	10,257.98
Inmates Money Market	Key Bank	287,811.91
Inmates Now Checking	Key Bank	651,004.57
Occupational Therapy Fund	Key Bank	126,076.56
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	2,537.40
Employee Benefit Fund	Bank of America, N.A.	10,301.97
Inmate Funds	Bank of America, N.A.	112,753.67
Inmate Funds Savings	Bank of America, N.A.	154,122.80
Misc Receipts	Bank of America, N.A.	2,142.52
Occupational Therapy	Bank of America, N.A.	26,511.58
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	No report received
Employee Benefit Fund	Sterling Bank	No report received
ID Now 3 Month CD	M&T Bank	No report received
Inmate Deposit Now Account	Sterling Bank	No report received
Inmate Occupational Therapy Account	Sterling Bank	No report received
Misc. Receipts	Sterling Bank	No report received

10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER

Agency Advance Account
Employee Benefit Fund
Inmate CD Account
Inmates Fund
Miscellaneous Receipts
Occupational Therapy Fund

Chemung Canal Trust 2,742.11
Chemung Canal Trust 28,581.60
M&T Bank 213,784.05
Chemung Canal Trust 484,574.51
Chemung Canal Trust 0.00
Chemung Canal Trust 29,930.76

10120 - BEDFORD HILLS CORRECTIONAL FACILITY

Advance Account
Employee Benefit Fund
Inmate Funds
Inmate Funds CD
Inmate Funds Money Market
Misc. Receipts
Occupational Therapy

JPMorgan Chase Bank, N.A. 4,186.50
JPMorgan Chase Bank, N.A. 7,802.82
JPMorgan Chase Bank, N.A. 144,035.11
JPMorgan Chase Bank, N.A. 90,000.00
JPMorgan Chase Bank, N.A. 22,950.05
JPMorgan Chase Bank, N.A. 1,439.75
JPMorgan Chase Bank, N.A. 63,904.13

10130 - COXSACKIE CORRECTIONAL FACILITY

Agency Advance Account
Employee Benefits Fund
Inmates Fund
Inmates Fund Savings Acct
Misc. Revenue
Money Market Acct
Occupational Therapy Acct

National Bank of Coxsackie 2,202.93
National Bank of Coxsackie 11,510.45
National Bank of Coxsackie 100,110.12
National Bank of Coxsackie 185,036.97
National Bank of Coxsackie 1,039.35
National Bank of Coxsackie No report received
National Bank of Coxsackie 53,518.16

10140 - WOODBOURNE CORRECTIONAL FACILITY

CD - Inmate Funds
CD - Inmate Funds
WCF Agency Advance
WCF General Fund
WCF Inmate Fund
WCF Occupational Therapy Fund

First National Bank of Jeffersonville 190,000.00
First National Bank of Jeffersonville 50,000.00
Jeff Bank 1,422.25
Jeff Bank 17,465.03
Jeff Bank 185,803.76
Jeff Bank 33,919.77

10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

Agency Advance Account
Employee Benefit Fund
Inmate Escrow Account
Misc. Receipts Account
Special Account

Key Bank No report received
Key Bank No report received
Key Bank No report received
Key Bank No report received
Key Bank No report received

10170 - QUEENSBORO CORRECTIONAL FACILITY

Agency Advance Account
Employee Benefit Fund
Inmate Funds Account
Miscellaneous Receipts Account
Occupational Therapy Fund
Savings Account

JPMorgan Chase Bank, N.A. 5,760.75
JPMorgan Chase Bank, N.A. 6,752.99
JPMorgan Chase Bank, N.A. 323,852.22
JPMorgan Chase Bank, N.A. 2,262.49
JPMorgan Chase Bank, N.A. 1,885.46
JPMorgan Chase Bank, N.A. 15,643.68

10230 - ADIRONDACK CORRECTIONAL FACILITY

ADK QWL
Agency Advance
Diversity Management
Employee Benefit Fund
General Fund
Inmate Funds
Inmate Occupational Therapy Fund
Inmate Savings Money Market
Make A Difference Day

Community Bank 8,471.95
Community Bank 644.81
Community Bank 493.07
Community Bank 2,332.49
Community Bank 0.00
Community Bank 2,605.75
Community Bank 7,485.16
Community Bank 0.00
Community Bank 0.00

10240 - DOWNSTATE CORRECTIONAL FACILITY

Agency Advance
Employee Recreational Funds
Inmate Fund
Inmates Funds Savings
Misc. Receipts
Occupational Therapy

JPMorgan Chase Bank, N.A. 1,826.09
JPMorgan Chase Bank, N.A. 14,878.77
JPMorgan Chase Bank, N.A. 580,729.45
JPMorgan Chase Bank, N.A. 80,446.53
JPMorgan Chase Bank, N.A. 14,425.99
JPMorgan Chase Bank, N.A. 97,039.28

10250 - TACONIC CORRECTIONAL FACILITY

Employee Benefit Fund
Inmate Funds
Misc. Revenue
Money Market
Occupational Therapy Fund
Taconic Advance Account

JPMorgan Chase Bank, N.A. 2,958.51
JPMorgan Chase Bank, N.A. 80,372.30
JPMorgan Chase Bank, N.A. 1,023.48
JPMorgan Chase Bank, N.A. 30,981.44
JPMorgan Chase Bank, N.A. 17,743.53
JPMorgan Chase Bank, N.A. 3,346.93

10270 - HUDSON CORRECTIONAL FACILITY

Advance Account
Employee Benefit Fund Account
Inmate Funds Account
Inmate Key Advantage Account
Inmate Occupational Therapy Account
Miscellaneous Receipts Account

Key Bank 4,790.52
Key Bank 5,598.43
Key Bank 48,089.63
Key Bank 50,356.24
Key Bank 8,274.80
Key Bank 1,544.32

10290 - OTISVILLE CORRECTIONAL FACILITY

Cash Advance	Jeff Bank	951.78
General Fund	Jeff Bank	2,683.92
Inmate Funds	Jeff Bank	201,352.73
Inmate Occupational Therapy	Jeff Bank	36,671.73
Inmate Savings	Jeff Bank	51,343.96
Inmate Savings CD	Hometown Bank	78,038.26

10300 - ROCHESTER CORRECTIONAL FACILITY

Consolidated Advance Account	M&T Bank	No report received
Employee Recreation Fund	M&T Bank	No report received
Inmate Deposit Account	M&T Bank	No report received
Inmate Occupational Therapy	M&T Bank	No report received
Misc Fees	M&T Bank	No report received
Work Release Advance Account	M&T Bank	No report received

10320 - EDGEcombe CORRECTIONAL FACILITY

Agency Advance	JPMorgan Chase Bank, N.A.	310.94
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,457.06
Inmate Cash Account	JPMorgan Chase Bank, N.A.	19,957.62
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,804.02
Work Release Account	JPMorgan Chase Bank, N.A.	No report received

10350 - OGDENSBURG CORRECTIONAL FACILITY

Agency Advance Account	Community Bank	No report received
EBF	Community Bank	No report received
Inmate Fund	Community Bank	No report received
Inmate Savings	Community Bank	No report received
Misc. Receipts	Community Bank	No report received
Occupational Therapy	Community Bank	No report received

10370 - FIVE POINTS CORRECTIONAL FACILITY

Consolidated Advance	Five Star Bank	No report received
EBF Checking	Five Star Bank	No report received
EBF Savings	Five Star Bank	No report received
Inmate Savings	Five Star Bank	No report received
Inmate Spendable	Five Star Bank	No report received
Misc Receipts	Five Star Bank	No report received
Occupational Therapy	Five Star Bank	No report received

10390 - MOHAWK CORRECTIONAL FACILITY

Agency Advance	Key Bank	2,591.94
Employee Benefit Fund Checking	Bank of America, N.A.	28,620.98
Inmate Funds Checking	Key Bank	344,871.02
Inmate Funds Savings	Key Bank	314,467.43
Miscellaneous Revenue	Key Bank	2,108.50
Occupational Therapy	Key Bank	22,147.38

10430 - WENDE CORRECTIONAL FACILITY

Consolidated Advance	Alden State Bank	3,408.15
Employee Benefit Account	Alden State Bank	21,732.06
Inmate Savings	Alden State Bank	197,045.54
Inmates Funds	Alden State Bank	196,085.44
Misc. Receipts	Alden State Bank	8,966.60
Occupational Therapy	Alden State Bank	45,136.59

10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER

Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	19,217.23

10450 - GOWANDA CORRECTIONAL FACILITY

Advance Account	Community Bank	1,818.80
Employee Benefit Fund	Community Bank	12,151.10
Inmate Funds	Community Bank	179,299.72
Inmate Funds Savings	Community Bank	259,756.80
Miscellaneous Revenue	Community Bank	3,649.41
Occupational Therapy	Community Bank	19,776.85

10460 - GROVELAND CORRECTIONAL FACILITY

Agency Advance Account	Five Star Bank	2,256.17
Employee Commission Account	Five Star Bank	14,021.58
Inmate Funds Account	Five Star Bank	172,339.94
Inmate Funds Account - Savings	Five Star Bank	108,049.07
Miscellaneous Receipts Account	Five Star Bank	1,374.56
Occupational Therapy Account	Five Star Bank	15,362.55

10470 - COLLINS CORRECTIONAL FACILITY

Agency Advance	Community Bank	4,834.94
Employee Activities	Community Bank	45,830.39
Inmate Fund Checking	Community Bank	193,177.70
Inmate Savings	Community Bank	130,545.49
Miscellaneous Revenue	Community Bank	5,294.65
Occupational Therapy	Community Bank	14,952.69

10480 - MID-STATE CORRECTIONAL FACILITY

Agency Advance	Key Bank	634.23
Employee Benefit Fund	Bank of America, N.A.	31,559.02
Inmate Funds	Key Bank	315,360.10
Inmate Savings	Key Bank	198,743.13
Misc. Revenue	Key Bank	868.10
Occupational Therapy	Key Bank	43,505.68

10490 - MARCY CORRECTIONAL FACILITY

Agency Advance Account	Key Bank	3,710.36
Employee Benefit Fund Account	Bank of America, N.A.	9,109.36
Inmate Fund Account	Key Bank	226,181.18
Misc receipts Acct	Key Bank	1,620.00
NYS DOCS Marcy CORR Facility	Key Bank	206,805.26
Occupational Therapy fund Acct	Key Bank	43,356.81

10500 - NYC CENTRAL ADMINISTRATION

Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	509.17

10501 - CENTRAL PHARMACY

NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
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10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY

Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	2,537.33
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,857.84
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	65,449.71

10530 - FRANKLIN CORRECTIONAL FACILITY

Advance Account	Key Bank	No report received
Employee Benefit Account	Key Bank	No report received
Inmate Funds	Key Bank	No report received
Inmate Occupational Therapy	Key Bank	No report received
Inmate Savings	Key Bank	No report received
Misc. Receipts	Key Bank	No report received

10540 - ALTONA CORRECTIONAL FACILITY

Cons Adv Travel Petty Cash	NBT Bank	3,617.70
Employees Vending Benefit	NBT Bank	11,067.43
Inmates Funds	NBT Bank	126,079.67
Misc Revenues General Fund	NBT Bank	700.99
Occupational Therapy	NBT Bank	5,519.84

10550 - CAYUGA CORRECTIONAL FACILITY

Agency Advance	First National Bank of Groton	649.39
Cert Of Deposit	First National Bank of Groton	87,272.79
Employee Benefit Fund	First National Bank of Groton	4,437.65
Inmate Occupational Therapy Account	First National Bank of Groton	32,272.14
Inmate Spendable	First National Bank of Groton	222,959.16
Misc Receipts	First National Bank of Groton	433.18

10560 - BARE HILL CORRECTIONAL FACILITY

Agency Advance	Key Bank	1,838.57
Employee Benefit Fund	Key Bank	7,836.13
Inmate Spendable Funds	Key Bank	111,188.54
Key Public Money Market Checking	Key Bank	268,994.76
Miscellaneous Receipts	Key Bank	1,321.98
Occupational Therapy	Key Bank	15,764.20

10570 - RIVERVIEW CORRECTIONAL FACILITY

Agency Advance Account	Key Bank	428.40
Inmate Accounts	Key Bank	109,440.87
Inmate Savings Account	Key Bank	105,640.39
Miscellaneous Receipts Account	Key Bank	7,824.59
Occupational Therapy	Key Bank	14,405.20

10580 - CAPE VINCENT CORRECTIONAL FACILITY

Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	40,026.08
Inmate Occupation Therapy Acct	Community Bank	32,135.60
Inmate Savings	Community Bank	151,727.06
Inmate Spendable Account	Community Bank	140,288.32
Miscellaneous Receipts Account	Community Bank	1,415.89

10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY

Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	8,589.06
Inmate Funds	Community Bank	84,331.77
Inmate Funds - Sav	Community Bank	94,658.07
Miscellaneous Revenue	Community Bank	2,067.57
Occupational Therapy	Community Bank	1,333.21

10610 - ULSTER CORRECTIONAL FACILITY

Agency Advance	Bank of America, N.A.	0.00
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Agency Advance	M&T Bank	2,061.95
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,238.99
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	262,200.66
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,302.58
Misc Receipts	M&T Bank	7.25
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,495.88
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	731.87
Employee Benefit Fund	Chemung Canal Trust	15,907.79
Inmate Funds	Chemung Canal Trust	81,732.42
Inmate Funds Account	Chemung Canal Trust	82,599.09
Misc. Receipts Account	Chemung Canal Trust	322.85
Occupational Therapy Account	Chemung Canal Trust	24,060.16
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,066.80
Employee Benefit Fund	Bank of America, N.A.	8,607.79
Inmate Funds	Bank of America, N.A.	101,984.74
Inmate Savings	Bank of America, N.A.	108,126.48
Miscellaneous Receipts	Bank of America, N.A.	15,277.47
Occupational Therapy	Bank of America, N.A.	23,456.56
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,404.89
EBF Account	Key Bank	7,900.25
General Account	Key Bank	0.00
Inmate Account	Key Bank	128,018.69
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,991.11
Inmate Savings Account	Key Bank	14,368.66
Occupational Therapy Account	Key Bank	20,925.82
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,209.91
Employee Benefit Fund	Five Star Bank	10,764.52
Inmate Occupational Therapy	Five Star Bank	41,292.37
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,840.13
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	210,794.46
Misc. Receipts Account	Five Star Bank	8,950.07
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	615.42
Inmate Accounts	National Bank of Coxsackie	175,437.40
Inmate Savings	National Bank of Coxsackie	248,743.39
Misc. Receipts	National Bank of Coxsackie	881.54
Occupational Therapy	National Bank of Coxsackie	63,398.30
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,377.73
Inmates Funds Account	Key Bank	75,764.39
Misc. Receipts Account	Key Bank	3,750.85
Occupational Therapy Acct	Key Bank	23,876.85
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	No report received
Inmate Checking	Key Bank	No report received
Inmate Savings	Key Bank	No report received
Miscellaneous	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,058.31
Inmate Occupational Therapy	Community Bank	23,943.54
Inmate Savings	Community Bank	224,980.61
Inmate Spendable Fund	Community Bank	119,872.86
Misc Receipts	Community Bank	10,177.31
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	981.50
Employee Benefit Fund	Community Bank	11,059.04
Inmate Funds	Community Bank	75,023.06
Inmate Occupational Therapy	Community Bank	11,572.87
Misc Receipts	Community Bank	1,204.82
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		

Advance Account	Key Bank	1,840.00
Facility Committees	Key Bank	19,510.49
Inmate Fund	Key Bank	109,182.52
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	4,394.28
Miscellaneous Account	Key Bank	133.60
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	9,644.40
Inmate Funds	Key Bank	75,075.74
Inmate Interest Bearing Account	Key Bank	15,004.80
Misc Receipts	Key Bank	80.00
Occupational Therapy	Key Bank	30,002.07
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	40,560.65
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	No report received
Div of Ind Revenue Acct	Key Bank	No report received
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
NYS HESC-Federal Student Loan Suspense	Key Bank	173,531.33
Operating	Key Bank	4,190,431.44
Retail Lockbox	US Bank	110,665.34
TAP	Key Bank	42,252.62
Wholesale Lockbox	US Bank	1,554,730.88
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	1,556.92
Petty Cash	M&T Bank	3,518.47
Student Spending Account	Bank of America, N.A.	11,086.03
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	2,129.28
Petty Cash	NBT Bank	2,055.00
Student Activity Fund	NBT Bank	15,178.46
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,443,334.45
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	247,773.91
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	4,794.01
Consolidated Advance Acct	M&T Bank	10,252.29
CSA Rebate Account	Bank of America, N.A.	0.00
DOH EPIC Lockbox Acct	Wells Fargo Bank	11,325.55
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	109,331.30
Early Intervention - State Funds	Key Bank	15,802.90
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,056.14
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	758,594.28
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	14,490.12
General Account	M&T Bank	31,075.39
ICR Audit Fees Account	Bank of America, N.A.	10,996.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	7,121.49
Medicaid	Key Bank	603,560.66
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	8,780,277.51
Nurses Aide Fees (Prometric)	Bank of America, N.A.	48,302.04
Nursing Home Fees Account	Bank of America, N.A.	50,383.51
NYS DOH CLEP Revenue	Key Bank	57,554.65
OBRA Drug Rebate Program Acct	Bank of America, N.A.	358,260.80
SPARCS	Key Bank	26,769.87
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	1,311,706.55
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	753,644.14
Petty Cash Account	JPMorgan Chase Bank, N.A.	13,000.93
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	6,064.96

12120 - NYS VETERANS HOME-OXFORD

Agency Advance
Exchange Account
Maintenance Fund
NYS Veterans Home-Oxford (Resident Account, Custodial Account)
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account
Resident Custodial Account

NBT Bank 12,933.51
NBT Bank 12,724.03
NBT Bank 309,008.86
NBT Bank 230,381.43
NBT Bank 1,731.02
NBT Bank 1,710.36
NBT Bank 9,533.19
NBT Bank 2,347.11
NBT Bank 2,619.22
NBT Bank 6,702.05
NBT Bank 10,278.21
NBT Bank 8,818.19
NBT Bank 5,071.62
NBT Bank 119.80
NBT Bank 2,370.30

12150 - NYS VETERANS HOME-ST ALBANS

NYC Veteran Home Agency Advance
St Albans NYC Vet Home Resid Funds
St Albans Vet Home Maintenance Acct

JPMorgan Chase Bank, N.A. 15,825.00
JPMorgan Chase Bank, N.A. 921,057.83
NBT Bank 618,227.02

12180 - WESTERN NEW YORK VETERANS HOME

Advance Account
Exchange Account
Maintenance Account
Resident Funds

Bank of America, N.A. 5,331.30
Bank of America, N.A. 6,455.77
NBT Bank 159,980.15
Bank of America, N.A. 43,192.25

12190 - VETERANS HOME AT MONTROSE

Agency Advance Account
Maintenance Acct
Residence Account

Bank of America, N.A. No report received
NBT Bank 449,518.96
Bank of America, N.A. No report received

12200 - OFFICE OF MEDICAID INSPECTOR GENERAL

Albany Confidential Account
Albany Petty Cash Account
NYC Confidential Account

Key Bank 254.59
Key Bank 467.00
JPMorgan Chase Bank, N.A. 166.00

14000 - DEPARTMENT OF LABOR

Agency Advance Account
Exchange Account
Fee And Permit Account
Min Wage & Claim Funding Acct
Minimum Wage & Wage Claim Acct
Misc Receipts
U.I. Fund Clearing Account
UI Fund ACH Transactions

Key Bank 27,925.00
Bank of America, N.A. 45,200.71
Key Bank 1,725,403.52
Key Bank 275,856.89
Key Bank 778,476.90
Bank of America, N.A. 310,337.85
JPMorgan Chase Bank, N.A. 275,049,091.20
Wells Fargo Bank 10,000,000.00

14010 - WORKERS COMPENSATION BOARD

DTF/WCB MAC 14

JPMorgan Chase Bank, N.A. 343,181,219.28

16000 - PUBLIC SERVICE COMMISSION

Cable Account
Petty Cash Account
Special Fee Account

Key Bank No report received
Key Bank No report received
Key Bank No report received

17000 - NYS DEPARTMENT OF TRANSPORTATION

Contractors Bid And Guarantee
Driver Improvement Program (DIP)
Main Office Advance For Travel
PARTNERS DOT -HOOCs
Revenue Unit

Key Bank 124,601.12
Key Bank 17,064.31
Key Bank 52,901.30
Key Bank 3,866,818.41
Key Bank 134,232.96

Republic Airport, Long Island

Republic Airport Revenue Acct

JPMorgan Chase Bank, N.A. 93,051.93

19000 - DEPARTMENT OF STATE

Atheltic
Licensing Revenue Account
Main
Petty Cash Account
Summons

M&T Bank No report received
JPMorgan Chase Bank, N.A. No report received
M&T Bank No report received
Key Bank No report received
M&T Bank No report received

19001 - TUG HILL COMMISSION

Agency Advance Account

Key Bank No report received

19002 - LAKE GEORGE PARK COMMISSION

Petty Cash Account
Revenue Transfer Account

Glens Falls National No report received
Glens Falls National No report received

19005 - COMMISSION ON PUBLIC INTEGRITY

JCOPE Petty Cash Account
JCOPE Revenue Account

Bank of America, N.A. 500.00
Bank of America, N.A. 26,025.07

20000 - DEPARTMENT OF TAXATION & FINANCE

IFTA Funding
Misc Tax Account - Exchange
Off Track Betting Tax (MAC 848)

JPMorgan Chase Bank, N.A. 7,055.22
Bank of America, N.A. 118,801.72
Key Bank 89,725.93

Pari Mutuel Betting Tax (MAC 847)	Key Bank	6,112.72
Petty Cash	Bank of America, N.A.	11,863.26
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	46,100.00
Waste Tire Fee (EFT)	Wells Fargo Bank	23,984.85
Waste Tire Tax	JPMorgan Chase Bank, N.A.	34,388.52
20020 - DEPARTMENT OF TAXATION & FINANCE		
Commercial Gaming Revenue Account	Key Bank	No report received
Commercial Gaming Revenue Account	Key Bank	No report received
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	123,333.24
Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,692.07
Fingerprint Concentration Account	Key Bank	18,048.06
License Revenue Account	Bank of America, N.A.	50,106.81
Lottery Concentration Account	Key Bank	0.00
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	639,585.90
Petty Cash Account	Key Bank	921.17
Racing Refund Account	Key Bank	867,200.54
Video Gaming Revenue Account	Key Bank	249,779.58
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	2,150.83
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	384,993.97
Money Market	Bank of America, N.A.	6,500.00
Petty Cash Fund	Bank of America, N.A.	0.30
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,545.13
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	27,700.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	444.30
Petty Cash Account	Key Bank	795.08
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,536.49
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	1,155,532.83
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	101,210.43
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	117,615.61
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	9,309.53
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	56,495.68
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	525,215.78
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,164.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	546,349.42
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	413,640.20
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	290,960.56
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	120,199.35
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		

Concentration (CTY)(OSC)	Key Bank	0.00
Concentration (DO)(OSC)	Key Bank	185,874.00
Concentration (DO)(OSC)	Bank of America, N.A.	5,087.00
Confidential Fund (Albany Central Office)	JPMorgan Chase Bank, N.A.	940,907.90
Confidential Fund	Wells Fargo Bank	77,268.10
CTY Credit Card (Albany Central Office)	JPMorgan Chase Bank, N.A.	40,400,628.26
County Office Credit Card Account	M&T Bank	No report received
Customer Service Counter (Albany)	Wells Fargo Bank	34,152.15
NYS DMV CSC	JPMorgan Chase Bank, N.A.	176,631.49
D.O. Credit Card (Albany Central Office)	JPMorgan Chase Bank, N.A.	154,156.85
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	369,515.94
DMV Division Of Field Investigations - Albany Central Office	Bank of Castile	No report received
Field Investigation	Greene County Commercial Bank	No report received
Downstate	JPMorgan Chase Bank, N.A.	271,186.64
Revenue Account - Downstate	M&T Bank	1,648,458.08
Eric County Revenue	Wells Fargo Bank	4,822,822.56
County Fee Account	M&T Bank	1,600,850.62
Finger Lakes First	JPMorgan Chase Bank, N.A.	25,418.23
County Fee Acct	Wells Fargo Bank	40,242.26
Finger Lakes Second	JPMorgan Chase Bank, N.A.	33,900.12
County Fee Acct	Citibank	No report received
Genesee County	JPMorgan Chase Bank, N.A.	224,296.10
Genesee County Clerk - DMV	Bank of Utica	(2,496.19)
Greene County	NBT Bank	253.71
Fee Account - Greene	Bank of America, N.A.	12,908.96
Hudson Valley	JPMorgan Chase Bank, N.A.	4,500.00
County Fee Acct	Wells Fargo Bank	42,040.53
IRP (Albany Central Office)	Key Bank	1,277,706.61
International Registration	Wells Fargo Bank	1,098,135.05
International Registration	Key Bank	912.00
IRP Exchange (Albany Central Office)	JPMorgan Chase Bank, N.A.	743,225.65
Irp Internet Office - Dept. MV	JPMorgan Chase Bank, N.A.	733,982.18
Kiosk	Bank of America, N.A.	1,000.00
Kiosk Account	Wells Fargo Bank	37,664.07
Long Island/Staten Island DO	JPMorgan Chase Bank, N.A.	3,175,341.35
Long Island/Staten Island DO	Wells Fargo Bank	0.50
Long Island/Staten Island JP	Bank of Utica	No report received
Long Island/Staten Island (Mass/Med)	Bank of Utica	No report received
Nassau Region 1	JPMorgan Chase Bank, N.A.	No report received
Div. of Vehicle Safety		
Niagara Frontier		
County Fee Acct		
Oneida County		
DMV Oneida County Fee Account		
Oneida County Fee Account		
Petty Cash (Albany Central Office)		
Petty Cash		
Queens-Region 6		
Confidential - Queens		
Rockland/Westchester DO		
Rockland/Westchester DO		
Search Exchange (Albany Central Office)		
MV Search		
Search Exchange (Albany Central Office)		
MV Search		
Syracuse-Region 4		
Confidential - Syracuse		
Thousand Island Seaway		
County Fee Acct		
TLC/DOCCS		
TLC/DOCCS		
Travel Advance (Albany Central Office)		
Travel Advance		
TVB Acct		
TVB Acct		
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts		
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)		
Utica D.O.		
Exchange Account		
Revenue Utica		
Yonkers-Region 2		
Safety Sup Automotive FAC INSP		

25000 - OFFICE OF CHILDREN & FAMILY SERVICES

Brentwood Resid Center Cash Advance
 Brentwood Residents' Account
 Brookwood Cash Advance
 Brookwood Resid Residential Cash
 Check Exchange
 CO Independent Living Acct
 Co. Training Employment Dev (Youth Stipend)
 Columbia Girls Secure Center-Advance Acct
 Columbia Girls Secure Center-Youth Savings
 Finger Lakes Res Ctr Residents Cash
 Fingerlakes Res Ctr Cash Advance
 Goshen Cash Advance
 Goshen Residents Account
 Harriet Tubman Advance Account
 Harriet Tubman Residents' Account
 Highland Res Ctr Petty Cash Account
 Highland Res Ctr Residents Acct
 Home Office Care & Maintenance Account
 Industry Advance Account
 Industry Res Account
 Industry School Dug-Out
 MacCormick Cash Advance
 MacCormick Residents' Account
 Medicaid Reimbursement Exchange
 NYS OCFS Advance Acct (Travel & Misc P.C.)
 NYS OCFS Salary Advance Account
 Queens-Long Island Aftercare
 Red Hook Res Ctr Resident Cash
 Red Hook Resid Ctr Cash Advance
 SCR Credit Card Revenue Account
 State Central Register
 Taberg Cash Advance
 Taberg Residents Account
 Youth Leadership Academy
 Youth Leadership Cash Advance

27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE

Exchange Account
 Title IV D Of Social Security
 Travel Advance

28010 - SUNY ALBANY

Fee Account
 Loan Services Center Account
 Petty Cash/Travel Advance

28020 - SUNY BINGHAMTON

SUNY Binghamton
 SUNY Binghamton - Controlled Disb

28030 - SUNY BUFFALO

Controlled Disbursement Account
 General Revenue Account
 General Revenue Account
 Imprest Account

28050 - SUNY STONY BROOK

Central Funding
 Controlled Disbursement
 Fees Depository
 LISVH Fees Depository
 LISVH Fees Depository
 LISVH Residence Fund
 Payroll Advance
 SBU Student Refunds Cont Disb Acct
 Student ACH Refunds Account
 SUNY Eastern Long Island Hospital Depository
 SUNY Southampton Depository
 University Hosp Fees Depository
 University Hospital Petty Cash

28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN

Center Revenue
 EFT Federal Deposits Acct
 Hospital Controlled Disbursement
 Hospital Revenue
 LICH Controlled Disbursement
 LICH Depository
 Petty Cash

JPMorgan Chase Bank, N.A.	950.00
JPMorgan Chase Bank, N.A.	720.20
Key Bank	1,702.58
Key Bank	16,373.79
Bank of America, N.A.	64.95
Bank of America, N.A.	2,000.00
Bank of America, N.A.	25,000.00
Key Bank	700.00
Key Bank	1,954.79
Tompkins County Trust	2,414.64
Tompkins County Trust	3,300.00
Bank of America, N.A.	2,600.00
Bank of America, N.A.	5,747.17
Key Bank	500.00
Key Bank	512.36
Bank of America, N.A.	2,381.72
Bank of America, N.A.	2,593.62
Bank of America, N.A.	0.00
JPMorgan Chase Bank, N.A.	1,954.00
JPMorgan Chase Bank, N.A.	6,776.04
JPMorgan Chase Bank, N.A.	362.00
Tompkins County Trust	1,901.00
Tompkins County Trust	4,738.33
Bank of America, N.A.	97,177.60
Bank of America, N.A.	15,464.00
Bank of America, N.A.	13,621.83
JPMorgan Chase Bank, N.A.	600.00
Key Bank	92.80
Key Bank	300.00
Bank of America, N.A.	38,450.00
Bank of America, N.A.	148,147.60
NBT Bank	758.85
NBT Bank	1,216.14
NBT Bank	560.35
NBT Bank	705.00
Key Bank	20.70
Key Bank	3,867,542.89
Key Bank	10,001.14
Key Bank	20,294,849.76
Key Bank	56,660.72
Key Bank	0.00
M&T Bank	609,301.38
M&T Bank	0.00
Bank of America, N.A.	0.00
Bank of America, N.A.	0.00
Key Bank	416,636.93
Key Bank	0.00
JPMorgan Chase Bank, N.A.	1,406,280.88
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	18,819,504.59
JPMorgan Chase Bank, N.A.	744,347.27
Sterling Bank	886,830.60
Sterling Bank	406,402.29
JPMorgan Chase Bank, N.A.	3,459.29
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	999,010.37
JPMorgan Chase Bank, N.A.	883,347.30
JPMorgan Chase Bank, N.A.	4,170,029.15
JPMorgan Chase Bank, N.A.	1,909.35
JPMorgan Chase Bank, N.A.	124,377.20
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	4,662,873.22
JPMorgan Chase Bank, N.A.	0.00
JPMorgan Chase Bank, N.A.	107,955.67
JPMorgan Chase Bank, N.A.	0.00

Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	166,571.47
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	7,436,059.75
Parking	Key Bank	40,224.38
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	1,170.74
Concentration Acct	M&T Bank	214,402.20
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	24,482.94
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	210,443.85
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	110,935.49
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	82,813.84
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	86,469.61
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	146,492.82
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	1,042,163.19
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	159,103.57
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,704,198.84
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	90,132.35
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	108,893.73
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	62,215.65
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	484,281.41
Distribution Center Account	Key Bank	102,597.75
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,126,202.06
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	263,335.26
Income Fund	Key Bank	116,159.42
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	38,034.21
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	95,364.90
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	674,404.96
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	169,914.60
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	185.78
Regular Account	Key Bank	79,469.60
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		

Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	0.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	26,349.44
Revenue EFT Account	JPMorgan Chase Bank, N.A.	10,884.01
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	126,285.93
Medical Transportation	JPMorgan Chase Bank, N.A.	1,833.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,700,000.00
Revenue	Key Bank	272,732.76
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,967.00
Fire Tax Account (Main)	Key Bank	77,365.77
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	717,066.64
General Fund	Key Bank	110,694.16
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	6,045,450.91
Miscellaneous Account	JPMorgan Chase Bank, N.A.	80,661.76
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,002.03
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	45,490.91
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	87,643.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	67,356.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	129,402.21
Revenue (LI)	People's United Bank	30,987.48
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	43,809.50
Revenue (GE)	Bank of Castile	47,008.38
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	3,762.89
Revenue (NIA)	Evans National Bank	31,834.85
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	4,437.16
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	108,935.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	89,654.85
Petty Cash (ALB)	Key Bank	29,843.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	15,105.03
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	37,423.87
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	17,181.62
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	2,294.34
Revenue (various)	Wells Fargo Bank	88,386.68
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	32,426.19
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	222,295.17
Statewide Housing Security Deposits	Key Bank	129,166.30
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,357.78
Revenue (FL)	Savannah Bank	40,495.87
Revenue (FL-Multi)	Community Bank	889.16
Revenue (FL-Multi-Facilities)	Tompkins County Trust	165,818.66
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	132,508.48
Revenue (AL)	Five Star Bank	63,367.65
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	20,721.27
Revenue (CE, SA, TI)	NBT Bank	43,605.01
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	884.73
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	23,764.56
Revenue (TI-Multi)	Community Bank	1,531.57
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	15,357.00
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	8,951.31
Reimbursement Account	Bank of America, N.A.	1,798,891.99

50010 - GREATER BINGHAMTON HEALTH CENTER

Agency Advance Account	JPMorgan Chase Bank, N.A.	9,937.02
Facility Holding Account	JPMorgan Chase Bank, N.A.	12,247.08
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,813.75
Patients Cash Account	JPMorgan Chase Bank, N.A.	273,500.40
Security Deposit	JPMorgan Chase Bank, N.A.	2,680.50

50020 - KINGSBORO PSYCHIATRIC CENTER

Advance Account	Banco Popular	14,924.38
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	210,344.39
Medicaid Outpatient Travel	Banco Popular	986.90
Patient Cash Acct (MM)	Banco Popular	167,968.94
Patient Checking Account	Citibank	6,974.73
Patient Savings Account	Banco Popular	334,700.39
Security Deposit	Banco Popular	2,489.12
Urban Oasis/EBT	Banco Popular	52,232.32

50030 - BUFFALO PSYCHIATRIC CENTER

Advance Account	Key Bank	57,916.12
Facility Holding	Key Bank	14,412.30
Patient Cash Checking	Key Bank	91,289.50

50080 - MANHATTAN PSYCHIATRIC CENTER

Advance Account	Sterling Bank	13,256.74
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	4,253.60
Patient Cash Checking	Hudson Valley National Bank	786,416.11
Patients Money Market	Hudson Valley National Bank	72,854.00
Social Service Tokens	Hudson Valley National Bank	33,950.62

50110 - ROCHESTER PSYCHIATRIC CENTER

Agency Advance	Key Bank	29,120.09
Facility Holding	Key Bank	61,318.17
Patients Cash Account	Key Bank	128,518.52
Patients Fund Savings	Key Bank	233,301.47

50120 - ST LAWRENCE PSYCHIATRIC CENTER

Facility Advance Account	Community Bank	16,516.44
Facility Holding Account	Community Bank	27,827.60
Patients Cash Account	Community Bank	27,275.85
Patients Cash Savings	Community Bank	195,721.17

50150 - CREEDMOOR PSYCHIATRIC CENTER

Advance Account	HSBC	63,057.40
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	15,829.00
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	506,397.24
Patient Cash Account	HSBC	129,889.58
Rent Holding Account	HSBC	3,015.00

50170 - ROCKLAND PSYCHIATRIC CENTER

Exchange	JPMorgan Chase Bank, N.A.	No report received
Holding Account	JPMorgan Chase Bank, N.A.	No report received
INVESTMENT CD A	Sterling Bank	No report received
INVESTMENT CD B	Sterling Bank	No report received
INVESTMENT CD C	Sterling Bank	No report received
INVESTMENT CD D	Sterling Bank	No report received
INVESTMENT CD G	Sterling Bank	No report received
INVESTMENT CD I	Sterling Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	No report received
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	No report received

50180 - NYS PSYCHIATRIC INSTITUTE

Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	24,291.32
Petty Cash	JPMorgan Chase Bank, N.A.	1,654.57

50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER

Advance Account	Key Bank	14,782.68
Clients Count	Key Bank	120,457.45
Holding Account	Key Bank	20,016.67

50200 - PILGRIM PSYCHIATRIC CENTER

Facility Advance Account	JPMorgan Chase Bank, N.A.	60,969.77
Facility Holding Acct	JPMorgan Chase Bank, N.A.	177,206.64
Patient Cash	JPMorgan Chase Bank, N.A.	827,748.36

50210 - MOHAWK VALLEY PSYCHIATRIC CENTER

Advance Fund	Key Bank	14,470.35
Facility Holding	Key Bank	1,924.55
Patient Cash Checking	Key Bank	21,178.17

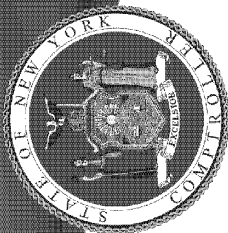
Patient Cash Savings	Key Bank	28,929.12
Security Deposit	Key Bank	8,522.66
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	41,256.76
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,567.38
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,189.02
Patients Checking Acct	JPMorgan Chase Bank, N.A.	362,149.16
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	5,866.50
Holding Account	Hudson Valley National Bank	32,842.15
Patient Cash Account	Hudson Valley National Bank	115,518.95
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	7,089.70
General Fund	Key Bank	6,484.71
Patient Checking	Key Bank	73,104.49
Patient Savings	Key Bank	251,492.34
Security Deposit	Key Bank	3,820.56
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	10,196.51
Facility Holding Account	Key Bank	2,959.25
Patients Account	Key Bank	199,777.03
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	26,146.27
Facility Holding Checking	JPMorgan Chase Bank, N.A.	13,468.36
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	580,396.48
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,327.05
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,415.45
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	35.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	50,969.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,165.20
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	3,573.89
Queens Adv Acct	HSBC	9,920.88
Queens Childrens Account	HSBC	6,251.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,988.93
General Fund Account	Chemung Canal Trust	4,277.55
IMMA	Chemung Canal Trust	46,224.86
Patients Fund Account	Chemung Canal Trust	313,978.14
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,774.19
Facility Holding Account	Key Bank	129,254.22
Parking Garage Account	Key Bank	159,723.35
Patients Cash Checking Account	Key Bank	202,001.19
Patients Cash Savings Account	Key Bank	397,186.17
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	3,154,361.11
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	34,855.51
Clients Account	Key Bank	406,497.47
Consumer Cash - Savings	Adirondack Bank	1,454,350.43
Consumer Cash - Savings	Key Bank	3,416,451.71

51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	63,310.10
General Fund	M&T Bank	3,121.93
Patients Cash Checking	M&T Bank	1,633,332.62
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,464,925.71
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	372,446.24
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,083.45
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	218,236.67
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	2,676.33
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	25,132.98
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	29,092.07
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	457,443.59
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,007.60
Patient Fund Account	JPMorgan Chase Bank, N.A.	319,424.11
Petty Cash Fund	JPMorgan Chase Bank, N.A.	14,697.25
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received

Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	869,982.71
Revenue Account	Bank of America, N.A.	55,829.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	388.13
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,242.81
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	45,219.67
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,500.00
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,326.78
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,911.19
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	27,079.29

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*



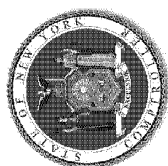
Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

APRIL 2020

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



THOMAS P. DINAPOLI
STATE COMPTROLLER

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

April 30, 2020

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 1,033.1	\$ 1,033.1	\$ -	\$ -	\$ 1,033.1	\$ 1,033.1	\$ -	\$ -	\$ 9,215.4	\$ 9,215.4	\$ (7,149.2)	-77.6%
Consumption/Use Taxes	458.8	458.8	156.0	156.0	394.2	394.2	36.0	36.0	1,375.0	1,375.0	(330.0)	-24.0%
Business Taxes	279.8	279.8	98.0	98.0	-	-	38.1	38.1	807.2	807.2	(381.3)	-46.5%
Other Taxes	73.6	73.6	-	-	57.3	57.3	-	-	163.8	163.8	(22.9)	-20.1%
Miscellaneous Receipts	37.3	37.3	1,361.9	1,361.9	47.3	47.3	1,190.7	1,190.7	2,659.7	2,659.7	(32.5)	-0.8%
Federal Receipts	-	-	10,777.4	10,777.4	-	-	85.7	85.7	6,242.0	6,242.0	4,621.1	74.0%
Total Receipts	1,882.6	1,882.6	12,393.3	12,393.3	1,531.9	1,531.9	1,350.5	1,350.5	20,463.1	20,463.1	(3,304.8)	-16.2%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	754.2	754.2	383.0	383.0	-	-	12.0	12.0	1,263.9	1,263.9	(114.7)	-9.1%
Environment and Recreation	0.1	0.1	-	-	-	-	4.4	4.4	25.4	25.4	(20.9)	-82.3%
General Government	6.8	6.8	11.3	11.3	-	-	30.4	30.4	60.3	60.3	(11.8)	-19.6%
Public Health:												
Medicaid	229.4	229.4	5,180.9	5,180.9	-	-	-	-	6,412.0	6,412.0	(1,001.7)	-15.6%
Other Public Health	63.9	63.9	509.6	509.6	-	-	29.0	29.0	724.4	724.4	(121.9)	-16.8%
Public Safety	2.9	2.9	92.4	92.4	-	-	-	-	156.9	156.9	(61.6)	-39.3%
Public Welfare	76.9	76.9	134.9	134.9	-	-	-	-	240.1	240.1	(28.3)	-11.8%
Support and Regulate Business	4.6	4.6	0.3	0.3	-	-	43.2	43.2	34.1	34.1	14.0	41.1%
Transportation	0.1	0.1	65.5	65.5	-	-	24.4	24.4	305.0	305.0	(215.0)	-70.5%
Total Local Assistance Grants	1,138.9	1,138.9	6,377.9	6,377.9	-	-	143.4	143.4	9,222.1	9,222.1	(1,561.9)	-16.9%
Departmental Operations:												
Personal Service	893.7	893.7	675.8	675.8	-	-	-	-	1,139.8	1,139.8	429.7	37.7%
Non-Personal Service	313.2	313.2	270.9	270.9	-	-	-	-	474.1	474.1	110.0	23.2%
General State Charges	460.2	460.2	75.0	75.0	-	-	-	-	813.6	813.6	(278.4)	-34.2%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	36.5	36.5	-	-	72.4	72.4	(35.9)	-48.6%
Capital Projects	-	-	-	-	-	-	509.8	509.8	434.1	434.1	75.7	17.4%
Total Disbursements	2,806.0	2,806.0	7,399.6	7,399.6	36.5	36.5	653.2	653.2	12,156.1	12,156.1	(1,260.8)	-10.4%
Excess (Deficiency) of Receipts over Disbursements	(923.4)	(923.4)	4,993.7	4,993.7	1,495.4	1,495.4	697.3	697.3	8,307.0	8,307.0	(2,044.0)	-24.6%
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	1,436.2	1,436.2	151.8	151.8	280.2	280.2	(805.1)	(805.1)	6,203.6	6,203.6	(5,140.5)	-82.9%
Transfers to Other Funds	625.5	625.5	(233.6)	(233.6)	(1,446.1)	(1,446.1)	(12.3)	(12.3)	(6,217.9)	(6,217.9)	(5,151.4)	-82.8%
Total Other Financing Sources (Uses)	2,061.7	2,061.7	(81.8)	(81.8)	(1,165.9)	(1,165.9)	(817.4)	(817.4)	(14.3)	(14.3)	10.9	76.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	1,138.3	4,911.9	4,911.9	329.5	329.5	(120.1)	(120.1)	8,292.7	8,292.7	(2,033.1)	-24.5%
Beginning Fund Balances (Deficits)	8,944.2	8,944.2	6,312.1	6,312.1	63.4	63.4	(1,034.9)	(1,034.9)	9,975.0	9,975.0	4,308.8	43.2%
Ending Fund Balances (Deficits)	\$ 10,082.5	\$ 10,082.5	\$ 11,224.0	\$ 11,224.0	\$ 392.9	\$ 392.9	\$ (1,155.0)	\$ (1,155.0)	\$ 18,267.7	\$ 18,267.7	\$ 2,276.7	12.5%

EXHIBIT A
SUPPLEMENTAL

*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

GOVERNMENTAL FUNDS FOOTNOTES**EXHIBIT A NOTES
APRIL 2020**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$347.4	million
Urban Development Corporation (Youth Facilities)	22.0	
Housing Finance Agency (HFA)	216.3	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	361.3	
Dormitory Authority and State University Income Fund	115.6	
Federal Capital Projects	556.6	
State bond and note proceeds	161.8	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	(\$800.3)	million
General Debt Service Fund	32.0	
Banking Services Account	1.3	
Court Facilities Incentive Aid Fund	62.6	
MTA Operating Assistance Fund	4.7	
NY Central Business District Trust Fund	12.5	
NYC County Courts Operating Account	2.8	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$1.3m), and the State University Income Fund (\$57.6m).

\$724(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of April 30, 2020 - pursuant to a certification of the Budget Director - the reserve amount is (\$19.7m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$236.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, to Medicaid Medicaid Management Information System Escrow Fund (\$2.1m), and to SUNY Capital Projects Fund (-\$5.3).

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$1,032.9	million
Local Government Assistance Tax Fund	197.1	
Sales Tax Revenue Bond Tax Fund	87.5	
Clean Water/Clean Air Fund	43.8	
Mental Health Services Fund	73.2	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$11.6m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$1.6m) and the General Debt Service Fund - Lease Purchase (\$10.7m).

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 4.2	\$ 4.2	\$ 25.2	\$ 25.2	\$ 29.4	\$ 29.4	\$ 38.0	\$ 38.0	\$ (8.6)	-22.6%
Federal Receipts	2,584.0	2,584.0	-	-	2,584.0	2,584.0	1.1	1.1	2,582.9	234,809.1%
Unemployment Taxes	1,823.9	1,823.9	-	-	1,823.9	1,823.9	187.5	187.5	1,636.4	872.7%
Total Receipts	4,412.1	4,412.1	25.2	25.2	4,437.3	4,437.3	226.6	226.6	4,210.7	1,868.2%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	1.4	1.4	14.5	14.5	15.9	15.9	10.0	10.0	5.9	59.0%
Non-Personal Service	3.6	3.6	(9.3)	(9.3)	(5.7)	(5.7)	28.0	28.0	(33.7)	-120.4%
General State Charges	0.2	0.2	4.8	4.8	5.0	5.0	4.2	4.2	0.8	19.0%
Unemployment Benefits	4,390.7	4,390.7	-	-	4,390.7	4,390.7	189.4	189.4	4,201.3	2,218.2%
Total Disbursements	4,395.9	4,395.9	10.0	10.0	4,405.9	4,405.9	231.6	231.6	4,174.3	1,802.4%
Excess (Deficiency) of Receipts Over Disbursements	16.2	16.2	15.2	15.2	31.4	31.4	(5.0)	(5.0)	36.4	728.0%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	1.3	1.3	1.3	1.3	14.3	14.3	(13.0)	-90.9%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	1.3	1.3	1.3	1.3	14.3	14.3	(13.0)	-90.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16.2	16.2	16.5	16.5	32.7	32.7	9.3	9.3	23.4	251.6%
Beginning Fund Balances (Deficits)	29.7	29.7	(297.5)	(297.5)	(267.8)	(267.8)	(276.1)	(276.1)	8.3	3.0%
Ending Fund Balances (Deficits)	\$ 45.9	\$ 45.9	\$ (281.0)	\$ (281.0)	\$ (235.1)	\$ (235.1)	\$ (266.8)	\$ (266.8)	\$ 31.7	11.9%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 8.3	\$ 8.3	\$ 0.2	\$ 0.2	\$ 8.5	\$ 8.5	\$ 5.5	\$ 5.5	\$ 3.0	54.5%
Total Receipts	8.3	8.3	0.2	0.2	8.5	8.5	5.5	5.5	3.0	54.5%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	8.3	8.3	-	-	8.3	8.3	5.3	5.3	3.0	56.6%
Non-Personal Service	0.7	0.7	-	-	0.7	0.7	1.1	1.1	(0.4)	-36.4%
General State Charges	3.5	3.5	0.1	0.1	3.6	3.6	3.3	3.3	0.3	9.1%
Total Disbursements	12.5	12.5	0.1	0.1	12.6	12.6	9.7	9.7	2.9	29.9%
Excess (Deficiency) of Receipts Over Disbursements	(4.2)	(4.2)	0.1	0.1	(4.1)	(4.1)	(4.2)	(4.2)	0.1	2.4%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.2)	(4.2)	0.1	0.1	(4.1)	(4.1)	(4.2)	(4.2)	0.1	2.4%
Beginning Fund Balances (Deficits)	(1.1)	(1.1)	14.3	14.3	13.2	13.2	10.2	10.2	3.0	29.4%
Ending Fund Balances (Deficits)	\$ (5.3)	\$ (5.3)	\$ 14.4	\$ 14.4	\$ 9.1	\$ 9.1	\$ 6.0	\$ 6.0	\$ 3.1	51.7%

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 560.0	\$ -	\$ 2,066.2	\$ 1,506.2	\$ -
Consumption/Use	1,178.0	-	1,045.0	(133.0)	-
Business	371.0	-	415.9	44.9	-
Other	140.0	-	130.9	(9.1)	-
Miscellaneous Receipts	2,733.0	-	2,637.2	(95.8)	-
Federal Receipts	9,053.0	-	10,863.1	1,810.1	-
Total Receipts	14,035.0	-	17,158.3	3,123.3	-
DISBURSEMENTS:					
Local Assistance Grants	6,408.0	-	7,660.2	1,252.2	-
Departmental Operations	2,044.0	-	2,153.6	109.6	-
General State Charges	549.0	-	535.2	(13.8)	-
Debt Service	37.0	-	36.5	(0.5)	-
Capital Projects	654.0	-	509.8	(144.2)	-
Total Disbursements	9,692.0	-	10,895.3	1,203.3	-
Excess (Deficiency) of Receipts over Disbursements	4,343.0	-	6,263.0	1,920.0	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	498.0	-	1,063.1	565.1	-
Transfers to Other Funds	(514.0)	-	(1,066.5)	552.5	-
Total Other Financing Sources (Uses)	(16.0)	-	(3.4)	12.6	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,327.0	-	6,259.6	1,932.6	-
Fund Balances (Deficits) at April 1	14,284.0	-	14,284.8	0.8	-
Fund Balances (Deficits) at April 30, 2020	\$ 18,611.0	\$ -	\$ 20,544.4	\$ 1,933.4	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 560.0	\$ -	\$ 2,066.2	\$ 1,506.2	\$ -
Consumption/Use	1,149.0	-	1,009.0	(140.0)	-
Business	341.0	-	377.8	36.8	-
Other	140.0	-	130.9	(9.1)	-
Miscellaneous Receipts	1,561.0	-	1,431.2	(129.8)	-
Federal Receipts	-	-	-	-	-
Total Receipts	3,751.0	-	5,015.1	1,264.1	-
DISBURSEMENTS:					
Local Assistance Grants	1,884.0	-	1,785.9	(98.1)	-
Departmental Operations	1,865.0	-	2,038.1	173.1	-
General State Charges	515.0	-	512.5	(2.5)	-
Debt Service	37.0	-	36.5	(0.5)	-
Capital Projects	-	-	-	-	-
Total Disbursements	4,301.0	-	4,373.0	72.0	-
Excess (Deficiency) of Receipts over Disbursements	(550.0)	-	642.1	1,192.1	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	1,005.0	-	1,939.1 (***)	934.1	-
Transfers to Other Funds	(419.0)	-	(817.9) (***)	398.9	-
Total Other Financing Sources (Uses)	586.0	-	1,121.2	535.2	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	36.0	-	1,763.3	1,727.3	-
Fund Balances (Deficits) at April 1	14,408.0	-	14,408.3	0.3	-
Fund Balances (Deficits) at April 30, 2020	\$ 14,444.0	\$ -	\$ 16,171.6	\$ 1,727.6	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported

by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 280.0	\$ -	\$ 1,033.1	\$ 753.1	\$ -
Consumption/Use	528.0	-	458.8	(69.2)	-
Business	263.0	-	279.8	16.8	-
Other	99.0	-	73.6	(25.4)	-
Miscellaneous Receipts	153.0	-	37.3	(115.7)	-
Federal Receipts	-	-	-	-	-
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	280.0	-	1,032.9	752.9	-
Sales Tax in excess of LGAC / STRBF Debt Service	352.0	-	284.6	(67.4)	-
Real Estate Taxes in excess of CW/CA Debt Service	37.0	-	43.8	6.8	-
All Other	103.0	-	74.9	(28.1)	-
Total Receipts and Other Financing Sources	2,095.0	-	3,318.8	1,223.8	-
DISBURSEMENTS:					
Local Assistance Grants	1,199.0	-	1,138.9	(60.1)	-
Departmental Operations	1,055.0	-	1,206.9	151.9	-
General State Charges	422.0	-	460.2	38.2	-
Transfers To:					
Debt Service	32.0	-	32.0	-	-
Capital Projects	(513.0)	-	(800.3)	(287.3)	-
State Share Medicaid	-	-	58.9 (**)	58.9	-
SUNY Operations	6.0	-	-	(6.0)	-
Other Purposes	98.0	-	83.9	(14.1)	-
Total Disbursements and Other Financing Uses	2,299.0	-	2,180.5	(118.5)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(204.0)	-	1,138.3	1,342.3	-
Fund Balances (Deficits) at April 1	8,944.0	-	8,944.2	0.2	-
Fund Balances (Deficits) at April 30, 2020	\$ 8,740.0	\$ -	\$ 10,082.5	\$ 1,342.5	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan
						Actual Over/ (Under) Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	-	\$ -	\$ -	-	-	\$ -
Consumption/Use	159.0	-	156.0	-	156.0	(3.0)
Business	78.0	-	98.0	-	98.0	20.0
Miscellaneous Receipts	1,398.0	-	1,361.9	-	1,361.9	(36.1)
Federal Receipts	8,892.0	-	10,777.4	-	10,777.4	1,885.4
Transfers from Other Funds (**)	145.0	-	222.7	(70.9)	151.8	6.8
Total Receipts and Other Financing Sources	10,672.0	-	12,616.0	(70.9)	12,545.1	1,873.1
DISBURSEMENTS:						
Local Assistance Grants	4,766.0	-	6,377.9	-	6,377.9	1,611.9
Departmental Operations	989.0	-	946.7	-	946.7	(42.3)
General State Charges	127.0	-	75.0	-	75.0	(52.0)
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (**)	98.0	-	304.5	(70.9)	233.6	135.6
Total Disbursements and Other Financing Uses	5,980.0	-	7,704.1	(70.9)	7,633.2	1,653.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,692.0	-	4,911.9	-	4,911.9	219.9
Fund Balances (Deficits) at April 1	6,312.0	-	6,312.1	-	6,312.1	0.1
Fund Balances (Deficits) at April 30, 2020	11,004.0	-	11,224.0	-	11,224.0	220.0

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)**

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	159.0	-	156.0	(3.0)	-	-	-	-
Business	78.0	-	88.0	20.0	-	-	-	-
Miscellaneous Receipts	1,385.0	-	1,346.6	(38.4)	-	15.3	2.3	-
Federal Receipts	-	-	-	-	-	10,777.4	1,885.4	-
Transfers from Other Funds	145.0	-	222.7	77.7	-	-	-	-
Total Receipts and Other Financing Sources	1,767.0	-	1,823.3	56.3	-	10,792.7	1,887.7	-
DISBURSEMENTS:								
Local Assistance Grants	685.0	-	647.0	(38.0)	-	5,730.9	1,649.9	-
Departmental Operations	810.0	-	831.2	21.2	-	115.5	(63.5)	-
General State Charges	93.0	-	52.3	(40.7)	-	22.7	(11.3)	-
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	14.0	-	(2.7)	(16.7)	-	307.2	223.2	-
Total Disbursements and Other Financing Uses	1,602.0	-	1,527.8	(74.2)	-	6,176.3	1,798.3	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	165.0	-	295.5	130.5	-	4,616.4	89.4	-
Fund Balances (Deficits) at April 1	5,401.0	-	5,400.7	(0.3)	-	911.4	0.4	-
Fund Balances (Deficits) at April 30, 2020	\$ 5,566.0	\$ -	\$ 5,696.2	\$ 130.2	\$ -	\$ 5,527.8	\$ 89.8	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)**

EXHIBIT D

DEBT SERVICE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 280.0	\$ -	\$ 1,033.1	\$ 753.1	\$ -
Consumption/Use	462.0	-	394.2	(67.8)	-
Other	41.0	-	57.3	16.3	-
Miscellaneous Receipts	23.0	-	47.3	24.3	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	88.0	-	280.2	192.2	-
Total Receipts and Other Financing Sources	894.0	-	1,812.1	918.1	-
DISBURSEMENTS:					
Departmental Operations	-	-	-	-	-
Debt Service	37.0	-	36.5	(0.5)	-
Transfers to Other Funds	782.0	-	1,446.1	664.1	-
Total Disbursements and Other Financing Uses	819.0	-	1,482.6	663.6	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	75.0	-	329.5	254.5	-
Fund Balances (Deficits) at April 1	63.0	-	63.4	0.4	-
Fund Balances (Deficits) at April 30, 2020	\$ 138.0	\$ -	\$ 392.9	\$ 254.9	\$ -

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 29.0	\$ -	\$ 36.0	\$ -	\$ 36.0	\$ 7.0
Business	30.0	-	38.1	-	38.1	8.1
Other	-	-	-	-	-	-
Miscellaneous Receipts	1,159.0	-	1,190.7	-	1,190.7	31.7
Federal Receipts	161.0	-	85.7	-	85.7	(75.3)
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	(507.0)	-	(805.1)	-	(805.1)	(298.1)
Total Receipts and Other Financing Sources	872.0	-	545.4	-	545.4	(326.6)
DISBURSEMENTS:						
Local Assistance Grants	443.0	-	143.4	-	143.4	(299.6)
Capital Projects	654.0	-	509.8	-	509.8	(144.2)
Transfers to Other Funds	11.0	-	12.3	-	12.3	1.3
Total Disbursements and Other Financing Uses	1,108.0	-	665.5	-	665.5	(442.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(236.0)	-	(120.1)	-	(120.1)	115.9
Fund Balances (Deficits) at April 1	(1,035.0)	-	(1,034.9)	-	(1,034.9)	0.1
Fund Balances (Deficits) at April 30, 2020	(1,271.0)	\$ -	(1,155.0)	\$ -	(1,155.0)	\$ 116.0

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR ONE MONTH ENDED APRIL 30, 2020
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 29.0	\$ -	\$ 36.0	\$ 7.0	\$ -	\$ -	\$ -	\$ -
Business	30.0	-	38.1	8.1	-	-	-	-
Other	-	-	-	-	-	-	-	-
Miscellaneous Receipts	1,159.0	-	1,190.6	31.6	-	-	0.1	-
Federal Receipts	-	-	-	-	-	-	85.7	(75.3)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-
Transfers from Other Funds	(507.0)	-	(305.1)	(288.1)	-	-	-	-
Total Receipts and Other Financing Sources	711.0	-	459.6	(251.4)	-	-	85.8	(75.2)
DISBURSEMENTS:								
Local Assistance Grants	390.0	-	121.4	(268.6)	-	-	22.0	(31.0)
Capital Projects	586.0	-	452.1	(133.9)	-	-	57.7	(10.3)
Transfers to Other Funds	11.0	-	12.3	1.3	-	-	-	-
Total Disbursements and Other Financing Uses	987.0	-	585.8	(401.2)	-	-	79.7	(41.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(276.0)	-	(126.2)	149.8	-	-	6.1	(33.9)
Fund Balances (Deficits) at April 1	(471.0)	-	(472.2)	(1.2)	-	-	(562.7)	1.3
Fund Balances (Deficits) at April 30, 2020	\$ (747.0)	\$ -	\$ (598.4)	\$ 148.6	\$ -	\$ -	\$ (556.6)	\$ (32.6)

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	MONTH OF APR. 2020	1 MO. ENDED APR. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX																		
Withholding	\$ 3,187.3	\$ 3,187.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,237.1	\$ 3,237.1	\$ -	\$ -	\$ (49.8)	-1.5%
Estimated Payments	211.6	211.6	-	-	-	-	-	-	-	-	-	-	6,843.2	6,843.2	-	-	(6,631.6)	-86.9%
Returns	339.1	339.1	-	-	-	-	-	-	-	-	-	-	2,286.9	2,286.9	-	-	(1,947.8)	-85.2%
State/City Offsets	(69.8)	(69.8)	-	-	-	-	-	-	-	-	-	-	(296.9)	(296.9)	-	-	(227.1)	-76.5%
Other (Assessments)/LLC	107.4	107.4	-	-	-	-	-	-	-	-	-	-	170.3	170.3	-	-	(62.9)	-36.9%
Gross Receipts	3,775.6	3,775.6	-	-	-	-	-	-	-	-	-	-	12,240.6	12,240.6	-	-	(9,465.0)	-68.2%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,033.1)	(1,033.1)	-	-	-	-	1,033.1	1,033.1	-	-	-	-	(3,025.2)	(3,025.2)	-	-	(1,315.8)	-43.5%
Less: Refunds Issued	(1,709.4)	(1,709.4)	-	-	-	-	-	-	-	-	-	-	9,215.4	9,215.4	-	-	(7,149.2)	-77.6%
Total	1,033.1	1,033.1	-	-	-	-	1,033.1	1,033.1	-	-	-	-	2,066.2	2,066.2	-	-	(1,132.7)	-55.4%
CONSUMPTION/USE TAXES																		
Sales and Use	394.9	394.9	80.3	80.3	394.2	394.2	394.2	394.2	-	0.6	-	-	899.4	899.4	-	-	(332.2)	-37.6%
Auto Rental	-	-	(0.1)	(0.1)	-	-	-	-	-	0.6	-	-	0.5	0.5	-	-	(3.0)	-85.7%
Cigarette/Tobacco Products	30.0	30.0	68.8	68.8	-	-	-	-	-	-	-	-	98.8	98.8	-	-	9.6	10.8%
Medical Marijuana	-	-	0.5	0.5	-	-	-	-	-	-	-	-	0.5	0.5	-	-	-	0.0%
Motor Fuel	-	-	6.5	6.5	-	-	-	-	23.8	23.8	-	-	30.3	30.3	-	-	(15.7)	-34.1%
Alcoholic Beverage	26.7	26.7	-	-	-	-	-	-	-	-	-	-	26.7	26.7	-	-	8.7	33.5%
Highway Use	-	-	-	-	-	-	-	-	-	11.6	-	-	11.6	11.6	-	-	(2.6)	-18.3%
Vapor Excise	7.2	7.2	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	-	-	0.0%
Opium Excise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total	458.8	458.8	156.0	156.0	394.2	394.2	394.2	394.2	36.0	36.0	-	-	1,045.0	1,045.0	-	-	(330.0)	-24.0%
BUSINESS TAXES																		
Corporation Franchise	197.4	197.4	57.0	57.0	-	-	-	-	-	-	-	-	376.7	376.7	-	-	(122.3)	-32.5%
Corporation and Utilities	13.3	13.3	2.2	2.2	-	-	-	-	0.1	0.1	-	-	15.6	15.6	-	-	(27.4)	-63.7%
Insurance	63.0	63.0	7.2	7.2	-	-	-	-	-	-	-	-	70.2	70.2	-	-	(71.6)	-50.5%
Bank	6.1	6.1	1.3	1.3	-	-	-	-	-	-	-	-	7.4	7.4	-	-	(137.9)	-94.9%
Petroleum Business	-	-	30.3	30.3	-	-	-	-	38.0	38.0	-	-	68.3	68.3	-	-	(32.1)	-32.0%
Total	279.8	279.8	98.0	98.0	-	-	-	-	38.1	38.1	-	-	415.9	415.9	-	-	(391.3)	-48.5%
OTHER TAXES																		
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	72.7	72.7	-	-	-	-	-	-	-	-	-	-	79.7	79.7	-	-	(7.0)	-8.8%
Pan-Medical	0.7	0.7	-	-	-	-	-	-	-	-	-	-	0.9	0.9	-	-	(0.2)	-22.2%
Real Estate Transfer	-	-	-	-	-	-	57.2	57.2	-	-	-	-	82.9	82.9	-	-	(23.7)	-31.0%
Racing and Exhibitions	0.1	0.1	-	-	-	-	-	-	-	-	-	-	0.1	0.1	-	-	(0.1)	-50.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	-	0.1	0.1	-	-	-	-	-	-	0.1	0.1	-	-	0.1	100.0%
Total	73.6	73.6	-	-	57.3	57.3	57.3	57.3	-	-	-	-	139.9	139.9	-	-	(62.9)	-29.1%
Total Tax Receipts	\$ 1,845.3	\$ 1,845.3	\$ 254.0	\$ 254.0	\$ 1,484.6	\$ 1,484.6	\$ 1,484.6	\$ 1,484.6	\$ 74.1	\$ 74.1	\$ 74.1	\$ 74.1	\$ 11,561.4	\$ 11,561.4	\$ 11,561.4	\$ 11,561.4	\$ (7,903.4)	-48.4%

EXHIBIT E

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	1 Month Ended April 30											
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH
Beginning Fund Balance	\$ 14,284.8											
RECEIPTS:												
Taxes:												
Personal Income Tax:												
Withholdings	3,187.3											
Estimated Payments	211.6											
Returns	338.1											
State City Offsets	(1,947.8)											
Other (Refunds/LLC)	(1,552.9)											
Gross Receipts	3,775.6											
Transfers to School Tax Relief Fund	-											
Transfers to Revenue Bond Tax Fund	-											
Refunds Issued	(1,709.4)											
Total Personal Income Tax	2,066.2											
Consumption/Use Taxes:												
Sales and Use	889.4											
Auto Rental	0.5											
Cigarette/Tobacco Products	0.5											
Medical Marijuana	0.5											
Motor Fuel	30.3											
Alcoholic Beverage	26.7											
Highway Use	11.6											
Vapor Excise	-											
Opioid Excise	7.2											
Metropolitan Commuter Trans. Taxicab Trip	-											
Total Consumption/Use Taxes	1,045.0											
Business Taxes:												
Corporate Franchise	254.4											
Competition and Utilities	15.6											
Insurance	70.2											
Bank	7.4											
Petroleum Business	88.3											
Total Business Taxes	415.9											
Other Taxes:												
Real Property Gains	-											
Estate and Gift	72.7											
Gift Tax	17.1											
Real Estate Transfer	67.2											
Racing and Exhibitions	0.1											
Metropolitan Commuter Trans. Mobility	-											
Employer Compensation Expense Tax	0.2											
Total Other Taxes	130.9											
Total Taxes	3,658.0											
Miscellaneous Receipts:												
Abandoned Property	1.6											
Real Estate Property	0.7											
Bottle Bill	-											
Assessments:												
Business	54.6											
Medical Care	571.2											
Public Utilities	0.1											
Other	-											
Fees, Licenses and Permits:												
Alcohol Beverage Control Licensing	2.2											
Audit Fees	-											
Business/Professional:	89.0											
Civil	4.3											
Criminal	0.6											
Motor Vehicle	(29.8)											
Recreational/Consumer	43.0											
Fines, Penalties and Forfeitures	100.9											
Gaming:	-											
Casino	-											
Lottery	157.0											
Video Lottery	-											
Intergovernmental	32.9											
Receipts from Public Authorities:												
Bond Proceeds	1,122.1											
Cost Recovery Assessments	-											
Insurance Fees	0.5											
Non Bond Related	9.0											
Total	11,561.4	14,284.8	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0	9,975.0
% Increase/Decrease	-88.4%											

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	1 Month Ended April 30 \$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Municipalities	9.3												9.3	26.4	(17.1)	-64.8%
Revenues of State Departments:	(4.6)												(4.6)	40.2	(44.8)	-111.4%
Administrative Recoveries	25.0												25.0	1.9	23.1	1,216.8%
Commissions	0.6												0.6	0.6	-	0.0%
Commissions - Asset Conversion	-												-	-	-	0.0%
Gifts, Grants and Donations	0.6												0.6	10.9	(10.3)	-94.5%
Indirect Cost Recoveries	5.5												5.5	5.6	(0.1)	-1.8%
Patient/Client Care Reimbursement	526.5												526.5	239.4	287.1	119.9%
Revenues	7.6												7.6	12.3	(4.7)	-38.6%
Reimbursement and Settlements	7.0												7.0	12.3	(5.3)	-43.1%
Student Loans	6.1												6.1	7.5	(1.4)	-18.7%
All Other	(19.5)												(19.5)	52.9	(72.4)	-136.9%
Sales	0.5												0.5	5.2	(4.7)	-90.4%
Tuition	(67.5)												(67.5)	52.0	(119.5)	-228.8%
Total Miscellaneous Receipts	2,637.2	-	-	-	-	-	-	-	-	-	-	-	2,637.2	2,656.7	(19.5)	-0.8%
Federal Receipts	10,893.1												10,893.1	6,242.0	4,651.1	74.0%
Total Receipts	17,188.3	-	-	-	-	-	-	-	-	-	-	-	17,188.3	20,463.1	(3,304.8)	-16.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,149.2												1,149.2	1,263.9	(114.7)	-9.1%
Environment and Recreation	4.5												4.5	25.4	(20.9)	-82.3%
General Government	48.5												48.5	60.3	(11.8)	-19.6%
Public Health:																
Hospitals	5,410.3												5,410.3	6,412.0	(1,001.7)	-15.6%
Other Public Health	602.5												602.5	726.4	(121.9)	-16.8%
Public Safety	95.3												95.3	156.9	(61.6)	-39.3%
Public Welfare	211.8												211.8	240.1	(28.3)	-11.8%
Support and Regulate Business	48.1												48.1	34.1	14.0	41.1%
Transportation	90.0												90.0	305.0	(215.0)	-70.5%
Total Local Assistance Grants	7,660.2	-	-	-	-	-	-	-	-	-	-	-	7,660.2	9,222.1	(1,561.9)	-16.9%
Departmental Operations:																
Personal Service	1,589.5												1,589.5	1,139.8	429.7	37.7%
Public Health Service	1,063.1												1,063.1	2,074.1	(1,011.0)	-48.7%
General State Operations	555.2												555.2	815.6	(278.4)	-34.2%
Debt Service, Including Payments on	36.5												36.5	72.4	(35.9)	-49.6%
Financing Agreements	509.8												509.8	434.1	75.7	17.4%
Capital Projects																
Total Disbursements	10,895.3	-	-	-	-	-	-	-	-	-	-	-	10,895.3	12,156.1	(1,260.8)	-10.4%
Excess (Deficiency) of Receipts over Disbursements	6,293.0	-	-	-	-	-	-	-	-	-	-	-	6,293.0	8,307.0	(2,044.0)	-24.6%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-												-	-	-	0.0%
Transfers from Other Funds	1,063.1												1,063.1	6,203.6	(5,140.5)	-82.8%
Transfers to Other Funds	(1,068.5)												(1,068.5)	(6,217.9)	(5,151.4)	-82.8%
Total Other Financing Sources (Uses)	(3.4)	-	-	-	-	-	-	-	-	-	-	-	(3.4)	(14.3)	10.9	76.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,259.6	-	-	-	-	-	-	-	-	-	-	-	6,259.6	8,292.7	(2,033.1)	-24.5%
Ending Fund Balance	20,544.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	20,544.4	\$ -	\$ 2,276.7	12.5%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	1 Month Ended April 30 \$ Increase/ (Decrease)	% Increase/ Decrease
REVENUES:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	0.5	-	-	-	-	-	-	-	-	-	-	-	0.5	2.0	(1.5)	0.0%
Interest on Bonds	8.9	-	-	-	-	-	-	-	-	-	-	-	8.9	8.9	0.0	-76.0%
Non Bond Related	9.3	-	-	-	-	-	-	-	-	-	-	-	9.3	0.7	8.2	1,171.4%
Receipts from Municipalities	(5.1)	-	-	-	-	-	-	-	-	-	-	-	(5.1)	26.1	(16.8)	-64.4%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	39.3	(44.4)	-113.0%
Revenues of State Departments:																
Administrative Recoveries	25.0	-	-	-	-	-	-	-	-	-	-	-	25.0	1.9	23.1	1,216.9%
Commissions	0.6	-	-	-	-	-	-	-	-	-	-	-	0.6	-	-	0.0%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.6	-	-	-	-	-	-	-	-	-	-	-	0.6	0.8	(0.2)	-25.0%
Indirect Cost Recoveries	5.6	-	-	-	-	-	-	-	-	-	-	-	5.6	6.5	(1.0)	-15.4%
Patient/Client Care Reimbursement	528.5	-	-	-	-	-	-	-	-	-	-	-	528.5	239.4	287.1	119.9%
Refunds	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	0.0	0.0%
Restitution and Settlements	3.9	-	-	-	-	-	-	-	-	-	-	-	3.9	7.6	(3.7)	-48.7%
Student Loans	8.1	-	-	-	-	-	-	-	-	-	-	-	8.1	7.5	(0.6)	-7.3%
All Other	(20.1)	-	-	-	-	-	-	-	-	-	-	-	(20.1)	49.0	(69.1)	-141.0%
Sales	0.5	-	-	-	-	-	-	-	-	-	-	-	0.5	1.0	(0.5)	-50.0%
Tuition	(67.5)	-	-	-	-	-	-	-	-	-	-	-	(67.5)	52.0	(118.5)	-228.9%
Total Miscellaneous Receipts	1,431.2	-	-	-	-	-	-	-	-	-	-	-	1,431.2	2,346.8	(915.6)	-63.9%
DISBURSEMENTS:																
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Receipts	5,015.1	-	-	-	-	-	-	-	-	-	-	-	5,015.1	13,788.5	(8,773.4)	-43.6%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	754.3	-	-	-	-	-	-	-	-	-	-	-	754.3	917.2	(162.9)	-17.9%
Environment and Recreation	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
General Government	16.1	-	-	-	-	-	-	-	-	-	-	-	16.1	27.1	(11.0)	-40.6%
Public Health:																
Healthcare	757.7	-	-	-	-	-	-	-	-	-	-	-	757.7	3,295.5	(2,537.8)	-77.0%
Other Public Health	30.5	-	-	-	-	-	-	-	-	-	-	-	30.5	20.3	10.2	50.5%
Public Safety	20.9	-	-	-	-	-	-	-	-	-	-	-	20.9	30.7	(9.8)	-31.9%
Public Welfare	77.0	-	-	-	-	-	-	-	-	-	-	-	77.0	88.7	(11.7)	-13.2%
Support and Regulate Business	4.6	-	-	-	-	-	-	-	-	-	-	-	4.6	7.1	(2.5)	-35.2%
Transportation	61.7	-	-	-	-	-	-	-	-	-	-	-	61.7	69.3	(6.6)	-8.7%
Total Local Assistance Grants	1,783.9	-	-	-	-	-	-	-	-	-	-	-	1,783.9	4,945.1	(3,161.2)	-75.7%
Debt Service:																
Personal Service	1,484.8	-	-	-	-	-	-	-	-	-	-	-	1,484.8	1,090.5	404.3	37.1%
Non-Personal Service	543.3	-	-	-	-	-	-	-	-	-	-	-	543.3	404.0	139.3	34.5%
General State Charges	512.5	-	-	-	-	-	-	-	-	-	-	-	512.5	792.2	(279.7)	-35.3%
Debt Service, Including Payments on	36.5	-	-	-	-	-	-	-	-	-	-	-	36.5	72.4	(35.9)	-48.6%
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	4,373.0	-	-	-	-	-	-	-	-	-	-	-	4,373.0	7,004.2	(2,631.2)	-37.6%
Excess (Deficiency) of Receipts over Disbursements	642.1	-	-	-	-	-	-	-	-	-	-	-	642.1	6,784.3	(6,142.2)	-90.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (*)	1,939.1	-	-	-	-	-	-	-	-	-	-	-	1,939.1	5,706.6	(3,767.5)	-68.0%
Transfers to Other Funds (*)	(617.9)	-	-	-	-	-	-	-	-	-	-	-	(617.9)	(6,134.0)	(5,516.1)	-88.7%
Total Other Financing Sources (Uses)	1,121.2	-	-	-	-	-	-	-	-	-	-	-	1,121.2	(427.4)	1,548.6	362.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,763.3	-	-	-	-	-	-	-	-	-	-	-	1,763.3	6,356.9	(4,593.6)	-72.3%
Ending Fund Balance	\$ 16,171.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,171.6	\$ 18,718.2	\$ (2,546.6)	-13.6%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

	1 Month Ended April 30												% Increase/ Decrease
	2020 APRIL \$ 8,844.2	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance													
RECEIPTS:													
Taxes:													
Personal Income Tax:													
Withholdings	3,187.3												
Estimated Payments	211.6												
Returns	338.1												
State/City Offsets	(68.8)												
Other Assessments(LLC)	197.4												
3,795.6													
Transfers to School Tax Relief Fund	(1,033.1)												
Transfers to Revenue Bond Tax Fund	(1,708.4)												
Refunds Issued	1,033.1												
Total Personal Income Tax													
Consumption/Use Taxes:													
Sales and Use	384.9												
Alcohol	30.0												
Cigarette/Tobacco Products	-												
Motor Fuel	26.7												
Alcoholic Beverage	-												
Highway Use	-												
Vapor Excise	-												
Opium Excise	7.2												
Miscellaneous	-												
Computer Trans. Taxicab Trip	-												
Total Consumption/Use Taxes													
458.8													
Business Taxes:													
Corporation Franchise	197.4												
Corporation and Utilities	13.3												
Insurance	63.0												
Bank	6.1												
Permit Business	-												
Total Business Taxes													
278.8													
Other Taxes:													
Real Property Gains	-												
Estate and Gift	72.7												
Part-Mutual	0.7												
Real Estate Transfer	-												
Racing and Exhibitions	0.1												
Motor Vehicle Trans. Mobility	-												
Employer Compensation Expense Tax	0.1												
Total Other Taxes													
73.6													
Total Taxes													
1,845.3													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	0.4												
Assessments:	0.7												
Business	-												
Industrial/Leasing	1.9												
Public Utilities	-												
Other	-												
Fees, Licenses and Permits:													
Alcohol Beverage Control Licensing	2.2												
Audit Fees	-												
Business/Professional	19.0												
Criminal	1.1												
Motor Vehicle	0.1												
Recreational/Consumer	(100.4)												
Fires, Penalties and Forfeitures	-												
Interest Earnings	88.9												
Receipts from Public Authorities:													
Cost Recovery Assessments	15.6												
Non Bond Related	-												
Receipts from Municipalities	-												
Rentals	0.2												
Revenues of State Departments:													
Administrative Recoveries	0.4												
Commissions	0.4												
Grants and Donations	5.5												
Indirect Cost Recoveries	(3.7)												
Patient/Client Care Reimbursement	-												
Rebates	-												

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	1 Month Ended April 30												EXHIBIT F
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	
Restitution and Settlements	0.2	-	-	-	-	-	-	-	-	-	-	-	
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	
Other	4.8	-	-	-	-	-	-	-	-	-	-	-	
Sales	-	-	-	-	-	-	-	-	-	-	-	-	
Total Miscellaneous Receipts	37.3	-	-	-	-	-	-	-	-	-	-	-	
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	
Total Receipts	1,882.6	-	-	-	-	-	-	-	-	-	-	-	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	754.2	-	-	-	-	-	-	-	-	-	-	-	
Environment and Recreation	0.1	-	-	-	-	-	-	-	-	-	-	-	
General Government	6.8	-	-	-	-	-	-	-	-	-	-	-	
Public Health:													
Hepatitis	239.4	-	-	-	-	-	-	-	-	-	-	-	
Other Public Health	63.9	-	-	-	-	-	-	-	-	-	-	-	
Public Safety	2.9	-	-	-	-	-	-	-	-	-	-	-	
Public Welfare	76.9	-	-	-	-	-	-	-	-	-	-	-	
Support and Regulate Business	4.6	-	-	-	-	-	-	-	-	-	-	-	
Transportation	0.1	-	-	-	-	-	-	-	-	-	-	-	
Total Local Assistance Grants	1,138.9	-	-	-	-	-	-	-	-	-	-	-	
Departmental Operations:													
Capital Projects	893.7	-	-	-	-	-	-	-	-	-	-	-	
Non-Personal Service	313.2	-	-	-	-	-	-	-	-	-	-	-	
General State Charges	460.2	-	-	-	-	-	-	-	-	-	-	-	
Total Disbursements	2,806.0	-	-	-	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts over Disbursements	(923.4)	-	-	-	-	-	-	-	-	-	-	-	
OTHER FINANCING SOURCES (USES):													
Transfers from Revenue Bond Tax Fund	1,032.8	-	-	-	-	-	-	-	-	-	-	-	
Transfers from LCAP/STBRTF	284.6	-	-	-	-	-	-	-	-	-	-	-	
Transfers from CIVICA Fund	43.8	-	-	-	-	-	-	-	-	-	-	-	
Transfers from Other Funds	74.9	-	-	-	-	-	-	-	-	-	-	-	
Transfers to State Capital Projects	800.3	-	-	-	-	-	-	-	-	-	-	-	
Transfers to All Other Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	
Transfers to General Debt Service	(32.0)	-	-	-	-	-	-	-	-	-	-	-	
Transfers to All Other State Funds	(142.6)	-	-	-	-	-	-	-	-	-	-	-	
Total Other Financing Sources (Uses)	2,061.7	-	-	-	-	-	-	-	-	-	-	-	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,138.3	-	-	-	-	-	-	-	-	-	-	-	
Ending Fund Balance	\$ 10,082.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL \$ 6,312.1	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	2020 \$ 6,312.1	2019 \$ 3,842.4	1 Month Ended April 30 (Decrease)	% Increase/ Decrease
Beginning Fund Balance																	
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-													-	-	-	0.0%
Consumption/Use Taxes:																	
Cigarette Tax	80.3													80.3	124.1	(43.8)	-35.3%
Auto Rental	(0.1)													(0.1)	-	(0.1)	-100.0%
Cigarette/Tobacco Products	88.8													88.8	63.2	25.6	8.9%
Medical Marijuana	0.5													0.5	0.5	-	0.0%
Motor Fuel	6.5													6.5	9.6	(3.1)	-32.3%
Alcohol Beverage	-													-	-	-	0.0%
Highway Use	-													-	-	-	0.0%
Vapor Excise	-													-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-													-	-	-	0.0%
Total Consumption/Use Taxes	156.0													156.0	197.2	(41.2)	-21.0%
Business:																	
Corporate Franchise	57.0													57.0	102.6	(45.6)	-44.4%
Corporation and Utilities	2.2													2.2	23.4	(21.2)	-90.6%
Insurance	7.2													7.2	14.4	(7.2)	-50.0%
Bank	1.3													1.3	1.9	(0.6)	-33.5%
Petroleum Business	30.3													30.3	40.4	(10.1)	-25.0%
Total Business Taxes	88.0													88.0	204.7	(116.7)	-32.1%
Total Taxes	254.0													254.0	401.8	(147.8)	-36.8%
Miscellaneous Receipts:																	
Abandoned Property	1.2													1.2	1.1	0.1	9.1%
Assessments:																	
Business	48.7													48.7	70.9	(22.2)	-31.1%
Landmarks	58.3													58.3	62.7	(4.4)	-7.3%
Public Utilities	0.1													0.1	5.1	(5.0)	-98.0%
Other	-													-	-	-	0.0%
Fees, Licenses and Permits:																	
Audit Fees	-													-	-	-	0.0%
Business/Professional	48.2													48.2	48.6	(0.4)	-0.8%
Civil	3.2													3.2	3.8	(0.6)	-11.1%
Criminal	0.5													0.5	0.2	0.3	68.7%
Motor Vehicle	18.4													18.4	28.0	(9.6)	-32.2%
Recreational/Consumer	43.0													43.0	54.0	(11.0)	-20.4%
Fines, Penalties and Forfeitures	10.0													10.0	8.3	1.7	20.5%
Gaming	-													-	-	-	0.0%
Casino	157.0													157.0	31.4	(125.6)	-100.0%
Liquor	-													-	-	-	0.0%
Video Lottery	-													-	-	-	0.0%
Intoxicating Liquor	16.5													16.5	20.8	(4.3)	-21.1%
Receipts from Public Authorities:																	
Bond Proceeds	-													-	-	-	0.0%
Cost Recovery Assessments	0.5													0.5	-	0.5	0.0%
Insurance Fees	0.5													0.5	2.0	(1.5)	-75.0%
Cost Recovery	9.3													9.3	8.4	0.9	13.3%
Receipts from Municipalities	(5.3)													(5.3)	39.0	(44.3)	-113.6%
Revenues of State Departments:																	
Administrative Recoveries	24.6													24.6	1.4	23.2	1,687.1%
Commissions	0.2													0.2	0.3	(0.1)	-50.0%
Commissions - Asset Conversion	-													-	-	-	0.0%
Gifts, Grants and Donations	0.6													0.6	0.8	(0.2)	-25.0%
Indirect Cost Recoveries	483.0													483.0	204.3	278.7	136.4%
Patient/Client Case Reimbursement	3.7													3.7	1.8	1.9	105.6%
Rehabilitation and Settlements	6.1													6.1	7.5	(1.4)	-18.7%
Student Loans	(24.6)													(24.6)	41.2	(65.8)	-159.7%
All Other	0.5													0.5	0.9	(0.4)	-44.4%
Sales	0.5													0.5	5.0	(4.5)	-90.0%
Tuition	1,381.9													1,381.9	1,371.1	10.8	0.8%
Total Miscellaneous Receipts	1,381.9													1,381.9	1,371.1	10.8	0.8%
Federal Receipts	10,777.4													10,777.4	6,203.7	4,573.7	73.7%
Total Receipts	12,393.3													12,393.3	8,174.4	4,218.9	51.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	1 Month Ended April 30		% Increase/ Decrease
														2020	2019	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	383.0												-	383.0	337.7	13.4%
Health and Human Services													-	(18.3)	(18.3)	-100%
Transportation and Recreation													-	11.3	27.4	-58.8%
General Government	11.3												-			
Public Health:													-			
Medicaid	5,180.9												-	5,180.9	3,115.4	66.3%
Other Public Health	509.6												-	509.6	543.4	-6.2%
Public Safety:													-	142.4	142.4	0.0%
Police	134.9												-	134.9	152.3	-11.4%
Public Welfare	0.3												-	0.3	1.4	-78.6%
Support and Regulate Business													-	65.5	72.3	-9.4%
Transportation	65.5												-			
Total Local Assistance Grants	6,377.9												-	6,377.9	4,386.3	45.4%
Dispositional Operations:													-			
Personal Service	675.8												-	675.8	451.0	49.8%
Non-Personal Service	270.9												-	270.9	314.4	-13.8%
General State Charges	75.0												-	75.0	85.4	-12.2%
Capital Projects	-												-	-	-	0.0%
Total Disbursements	7,399.6												-	7,399.6	5,237.2	41.3%
Excess (Deficiency) of Receipts over Disbursements	4,937.7												-	4,937.7	2,940.2	68.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7												(70.9)	151.8	296.1	-48.7%
Transfers to Other Funds	(304.5)												70.9	(233.6)	(78.3)	205.2%
Total Other Financing Sources (Uses)	(81.8)												-	(81.8)	219.8	-137.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,911.9												-	4,911.9	3,160.0	55.4%
Ending Fund Balance	\$ 11,224.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,224.0	\$ 7,002.4	60.3%

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	1 Month Ended April 30												% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 5,400.7												6.1%
RECEIPTS:													
Taxes:													
Personal Income Tax	-												
Consumption/Use Taxes:													
Sales and Use	80.3												
Auto Rental	(0.1)												
Cigarette/Tobacco Products	6.5												
Medical Marijuana	0.5												
Motor Fuel	6.5												
Alcoholic Beverage	-												
Highway Use	-												
Vapor Excise	-												
Metropolitan Commuter Transp. Taxicab Trip	-												
Total Consumption/Use Taxes	156.0												
Business:													
Franchise	57.0												
Corporation Franchise	2.2												
Corporation and Utilities	7.2												
Insurance	1.3												
Bank	30.3												
Total Business Taxes	98.0												
Total Taxes	254.0												
Miscellaneous Receipts:													
Abandoned Property:													
Abandoned Property	1.2												
Assessments:													
Business	42.7												
Medical Care	568.3												
Public Utilities	0.1												
Other	-												
Fees, Licenses and Permits:													
Audit Fees	-												
Business/Professional	48.2												
Civil	3.2												
Criminal	0.5												
Motor Vehicle	1.0												
Rentals/Consumer	43.0												
Fines, Penalties and Forfeitures	9.7												
Gaming:													
Casino	-												
Lottery	157.0												
Video Lottery	-												
Interest Earnings	13.5												
Receipts from Public Authorities:													
State Police	-												
Cost Recovery Assessments	-												
Insurance Fees	0.5												
Non Bond Related	8.9												
Receipts from Municipalities	9.3												
Rentals	(5.3)												
Revenues of State Departments:													
Administrative Recoveries	24.6												
Commissions	0.2												
Grants - Asset Conversion	0.6												
Gifts, Grants and Donations	-												
Indirect Cost Recoveries	483.0												
Patient/Client Care Reimbursement	0.1												
Rebates	3.7												
Restitution and Settlements	6.1												
Student Loans	(2.0)												
Other	0.5												
Total Miscellaneous Receipts	1,346.6												
Total Receipts	1,600.6												
Total Receipts	1,600.6												

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.2	(0.1)	-50.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
General Government	9.3	-	-	-	-	-	-	-	-	-	-	-	9.3	25.4	(16.1)	-83.4%
Public Health:																
Public Health	528.3	-	-	-	-	-	-	-	-	-	-	-	528.3	(1.1)	529.4	48,127.3%
Other Public Health	29.9	-	-	-	-	-	-	-	-	-	-	-	29.9	80.1	(50.2)	-50.2%
Public Safety	18.0	-	-	-	-	-	-	-	-	-	-	-	18.0	20.1	(2.1)	-10.4%
Public Welfare	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.9	(0.8)	-88.9%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	1.1	(1.1)	-100.0%
Transportation	61.6	-	-	-	-	-	-	-	-	-	-	-	61.6	68.3	(6.7)	-9.8%
Total Local Assistance Grants	647.0	-	-	-	-	-	-	-	-	-	-	-	647.0	175.1	-471.9	-269.5%
Departmental Operations:																
General Services	601.1	-	-	-	-	-	-	-	-	-	-	-	601.1	401.7	199.4	49.8%
Non-Departmental	230.1	-	-	-	-	-	-	-	-	-	-	-	230.1	244.3	(14.2)	-5.8%
General State Changes	52.3	-	-	-	-	-	-	-	-	-	-	-	52.3	64.0	(11.7)	-18.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	1,530.5	-	-	-	-	-	-	-	-	-	-	-	1,530.5	885.1	645.4	72.9%
Excess (Deficiency) of Receipts over Disbursements	70.1	-	-	-	-	-	-	-	-	-	-	-	70.1	1,073.9	(1,003.8)	-93.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	222.7	-	-	-	-	-	-	-	-	-	-	-	222.7	308.3	(85.6)	-27.8%
Transfers to Other Funds	2.7	-	-	-	-	-	-	-	-	-	-	-	2.7	(38.3)	(41.0)	-107.0%
Total Other Financing Sources (Uses)	225.4	-	-	-	-	-	-	-	-	-	-	-	225.4	270.0	(44.6)	-16.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	285.5	-	-	-	-	-	-	-	-	-	-	-	285.5	1,343.9	(1,048.4)	-78.0%
Ending Fund Balance	\$ 5,686.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,686.2	\$ 6,434.7	\$ (738.5)	-11.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30 2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 911.4												\$ 911.4	\$ (1,248.4)	\$ 2,159.8	173.0%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property	-												-	-	-	0.0%
Assessments:																
Business	4.0												4.0	4.5	(0.5)	-11.1%
Medical Care	-												-	-	-	0.0%
Public Utilities	-												-	-	-	0.0%
Other	-												-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-												-	-	-	0.0%
Civil	-												-	-	-	0.0%
Criminal	-												-	-	-	0.0%
Motor Vehicle	-												-	-	-	0.0%
Recreational/Consumer	-												-	-	-	0.0%
Fines, Penalties and Forfeitures	0.3												0.3	0.7	(0.4)	-57.1%
Interest Earnings	3.0												3.0	1.5	1.5	100.0%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%
Cost Recovery Assessments	-												-	-	-	0.0%
Insurance Fees	-												-	-	-	0.0%
Non Bond Related	-												-	-	-	0.0%
Revenues from Municipalities	-												-	-	-	0.0%
Rentals	-												-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-												-	-	-	0.0%
Commissions	-												-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	-	0.0%
Indirect Cost Recoveries	-												-	-	-	0.0%
Patent/Client Care Reimbursement	-												-	-	-	0.0%
Rates	7.7												7.7	7.7	-	0.0%
Resolutions and Settlements	-												-	-	-	0.0%
Student Loans	-												-	-	-	0.0%
All Other	0.3												0.3	0.3	-	0.0%
Sales	-												-	-	-	0.0%
Tuition	-												-	-	-	0.0%
Total Miscellaneous Receipts	15.3												15.3	14.7	0.6	4.1%
Federal Receipts	10,777.4												10,777.4	6,203.7	4,573.7	73.7%
Total Receipts	10,792.7												10,792.7	6,218.4	4,574.3	73.6%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30			
													2020	2019	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	382.9												382.9	337.5	45.4	13.5%
Environment and Recreation	-												-	0.1	(0.1)	-100.0%
General Government	2.0												2.0	2.0	-	0.0%
Public Health:																
Medicaid	4,652.6												4,652.6	3,116.5	1,536.1	49.3%
Other Public Health	480.0												480.0	483.3	(3.3)	-0.7%
Public Safety	74.4												74.4	116.2	(41.8)	-36.0%
Public Welfare	134.8												134.8	151.4	(16.6)	-11.0%
Support and Regulate Business	0.3												0.3	0.3	-	0.0%
Transportation	3.9												3.9	4.0	(0.1)	-2.5%
Total Local Assistance Grants	5,730.9	-	-	-	-	-	-	-	-	-	-	-	5,730.9	4,211.3	1,519.6	36.1%
Departmental Operations:																
Personal Services	74.7												74.7	48.3	26.4	54.5%
Non-Personal Service	40.8												40.8	70.1	(29.3)	-41.8%
General State Charges	22.7												22.7	21.4	1.3	6.1%
Capital Projects	-												-	-	-	0.0%
Total Disbursements	5,889.1	-	-	-	-	-	-	-	-	-	-	-	5,889.1	4,352.1	1,517.0	34.9%
Excess (Deficiency) of Receipts over Disbursements	4,923.6	-	-	-	-	-	-	-	-	-	-	-	4,923.6	1,866.3	3,057.3	163.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	(307.2)												(307.2)	(50.2)	257.0	512.0%
Total Other Financing Sources (Uses)	(307.2)	-	-	-	-	-	-	-	-	-	-	-	(307.2)	(50.2)	257.0	512.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,616.4	-	-	-	-	-	-	-	-	-	-	-	4,616.4	1,816.1	2,800.3	154.2%
Ending Fund Balance	\$ 5,527.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,527.8	\$ 567.7	\$ 4,960.1	873.7%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT H

	1 Month Ended April 30												\$ Increase/ (Decrease)	% Increase/ Decrease		
	2020						2021									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 63.4												\$ 63.4	\$ 64.8	\$ (1.4)	-2.2%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,033.1												1,033.1	4,607.7	(3,574.6)	-77.6%
Consumption/Use Taxes:																
Sales and Use	394.2												394.2	538.2	(144.0)	-26.8%
Total Consumption/Use Taxes	394.2												394.2	538.2	(144.0)	-26.8%
Other Taxes:																
Real Estate Transfer	57.2												57.2	82.9	(25.7)	-31.0%
Employer Compensation Expense Tax	0.1												0.1	-	0.1	100.0%
Total Other Taxes	57.3												57.3	82.9	(25.6)	-30.9%
Total Taxes	1,484.6												1,484.6	5,228.8	(3,744.2)	-71.6%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-												-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control/Licensing	-												-	-	-	0.0%
Business/Professional	-												-	-	-	0.0%
Civil	-												-	-	-	0.0%
Criminal	-												-	-	-	0.0%
Motor Vehicle	-												-	-	-	0.0%
Recreational/Consumer	-												-	-	-	0.0%
Interest Earnings	0.1												0.1	0.2	(0.1)	-50.0%
Receipts from Municipalities	-												-	-	-	0.0%
Rentals	-												-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	47.2												47.2	42.4	4.8	11.3%
All Other	-												-	-	-	0.0%
Sales	-												-	-	-	0.0%
Total Miscellaneous Receipts	47.3												47.3	42.6	4.7	11.0%
Federal Receipts	-												-	-	-	0.0%
Total Receipts	1,531.9												1,531.9	5,271.4	(3,739.5)	-70.9%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-												-	0.7	(0.7)	-100.0%
Debt Service, Including Payments on Financing Agreements	36.5												36.5	72.4	(35.9)	-49.6%
Total Disbursements	36.5												36.5	73.1	(36.6)	-50.1%
Excess (Deficiency) of Receipts over Disbursements	1,495.4												1,495.4	5,198.3	(3,702.9)	-71.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	280.2												280.2	219.3	60.9	27.8%
Transfers to Other Funds	(1,446.1)												(1,446.1)	(5,167.6)	(3,721.5)	-72.0%
Total Other Financing Sources (Uses)	(1,165.9)												(1,165.9)	(4,948.3)	3,782.4	76.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	329.5												329.5	250.0	79.5	31.8%
Ending Fund Balance	\$ 392.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 392.9	\$ 314.8	\$ 78.1	24.8%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020												Intra-Fund Transfer Eliminations (*)	% Increase/ Decrease			
	1 Month Ended April 30																
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ (1,034.9)																
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6													0.6	3.5	(2.9)	-82.9%
Motor Fuel	23.8													23.8	36.4	(12.6)	-34.6%
Highway Use	11.6													11.6	14.2	(2.6)	-18.3%
Total Consumption/Use Taxes	36.0													36.0	54.1	(18.1)	-33.5%
Business Taxes:																	
Corporation Franchise	-													-	-	-	0.0%
Corporation and Utilities	0.1													0.1	3.3	(3.2)	-97.0%
Petroleum Business	38.0													38.0	56.3	(18.3)	-32.5%
Total Business Taxes	38.1													38.1	59.6	(21.5)	-36.1%
Other Taxes:																	
Real Estate Transfer	-													-	-	-	0.0%
Total Other Taxes	-													-	-	-	0.0%
Total Taxes	74.1													74.1	113.7	(39.6)	-34.8%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-													-	-	-	0.0%
Assessments:																	
Business:	7.9													7.9	10.1	(2.2)	-21.8%
Fees:																	
Business/Professional	1.8													1.8	0.8	1.0	125.0%
Civil	-													-	-	-	0.0%
Motor Vehicle	52.2													52.2	67.0	(14.8)	-22.1%
Recreational/Consumer	-													-	0.2	(0.2)	-100.0%
Fines, Penalties and Forfeitures	2.0													2.0	2.3	(0.3)	-13.0%
Interest Earnings	0.7													0.7	1.1	(0.4)	-36.4%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1													1,122.1	200.8	921.3	458.8%
Insurance Fees	-													-	-	-	0.0%
Non Bond Related	0.1													0.1	3.5	(3.4)	-97.1%
Receipts from Municipalities	-													-	0.3	(0.3)	-100.0%
Rentals	0.5													0.5	0.9	(0.4)	-44.4%
Revenues of State Departments:																	
Administrative Recoveries	-													-	-	-	0.0%
Gifts, Grants and Donations	-													-	10.1	(10.1)	-100.0%
Indirect Cost Recoveries	-													-	0.9	(0.9)	-100.0%
Rebates	-													-	-	-	0.0%
Restitution and Settlements	3.1													3.1	0.2	2.9	1,450.0%
All Other	0.3													0.3	3.6	(3.3)	-91.7%
Sales															4.2	(4.2)	-100.0%
Total Miscellaneous Receipts	1,190.7													1,190.7	304.2	886.5	291.4%
Federal Receipts	85.7													85.7	38.3	47.4	123.8%
Total Receipts	1,350.5													1,350.5	456.2	894.3	196.0%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	1 Month Ended April 30		
														2020	2019	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0	-	-	-	-	-	-	-	-	-	-	-	-	12.0	9.2	2.8
Environment and Recreation	4.4	-	-	-	-	-	-	-	-	-	-	-	-	4.4	25.1	(20.7)
General Government	30.4	-	-	-	-	-	-	-	-	-	-	-	-	30.4	31.2	(0.8)
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	29.0	-	-	-	-	-	-	-	-	-	-	-	-	29.0	30.8	(1.8)
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	-	-	10.0	(10.0)
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Support and Regulate Business	43.2	-	-	-	-	-	-	-	-	-	-	-	-	43.2	26.7	16.5
Transportation	24.4	-	-	-	-	-	-	-	-	-	-	-	-	24.4	232.7	(208.3)
Total Local Assistance Grants	143.4	-	-	-	-	-	-	-	-	-	-	-	-	143.4	365.7	(222.3)
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	509.8	-	-	-	-	-	-	-	-	-	-	-	-	509.8	434.1	75.7
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	653.2	-	-	-	-	-	-	-	-	-	-	-	-	653.2	799.8	(146.6)
Excess (Deficiency) of Receipts over Disbursements	697.3	-	-	-	-	-	-	-	-	-	-	-	-	697.3	(343.6)	1,040.9
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	(805.1)	-	-	-	-	-	-	-	-	-	-	-	-	(805.1)	509.2	(1,314.3)
Transfers to Other Funds	(12.3)	-	-	-	-	-	-	-	-	-	-	-	-	(12.3)	(45.9)	(33.6)
Total Other Financing Sources (Uses)	(817.4)	-	-	-	-	-	-	-	-	-	-	-	-	(817.4)	463.3	(1,280.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(120.1)	-	-	-	-	-	-	-	-	-	-	-	-	(120.1)	119.7	(239.8)
Ending Fund Balance	\$ (1,155.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,155.0)	\$ (1,018.2)	\$ (136.8)

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

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STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT I

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2020	2019	1 Month Ended April 30 \$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	12.0												12.0	9.2	2.8	30.4%
Environment and Recreation	4.4												4.4	25.1	(20.7)	-82.5%
General Government	30.4												30.4	31.2	(0.8)	-2.6%
Public Health:																
Medicaid	-												-	-	-	0.0%
Other Public Health	29.0												29.0	30.6	(1.8)	-5.8%
Public Safety	-												-	10.0	(10.0)	-100.0%
Public Welfare	-												-	-	-	0.0%
Support and Regulate Business	43.2												43.2	26.7	16.5	61.8%
Transportation	2.4												2.4	192.7	(190.3)	-98.8%
Total Local Assistance Grants	121.4												121.4	325.7	(204.3)	-62.7%
Departmental Operations:																
Personal Service	-												-	-	-	0.0%
Non-Personal Service	-												-	-	-	0.0%
General State Charges	-												-	-	-	0.0%
Capital Projects	452.1												452.1	368.7	83.4	22.6%
Total Disbursements	573.5												573.5	694.4	(120.9)	-17.4%
Excess (Deficiency) of Receipts over Disbursements	691.2												691.2	(276.6)	967.8	349.9%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (Net)	-												-	-	-	0.0%
Transfers from Other Funds	(805.1)												(805.1)	509.2	(1,314.3)	-258.1%
Transfers to Other Funds	(12.3)												(12.3)	(45.9)	(33.6)	-73.2%
Total Other Financing Sources (Uses)	(817.4)												(817.4)	463.3	(1,280.7)	-276.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(126.2)												(126.2)	186.7	(312.9)	-167.6%
Ending Fund Balance	\$ (598.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (598.4)	\$ (446.5)	\$ (151.9)	-34.0%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30			% Increase/ Decrease
	\$ (562.7)												2020 \$ (562.7)	2019 \$ (504.7)	\$ (56.0)	-11.5%
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-												-	-	-	0.0%
Assessments:																
Business	-												-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-												-	-	-	0.0%
Civil	-												-	-	-	0.0%
Motor Vehicle	-												-	-	-	0.0%
Recreational/Consumer	-												-	-	-	0.0%
Fines, Penalties and Forfeitures	-												-	-	-	0.0%
Interest Earnings	-												-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%
Issuance Fees	-												-	-	-	0.0%
Non Bond Related	-												-	-	-	0.0%
Receipts from Municipalities	-												-	-	-	0.0%
Rentals	0.1												0.1	0.1	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-												-	-	-	0.0%
Gifts, Grants and Donations	-												-	-	-	0.0%
Indirect Cost Recoveries	-												-	-	-	0.0%
Restitution and Settlements	-												-	-	-	0.0%
All Other	-												-	-	-	0.0%
Sales	-												-	-	-	0.0%
Total Miscellaneous Receipts	0.1												0.1	0.1		0.0%
Federal Receipts	85.7												85.7	38.3	47.4	123.8%
Total Receipts	85.8												85.8	38.4	47.4	123.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-												-	-	-	0.0%
Environment and Recreation	-												-	-	-	0.0%
General Government	-												-	-	-	0.0%
Public Health:																
Medicaid	-												-	-	-	0.0%
Other Public Health	-												-	-	-	0.0%
Public Safety	-												-	-	-	0.0%
Public Welfare	-												-	-	-	0.0%
Support and Regulate Business	-												-	-	-	0.0%
Transportation	22.0												22.0	40.0	(18.0)	-45.0%
Total Local Assistance Grants	22.0												22.0	40.0	(18.0)	-45.0%
Departmental Operations:																
Personal Service	-												-	-	-	0.0%
Non-Personal Service	-												-	-	-	0.0%
General State Charges	57.7												57.7	65.4	(7.7)	-11.8%
Capital Projects	79.7												79.7	105.4	(25.7)	-24.4%
Total Disbursements	6.1												6.1	(67.0)	73.1	109.1%
Excess (Deficiency) of Receipts over Disbursements																
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)																
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1												6.1	(67.0)	73.1	109.1%
Ending Fund Balance	\$ (556.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (556.6)	\$ (571.7)	\$ 15.1	2.6%

EXHIBIT J

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EXHIBIT K

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	1 Month Ended April 30																
												\$ Increase/ (Decrease)		% Increase/ Decrease			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH		\$ (297.5)	2019 \$ (302.7)	\$ 5.2	1.7%
Beginning Fund Balance	\$ (297.5)																
RECEIPTS:																	
Miscellaneous Receipts	25.2												25.2	33.2	(8.0)	-24.1%	
Total Receipts	25.2	-	-	-	-	-	-	-	-	-	-	-	25.2	33.2	(8.0)	-24.1%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	14.5												14.5	9.7	4.8	49.5%	
Non-Personal Service	(9.3)												(9.3)	24.4	(33.7)	-138.1%	
General State Charges	4.8												4.8	4.1	0.7	17.1%	
Total Disbursements	10.0	-	-	-	-	-	-	-	-	-	-	-	10.0	38.2	(28.2)	-73.8%	
Excess (Deficiency) of Receipts over Disbursements	15.2	-	-	-	-	-	-	-	-	-	-	-	15.2	(5.0)	20.2	404.0%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	1.3												1.3	14.3	(13.0)	-90.9%	
Transfers to Other Funds	-												-	-	-	0.0%	
Total Other Financing Sources (Uses)	1.3	-	-	-	-	-	-	-	-	-	-	-	1.3	14.3	(13.0)	-90.9%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	-	-	-	-	-	-	-	-	-	-	-	16.5	9.3	7.2	77.4%	
Ending Fund Balance	\$ (281.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (281.0)	\$ (293.4)	\$ 12.4	4.2%	

EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

	1 Month Ended April 30												\$ Increase/ (Decrease)	% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019 \$ (3.0)	\$ 1.9
Beginning Fund Balance	\$ (1.1)													
RECEIPTS:														
Miscellaneous Receipts	8.3												8.3	3.0
Total Receipts	8.3	-	-	-	-	-	-	-	-	-	-	-	8.3	3.0
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	8.3												8.3	3.0
Non-Personal Service	0.7												0.7	(0.4)
General State Charges	3.5												3.5	0.2
Total Disbursements	12.5	-	-	-	-	-	-	-	-	-	-	-	12.5	2.8
Excess (Deficiency) of Receipts over Disbursements	(4.2)	-	-	-	-	-	-	-	-	-	-	-	(4.2)	0.2
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	-												-	-
Transfers to Other Funds	-												-	-
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.2)	-	-	-	-	-	-	-	-	-	-	-	(4.2)	0.2
Ending Fund Balance	\$ (5.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (7.4)	\$ 2.1
														63.3%
														56.6%
														56.6%
														28.9%
														4.5%
														0.0%
														0.0%
														0.0%
														28.4%

EXHIBIT M

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF APRIL 2020
(amounts in millions)

	BALANCE APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2020
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.002	\$ 1,138.466	\$ 1,138.464	\$ -
10050-10099-State Operations Account	-	1,882.555	1,667.139	9,836.842	10,052.258
10100-10149-Tax Stabilization Reserve	1,257.763	-	-	(1,257.763)	-
10150-10199-Contingency Reserve	20.624	-	-	(20.624)	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	30.655	-	0.439	-	30.216
10300-10349-Rainy Day Reserve Fund	1,217.544	-	-	(1,217.544)	-
10400-10449-Refund Reserve Account	6,417.639	-	-	(6,417.639)	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	8,944.225	1,882.557	2,806.044	2,061.736	10,082.474
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.823	0.001	-	-	0.824
20100-20199-Combined Expendable Trust	70.029	0.714	0.676	-	70.067
20300-20349-New York Interest on Lawyer Account	102.535	5.205	0.156	-	107.584
20350-20399-NYS Archives Partnership Trust	0.004	-	0.040	-	(0.036)
20400-20449-Child Performer's Protection	0.050	0.004	0.028	-	0.026
20450-20499-Tuition Reimbursement	7.896	0.535	0.316	-	8.115
20500-20549-New York State Local Government Records Management Improvement	3.463	0.653	0.406	-	3.710
20550-20599-School Tax Relief	-	-	(0.002)	-	0.002
20600-20649-Charter Schools Stimulus	6.087	0.005	-	-	6.092
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HORA Resources	15.705	550.137	468.199	(1.878)	95.765
20850-20899-Dedicated Mass Transportation Trust	84.828	39.451	60.700	-	63.579
20900-20949-State Lottery	117.520	157.446	2.990	-	271.976
20950-20999-Combined Student Loan	18.433	2.172	0.068	-	20.537
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.308)	-	0.095	-	(3.403)
21050-21149-Encon Special Revenue	5.693	5.569	8.679	-	2.583
21150-21199-Conservation	83.267	2.715	3.233	-	82.749
21200-21249-Environmental Protection and Oil Spill Compensation	26.556	2.084	2.072	(0.505)	26.063
21250-21299-Training and Education Program on OSHA	1.630	19.005	4.458	-	16.177
21300-21349-Lawyers' Fund for Client Protection	11.432	0.392	0.069	-	11.755
21350-21399-Equipment Loan for the Disabled	0.543	0.001	-	-	0.544
21400-21449-Mass Transportation Operating Assistance	414.636	159.906	1.188	4.731	578.085
21450-21499-Clean Air	(33.300)	2.310	3.555	-	(34.545)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.234	0.128	0.039	-	12.323
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.467	-	-	-	0.467
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	0.982	0.002	-	-	0.984
22500-22549-Court Facilities Incentive Aid	1,377.696	199.295	265.017	38.672	1,350.646
	8.523	0.012	1.660	62.590	69.465

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF APRIL 2020
(amounts in millions)

	BALANCE APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2020
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.052	-	-	-	0.052
22650-22699-State University Income	1,488.855	445.088	664.548	132.603	1,401.998
22700-22749-Chemical Dependence Service	10.138	0.026	0.018	-	10.146
22750-22799-Lake George Park Trust	0.170	-	0.109	-	0.061
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	20.810	5.327	0.041	-	26.096
22850-22899-New York Great Lakes Protection	0.382	0.177	0.014	-	0.545
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.618	0.013	-	-	10.631
23000-23049-NYS/DOT Highway Safety Program	(14.330)	(0.019)	0.383	-	(14.732)
23050-23099-Vocational Rehabilitation	0.059	-	-	-	0.059
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(27.978)	-	3.268	2.799	(28.447)
23200-23249-Judiciary Data Processing Offset	53.467	2.500	3.731	-	52.236
23250-23299-IFR/CUTRA	204.315	4.553	5.882	-	202.986
23300-23349-USOC Lake Placid Training	0.299	0.009	-	-	0.308
23350-23399-Indigent Legal Services	451.789	12.696	19.781	-	444.704
23360-23399-Unemployment Insurance Interest and Penalty	31.952	0.260	0.333	-	31.879
233650-23699-MTA Financial Assistance Fund	114.802	0.131	-	12.500	127.433
23700-23749-New York State Commercial Gaming Fund	15.999	0.003	8.336	-	7.666
23750-23799-Medical Marihuana Trust Fund	11.935	0.540	0.413	(0.200)	11.862
23800-23899-Dedicated Miscellaneous State Special Revenue	2.856	0.182	0.041	-	2.997
24850-24899-Health Care Transformation	315.297	0.698	-	-	315.995
24900-24949-Charitable Gifts Trust Fund	95.645	0.125	-	-	95.770
24950-24999-Interactive Fantasy Sports	19.590	0.173	0.003	-	19.760
40350-40399-State University Dormitory Income	264.799	(19.642)	-	(25.857)	219.300
TOTAL SPECIAL REVENUE FUNDS-STATE	5,400.689	1,600.582	1,530.543	225.455	5,696.183
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(57.810)	117.506	152.124	-	(92.428)
25100-25199-Federal Health and Human Services	1,113.222	5,105.821	5,237.553	(307.223)	674.267
25200-25249-Federal Education	(15.228)	311.668	328.927	-	(32.487)
25300-25899-Federal Miscellaneous Operating Grants	(252.869)	5,217.658	103.290	-	4,861.499
25900-25949-Unemployment Insurance Administration	126.974	24.891	33.926	-	117.939
25950-25999-Unemployment Insurance Occupational Training	(0.514)	0.425	0.281	-	(0.370)
26000-26049-Federal Employment and Training Grants	(2.408)	14.699	12.945	-	(0.654)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	911.367	10,792.668	5,869.046	(307.223)	5,527.766
TOTAL SPECIAL REVENUE FUNDS	6,312.056	12,393.250	7,399.589	(81.768)	11,223.949
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	31.085	25.783	-	161.044	217.912
40150-40199-General Debt Service	-	1,230.261	36.474	(1,064.250)	129.537
40250-40299-State Housing Debt Service	-	-	-	-	-
40300-40349-Department of Health Income	32.303	21.543	-	(8.368)	45.478
40400-40449-Clean Water/Clean Air	-	57.238	-	(57.238)	-
40450-40499-Local Government Assistance Tax	-	197.076	-	(197.076)	-
TOTAL DEBT SERVICE FUNDS	63.388	1,531.901	36.474	(1,165.888)	392.927

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF APRIL 2020
(amounts in millions)

	BALANCE APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2020
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,063.306	262.988	(800.318)	-
30050-30099-Dedicated Highway and Bridge Trust	(33.475)	151.522	158.787	(10.721)	(51.461)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	148.838	0.190	2.289	-	146.739
30300-30349-New York State Canal System Development	14.059	0.018	-	-	14.077
30350-30399-Parks Infrastructure	(43.951)	-	13.864	-	(57.815)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	72.684	1.233	10.064	-	63.853
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.329	-	-	-	17.329
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(562.692)	85.763	79.675	-	(556.604)
31450-31499-Forest Preserve Expansion	1.079	0.001	-	-	1.080
31500-31549-Hazardous Waste Remedial	(80.472)	1.674	4.943	(1.463)	(85.204)
31650-31699-Suburban Transportation	0.538	0.001	-	-	0.539
31700-31749-Division for Youth Facilities Improvement	(21.235)	-	0.804	-	(22.039)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(216.259)	-	-	-	(216.259)
31900-31949-Natural Resource Damage	17.114	0.022	0.053	-	17.083
31950-31999-DOT Engineering Services	(11.969)	-	-	-	(11.969)
32200-32249-Miscellaneous Capital Projects	114.774	-	2.640	0.371	120.516
32250-32299-CUNY Capital Projects	0.017	8.011	-	-	0.021
32300-32349-Mental Hygiene Facilities Capital Improvement	(355.825)	38.519	44.018	-	(361.324)
32350-32399-Correction Facilities Capital Improvement	(315.985)	-	31.434	-	(347.419)
32400-32999-State University Capital Projects	176.989	0.229	4.202	(5.297)	167.719
33000-33049-NYS Storm Recovery Fund	(49.662)	0.001	0.037	-	(49.698)
33050-33099-Dedicated Infrastructure Investment Fund	86.513	-	37.387	-	49.126
TOTAL CAPITAL PROJECTS FUNDS	(1,034.927)	1,350.494	653.185	(817.428)	(1,155.046)
TOTAL GOVERNMENTAL FUNDS	\$ 14,284.742	\$ 17,158.202	\$ 10,895.292	\$ (3.348)	\$ 20,544.304

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF APRIL 2020
 (amounts in millions)

FUND TYPE	BALANCE APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2020
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.104	\$ -	\$ 0.003	\$ -	\$ 0.101
50050-50099-State Exposition Special	1.586	0.001	0.722	-	0.865
50100-50299-Correctional Services Commissary	3.757	3.684	3.018	-	4.423
50300-50399-Agencies Enterprise	7.649	0.438	1.360	-	6.727
50400-50449-Sheltered Workshop	2.220	0.012	0.005	-	2.227
50450-50499-Patient Workshop	1.922	0.008	0.045	-	1.885
50500-50599-Mental Hygiene Community Stores	4.947	0.062	0.044	-	4.965
50650-50699-Unemployment Insurance	7.492	4,407.977	4,390.729	-	24,740
TOTAL ENTERPRISE FUNDS	29.677	4,412.182	4,395.926	-	45.933
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(111.872)	20.381	16.562	0.447	(107.606)
55050-55099-Agency Internal Service	(111.988)	2.103	(16.330)	1.015	(92.540)
55100-55149-Mental Hygiene Revolving	(0.002)	0.015	0.070	-	(0.057)
55150-55199-Youth Vocational Education	0.084	-	0.008	-	0.076
55200-55249-Joint Labor and Management Administration	0.598	0.001	0.097	-	0.502
55250-55299-Audit and Control Revolving	(37.537)	-	2.401	(0.097)	(40.035)
55300-55349-Health Insurance Revolving	(14.264)	0.025	1.610	-	(15.849)
55350-55399-Correctional Industries Revolving	(22.542)	2.717	5.593	(0.082)	(25.500)
TOTAL INTERNAL SERVICE FUNDS	(297.523)	25.242	10.011	1.283	(281.009)
TOTAL PROPRIETARY FUNDS	\$ (267.846)	\$ 4,437.424	\$ 4,405.937	\$ 1.283	\$ (235.076)

STATE OF NEW YORK						SCHEDULE 3	
FIDUCIARY FUNDS							
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES							
FISCAL YEAR 2020-2021							
FOR THE MONTH OF APRIL 2020							
(amounts in millions)							
FUND TYPE							
PENSION TRUST FUNDS							
65000-65049-Common Retirement Administration							
	\$	(1.145)	\$	8.300	\$	12.523	\$ (5.368)
TOTAL PENSION TRUST FUNDS		(1.145)		8.300		12.523	(5.368)
PRIVATE PURPOSE TRUST FUNDS							
66000-66049-Agriculture Producers' Security		2.974		0.123		0.022	3.075
66050-66099-Milk Producers' Security		11.319		0.070		0.025	11.364
TOTAL PRIVATE PURPOSE TRUST FUNDS		14.293		0.193		0.047	14.439
AGENCY FUNDS							
60050-60149-School Capital Facilities Financing Reserve		24.755		(6.045)		-	18.710
60150-60199-Child Performer's Holding		0.531		0.004		-	0.535
60200-60249-Employees Health Insurance		970.957		856.209		-	853.684
60250-60299-Social Security Contribution		15.061		136.297		-	15.063
60300-60399-Employee Payroll Withholding		(1.010)		493.393		-	67.684
60400-60449-Employees Dental Insurance		18.542		11.259		-	23.968
60450-60499-Management Confidential Group Insurance		0.378		1.097		-	0.764
60500-60549-Lottery Prize		497.675		103.443		-	574.026
60550-60599-Health Insurance Reserve Receipts		0.145		-		-	0.145
60600-60799-Miscellaneous New York State Agency		925.759		416.014		-	906.713
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow		30.902		1.678		-	27.163
60850-60899-CUNY Senior College Operating		32.762		191.090		-	28.150
60900-60949-Medicaid Management Information System (MMIS) Escrow		1,456.406		7,289.570		2.065	1,951.603
60950-60999-Special Education		-		-		-	-
61000-61099-State University of New York Revenue Collection		55.132		68.371		-	123.503
61100-61999-State University Federal Direct Lending Program		(0.712)		4.593		-	(0.963)
62000-62049-SSI SSP Payment Escrow		-		-		-	-
TOTAL AGENCY FUNDS		4,027.293		9,566.973		2.065	4,590.748
TOTAL FIDUCIARY FUNDS		\$ 4,040.441		\$ 9,575.466		\$ 2.065	\$ 4,599.819

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF APRIL 2020
 (amounts in millions)

ACCOUNTS	FUND TYPE	BALANCE APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	BALANCE APRIL 30, 2020
70000-70049-Tobacco Settlement		\$ 2.880	\$ 0.004	\$ -	\$ 2.884
70093, 70095, 70300-70301-MTA State Assistance		208.012	168.563	199.563	177.012
70050-70149-Sole Custody Investment (*)		2,547.748	2,911.011	3,418.559	2,040.200
70200-Comptroller's Refund Account		-	68.736	68.736	-
TOTAL ACCOUNTS		\$ 2,758.640	\$ 3,148.314	\$ 3,686.858	\$ 2,220.096

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of April 30, 2020, \$9,527,290.39 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021**

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED (*)		DEBT MATURED		DEBT OUTSTANDING APRIL 30, 2020	INTEREST DISBURSED	
		MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2020	MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2020		MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ 720,219	\$ 720,219	\$ 10,725,244	\$ 100,151	\$ 100,151
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	-	1,795,354	-	-
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	10,184,660	10,184,660	288,410,831	1,387,889	1,387,889
Solid Waste	16,287,590	-	-	1,659,267	1,659,267	14,628,323	65,062	65,062
Environmental Restoration	40,070,447	-	-	160,000	160,000	39,910,447	12,375	12,375
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	1,198,754	-	-	116,298	116,298	1,082,456	25,458	25,458
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	-
Land and Wetlands	4,939,861	-	-	25,000	25,000	4,914,861	1,875	1,875
Water	6,370,803	-	-	715,000	715,000	5,655,803	60,750	60,750
Environmental Quality (1986): Land Acquisition/Development/Restoration/Forests Solid Waste Management	5,309,545 91,992,747	-	-	486,025 7,260,923	486,025 7,260,923	4,823,520 84,731,824	23,721 848,020	23,721 848,020
Housing: Low Income Middle Income	5,840,000 4,035,000	-	-	-	-	5,840,000 4,035,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	946,959	946,959	14,551,370	131,202	131,202
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation: Highway Facilities Canals and Waterways Aviation Rail and Port Mass Transit - Dept. of Transportation Mass Transit - Metropolitan Transportation Authority	600,658,226 9,419,680 41,089,448 92,824,245 12,168,734 705,163,311	-	-	-	-	600,658,226 9,419,680 41,089,448 92,824,245 12,168,734 705,163,311	-	-
Rebuild New York-Transportation Infrastructure Renewal: Highways, Parkways, and Bridges Rapid Transit, Rail and Aviation	553,992 2,042,563	-	-	479,171	479,171	553,992 1,563,392	49,370	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	-
Transportation Capital Facilities: Aviation Mass Transportation	2,090,099 -	-	-	246,478	246,478	1,843,621	46,824	46,824
Total General Obligation Bonded Debt	\$ 2,130,699,999	\$ -	\$ -	\$ 23,000,000	\$ 23,000,000	\$ 2,107,699,999	\$ 2,752,697	\$ 2,752,697

STATE OF NEW YORK DEBT SERVICE FUNDS FINANCING AGREEMENTS FOR THE ONE MONTH ENDED APRIL 30, 2020										SCHEDULE 5a
	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								1 MONTH ENDED APRIL 30 2020	2019	
Special Contractual Financing Obligations:	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Payments to Public Authorities:										
City University Construction	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	(3)
DASNY Revenue Bond	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	199,423	(199,423)
Thruway Authority:										
Dedicated Highway and Bridge	-	10,704,635	-	-	-	-	-	10,704,635	45,069,983	(34,365,348)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	-	-
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 10,704,635	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,704,635	\$ 45,269,409	\$ (34,564,774)

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF APRIL 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF APRIL 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 22,580.6	\$ 22,580.6	\$ 19,702.7
AVERAGE YIELD (**)	1.037%	1.037%	2.495%
TOTAL INVESTMENT EARNINGS	\$ 17,788	\$ 17,788	\$ 39,411

Month-End Portfolio Balances

DESCRIPTION	APRIL 2020 PAR AMOUNT	APRIL 2019 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 9,103.9	\$ 3,324.0
REPURCHASE AGREEMENTS	20.9	30.1
GOVT. SPONSORED AGENCIES	1,599.0	-
COMMERCIAL PAPER	13,558.7	17,295.9
CERTIFICATES OF DEPOSIT/SAVINGS	2,941.1	2,955.3
0% COMPENSATING BALANCE CDs	48.0	168.0
	\$ 27,271.6	\$ 23,773.3

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30, 2020
OPENING CASH BALANCE	\$ 15,704,540												\$ 15,704,540
RECEIPTS:													
Cigarette Tax	68,786,104												68,786,104
State Share of NYC Cigarette Tax	2,190,000												2,190,000
State Share of NYC Cigarette Tax	382,848												382,848
STIP Interest	-												-
Public Asset Transfers	478,443,458												478,443,458
Assessments	327,000												327,000
Fees	12,000												12,000
Rebates	-												-
Restitution and Settlements	-												-
Miscellaneous	-												-
Total Receipts	550,137,287												550,137,287
DISBURSEMENTS:													
Grants	466,021,724												466,021,724
Interest - Late Payments	36												36
Personal Service	1,508,162												1,508,162
Non-Program Service	812,447												812,447
Employee Benefits/Indirect Costs	-												-
Total Disbursements	468,199,325												468,199,325
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-												-
Transfers to General Fund	-												-
Transfers to Revenue Bonds Tax Fund	-												-
Transfers to Revenue Bonds Tax Fund	-												-
Transfers to Medicaid Special Revenue Fund:													
Administration Program Account	989,254												989,254
Empire State Stem Cell Trust Account	-												-
Transfers to SUNY Income Fund	888,590												888,590
Total Operating Transfers	1,877,844												1,877,844
Total Disbursements and Transfers	470,077,169												470,077,169
CLOSING CASH BALANCE	\$ 95,764,658												\$ 95,764,658

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2020-21

Program/Purpose	Appropriation Amount (*)	April	1 Month Ended April 30th, 2020 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 289,616.42	\$ 289,616.42
CENTER FOR COMMUNITY HLTH	8,752,000.00	289,616.42	289,616.42
CHILD HEALTH INSURANCE PROGRAM	2,134,768,000.00	25,614,253.23	25,614,253.23
CHILD HEALTH INSURANCE	2,134,768,000.00	25,614,253.23	25,614,253.23
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	384,850,000.00	3,692,200.26	3,692,200.26
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	3,692,200.26	3,692,200.26
HEALTH CARE REFORM ACT PROGRAM	1,488,975,059.03	84,171.73	84,171.73
AIDS DRUG ASSISTANCE	123,150,000.00	-	-
AMBULATORY CARE TRAINING	3,600,000.00	-	-
AREA HEALTH EDUCATION CENTER	3,324,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	22,283.06	22,283.06
DIVERSITY IN MEDICINE	4,482,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	-
INFERTILITY SERVICES GRANTS	5,733,000.00	-	-
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	369,900,000.00	-	-
PHYSICIAN LOAN REPAYMENT	27,195,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
POISON CONTROL CENTERS	6,320,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	-	-
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	61,888.67	61,888.67
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,631,301,000.00	437,704,716.23	437,704,716.23
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	62,704,716.23	62,704,716.23
MEDICAL ASSISTANCE	22,349,101,000.00	375,000,000.00	375,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	102,431,000.00	1,069,679.34	1,069,679.34
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	1,069,679.34	1,069,679.34
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	68,317,000.00	636,527.35	636,527.35
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	636,527.35	636,527.35
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
TOTAL	32,832,015,859.03	469,091,164.56	469,091,164.56
Reclass of SUNY Hospital Disprop Share to Transfer	-	(888,590.12)	(888,590.12)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	(3,249.28)	(3,249.28)
TOTAL REPORTED AMOUNT	\$ 32,832,015,859.03	\$ 468,199,325.16	\$ 468,199,325.16

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(****) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

	2020 APRIL	2020-21
OPENING CASH BALANCE	\$ 350,947,309.06	\$ 350,947,309.06
RECEIPTS:		
Patient Services	239,677,016.17	239,677,016.17
Covered Lives	53,847,873.25	53,847,873.25
Provider Assessments	8,662,225.91	8,662,225.91
1% Assessments	33,434,667.00	33,434,667.00
DASNY - MOE/Recast receivables	-	-
Interest Income	4,495.94	4,495.94
Unassigned	68,512,005.99	68,512,005.99
Total Receipts	404,138,284.26	404,138,284.26
PROGRAM DISBURSEMENTS:		
Poison Control Centers	-	-
School Based Health Center Grants	-	-
ECRIP Distributions	-	-
Total Program Disbursements	-	-
Excess (Deficiency) of Receipts over Disbursements	404,138,284.26	404,138,284.26
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	4,646,152.00	4,646,152.00
Transfers From State Funds:		
HCRA Resources Fund	-	-
Total Other Financing Sources	4,646,152.00	4,646,152.00
Transfers To Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund	(478,442,788.95)	(478,442,788.95)
Indigent Care Fund - Matched	-	-
Indigent Care Fund - Unmatched	-	-
Total Other Financing Uses	(478,442,788.95)	(478,442,788.95)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(69,658,352.69)	(69,658,352.69)
CLOSING CASH BALANCE	\$ 281,288,956.37	\$ 281,288,956.37

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

	2020 APRIL	2020-21
OPENING CASH BALANCE	\$ 668.63	\$ 668.63
RECEIPTS:		
Interest Income	169.03	169.03
Total Receipts	<u>169.03</u>	<u>169.03</u>
PROGRAM DISBURSEMENTS:		
Indigent Care	(62,876,555.04)	(62,876,555.04)
High Need Indigent Care	-	-
Other	234,299.29	234,299.29
Total Program Disbursements	<u>(62,642,255.75)</u>	<u>(62,642,255.75)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(62,642,086.72)</u>	<u>(62,642,086.72)</u>
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers From State Funds:		
HCRA Resources Indigent Care - Matched	31,438,277.52	31,438,277.52
HCRA Resources Indigent Care - Unmatched	(233,561.29)	(233,561.29)
HCRA Resources Indigent Care - ATB	-	-
Federal DHHS Fund	31,438,277.52	31,438,277.52
Other	-	-
Total Other Financing Sources	<u>62,642,993.75</u>	<u>62,642,993.75</u>
Transfers To Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund Indigent Care Acct	(1,406.63)	(1,406.63)
Total Other Financing Uses	<u>(1,406.63)</u>	<u>(1,406.63)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(499.60)</u>	<u>(499.60)</u>
CLOSING CASH BALANCE	\$ 169.03	\$ 169.03

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -												\$ -
Education - EXCEL	427												427
Department of Health - All Other	(1)												(1)
Community Enhancement Facilities Assistance Program (CEFAP)	-												-
Regional Development													
Community Capital Assistance Program (CCAP)/RESTORE	525												525
Multi-modal	-												-
GentNYS	-												-
CUNY Senior Colleges	24,128												24,128
CUNY Community Colleges	4,766												4,766
Brooklyn Court Officer Training Academy	26												26
TOTAL DORMITORY AUTHORITY	29,871	-	-	-	-	-	-	-	-	-	-	-	29,871
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development													
Centers of Excellence	-												-
Community Capital Assistance Program (CCAP)	-												-
Empire Opportunity	-												-
Community Enhancement Facilities Assistance Program (CEFAP)	-												-
State Facilities and Equipment	-												-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 29,871	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 29,871

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2020	February 29, 2020	March 31, 2020	Change	April 30, 2020
	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
10050						(***)
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	101,356,877.40	37,142,927.36	65,800,227.45	31,966,630.79	97,766,858.24
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D38RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	116,987.84	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	-	-	-	-	-
30501	CWICA IMPLEMENTATION DEC	18,832,336.55	33,696,298.19	43,951,035.32	13,864,382.69	57,815,418.01
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	105,066,298.92	109,780,460.28	116,951,090.21	4,517,947.12	121,469,037.33
31701	YOUTH FACILITIES IMPROVEMENT	18,445,349.22	20,065,381.50	21,234,504.85	21,234,504.85	22,038,991.82
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	804,486.97	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	-	11,601,171.55	35,519,992.10	-	35,519,992.10
31852	HOUSING PROG FD-AFFORD HSG CORP	62,246,340.15	52,744,957.74	54,496,219.74	-	54,496,219.74
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	151,408,911.98	111,678,379.93	126,535,379.93	-	126,535,379.93
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,969,463.99	11,969,463.99	11,969,463.99	-	11,969,463.99

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2020	February 29, 2020	March 31, 2020	Change	April 30, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	594,984.35	1,567,485.41	712,729.64	372,672.10	1,085,401.74
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	128,573,474.09	125,826,369.55	114,555,741.54	2,382,666.38	116,938,407.92
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	182,694,881.12	187,446,381.12	185,174,767.22	(8,513,940.76)	176,660,826.46
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	2,891,378.39	4,005,578.39	4,005,578.39	-	4,005,578.39
32308	DASNY - OASAS ADMIN	883,591.20	883,591.20	883,591.20	-	883,591.20
32309	OMH - STATE FACILITIES	67,717,251.42	73,421,725.22	54,173,438.31	6,635,242.26	60,808,680.57
32310	OPWDD - STATE FACILITIES	7,021,897.38	11,021,897.38	11,021,897.38	3,500,000.00	14,521,897.38
32311	OASAS - STATE FACILITIES	119,787.38	119,787.38	119,787.38	1,500,000.00	1,619,787.38
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	340,430,808.72	375,963,356.20	315,985,068.12	31,433,919.40	347,418,987.52
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	50,482,394.66	47,969,060.20	49,661,762.50	35,358.60	49,697,121.10
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,263,958,731.92	1,229,989,988.65	1,225,847,997.33	88,489,585.55	1,314,347,557.88
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	146,015,200.01	33,645,558.65	-	23,252,615.40	23,252,615.40
20818	EPIC PREMIUM ACCOUNT	8,404,887.74	-	-	1,091,524.07	1,091,524.07
20901	LOTTERY-EDUCATION	687,698,197.24	507,696,125.07	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	4,055,490.95	4,119,954.43	3,308,151.25	94,869.17	3,403,020.42
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	3,310,799.99	1,672,099.99	-	1,672,099.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,513,420.31	-	-	545,960.20	545,960.20
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,288,525.68	4,048,057.01	4,262,701.06	226,172.83	4,488,873.89
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	54,736,966.36	55,561,681.90	55,241,059.13	1,711,378.49	56,952,437.62
21082	NATURAL RESOURCES ACCOUNT	13,612,567.52	13,721,671.85	14,061,571.93	407,806.62	14,469,178.55
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	4,541.48	6,781.28	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	4,278,535.34	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	249,617,851.74	132,616,107.59	31,064,947.07	739,729.27	31,804,676.34
21451	OPERATING PERMIT PROGRAM	29,684,305.95	30,389,579.15	2,235,197.82	504,989.99	2,740,187.81
21452	MOBILE SOURCE	1,952,029.98	1,781,901.39	-	-	-
21902	HEALTH-SPARC'S	6,674,357.69	6,706,917.23	10,933,559.94	(3,041,765.42)	7,891,794.52
21905	THRUWAY AUTHORITY ACCT	-	-	-	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	949,013.99	1,148,338.60	1,335,184.38	(1,090,595.29)	244,589.09
21912	RACING REGULATION ACCOUNT	2,111,712.85	2,624,081.74	2,693,505.28	(27,314.14)	2,666,191.14
21937	SU DORM INCOME REIMBURSE	196,416.20	382,157.03	-	676,884.75	676,884.75
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING MANAGEMENT AND EVALUATION ACCOUNT	443,090.56	476,704.53	408,266.90	70,907.03	479,173.93
21962	CLINICAL LAB FEE	9,824,252.13	10,390,513.57	9,339,668.85	1,966,833.33	11,306,500.18
21978	INDIRECT COST RECOVERY	-	324,002.82	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	-	-	-	1,320.60	1,320.60
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	15,294,564.95	16,199,657.39	8,613,997.26	673,380.17	9,287,377.43
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2020	February 29, 2020	March 31, 2020	Change	April 30, 2020
22039	FINANCIAL OVERSIGHT	1,228,822.38	1,502,379.10	1,783,948.96	(1,422,232.65)	361,716.31
22046	REGULATION INDIAN GAMING	87,928,101.56	88,805,936.35	89,923,974.30	1,466,888.85	91,390,863.15
22053	ROME SCHOOL FOR THE DEAF	6,911,998.05	7,509,287.68	3,364,795.49	534,734.40	3,899,529.89
22054	DSP-SEIZED ASSETS	1,595,189.30	1,540,135.32	1,509,970.95	(57,176.61)	1,452,794.34
22055	ADMINISTRATIVE ADJUDICATION	19,334,200.03	22,579,568.61	24,341,032.54	(3,146,722.62)	21,194,309.92
22056	FEDERAL SALARY SHARING	1,622,403.61	1,843,648.95	-	157,464.11	157,464.11
22062	NYC ASSESSMENT ACCT	6,241,592.54	4,838,271.78	4,173,497.81	1,290,285.24	5,463,783.05
22078	CULTURAL EDUCATION ACCOUNT	16,085,282.10	16,217,304.36	15,471,808.02	231,562.60	15,703,370.62
22085	LOCAL SERVICE ACCOUNT	7,514,507.15	7,788,873.86	6,004,755.07	674,240.14	6,678,995.21
22090	DHCR MORTGAGE SERVICES	-	-	-	-	-
22100	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22130	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-
22135	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22144	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22151	MONTROSE VETERAN'S HOME	-	-	-	-	-
22156	DEFERRED COMPENSATION ADMIN	188,949.67	68,311.50	120,773.86	67,533.15	188,307.01
22158	RENT REVENUE OTHER - NYC	-	-	-	-	-
22158	RENT REVENUE	-	-	-	-	-
22188	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYC MEDICAL INDEMNITY FUND ACCOUNT	349,203.84	432,650.19	529,329.61	127,524.35	656,853.96
22654	S.U. NON-RESIDENT REV. OFFSET	20,555,112.18	20,584,135.61	20,610,214.70	26,434.64	20,636,649.34
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	14,852,458.31	14,373,503.44	14,330,277.93	401,980.49	14,732,258.42
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	55,941,074.32	58,442,602.32	27,978,018.76	469,404.44	28,447,423.20
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	17,270,317.05	17,664,647.74	18,017,394.56	399,546.23	18,416,940.79
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYCS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	3,015.01	2,765.78	5,780.79
	TOTAL STATE SPECIAL REVENUE FUNDS	1,482,679,754.92	1,098,971,333.07	378,683,666.13	29,028,728.61	407,712,395.74
25000-25099	FEDERAL FUNDS					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	10,840,969.80	15,803,929.59	59,981,547.76	35,351,856.33	95,333,404.09
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	481,027,379.27	138,635,981.76	2,763,923,094.72	(741,999,198.00)	2,021,923,896.72
25250-25299	FEDERAL EDUCATION GRANTS FUND	77,236,262.54	41,365,362.44	18,951,886.00	19,163,487.22	38,115,373.22
25300-25399	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25399	FEDERAL OPERATING GRANTS FUND	419,720,914.28	448,773,504.79	451,614,036.39	21,933,421.83	473,547,458.22
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	382,515,866.87	482,180,255.04	479,651,782.98	(6,105,905.19)	473,545,877.79
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	103,766,767.21	106,207,753.96	104,148,366.28	17,706.56	104,166,066.82
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	11,204,557.99	10,894,570.87	8,093,858.87	8,287,647.55	16,391,506.42
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	445,238.99	394,014.99	594,280.99	(237,697.49)	356,583.50
26001-26049	DOL EMPLOYMENT AND TRAINING FUNDS	1,369,253.20	7,234,549.24	2,408,133.05	(1,753,670.04)	654,463.01
	TOTAL FEDERAL FUNDS	1,496,881,142.81	1,260,213,835.04	3,898,120,941.68	(665,332,349.23)	3,232,788,592.45
60201	AGENCY FUNDS	-	-	-	-	-
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
50318	ENTERPRISE FUND	347,191.44	381,123.91	221,849.53	194,788.17	416,637.70
50327	OGS CONVENTION CENTER ACCOUNT	306,381.38	297,784.79	193,628.05	(7,528.36)	186,099.69
	TOTAL ENTERPRISE FUND	653,572.82	678,908.70	415,477.58	187,259.81	602,737.39
55001	INTERNAL SERVICE FUNDS	-	-	-	-	-
55002	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55003	CENTRALIZED SERVICES-DATA PROCESSING	1,219,006.10	1,287,490.42	1,379,068.29	64,321.38	1,443,389.67
55004	CENTRALIZED SERVICES-PRINTING	-	-	-	-	-
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	132,128.31	(132,128.31)	-
55006	CENTRALIZED SERVICES-DONATED FOODS	3,710,936.11	3,954,989.22	4,465,004.08	(473,055.68)	3,991,948.40
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	456,186.08	8,967,706.36	12,143,321.76	1,170,774.20	13,314,095.96
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	-	-	-	-	-
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	13,638,101.92	16,734,912.11	21,793,727.51	(5,324,821.63)	16,468,905.88
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	627,284.42	-	2,841,070.34	348,087.13	3,189,157.47
55011	CENTRALIZED SERVICES-INSURANCE	191,527.80	235,265.80	233,445.30	(2,262.00)	231,183.30
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	-	-	-	-
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2020	February 29, 2020	March 31, 2020	Change	April 30, 2020
55016	CENTRALIZED SERVICES-IMMICS	1,237,731.03	1,282,801.41	1,548,891.08	55,549.38	1,604,440.46
55017	DOWNSTATE WAREHOUSE	458,480.02	576,428.86	517,219.05	28,975.90	546,194.95
55018	BUILDING ADMINISTRATION	2,505,794.55	2,743,467.78	4,234,390.95	(3,634,623.20)	599,767.75
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	59,295,302.16	53,448,438.65	55,515,435.18	1,488,517.71	57,003,952.89
55021	NYS MEDIA CENTER	7,509,318.18	7,746,156.33	7,237,402.82	407,542.02	7,644,944.84
55022	BUSINESS SERVICES CENTER	22,237,794.95	24,357,023.76	26,915,898.76	3,411,033.06	30,326,931.82
55052	ARCHIVES RECORD MGMT I.S.	-	-	103,251.56	(103,251.56)	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	22,148.00	207,692.60	-	213,133.60	213,133.60
55058	CULTURAL RESOURCE SURVEY	2,371,227.91	2,012,005.44	2,379,253.82	217,655.60	2,596,909.42
55059	NEIGHBOR WORK PROJECT	11,300,567.74	11,176,504.94	11,223,387.17	480,329.99	11,703,717.16
55060	AUTOMATIC/PRINT CHARGBACKS	2,323,977.62	-	-	1,523,672.29	1,523,672.29
55061	OFF NYT ACCT	2,240,424.54	2,236,677.51	2,229,110.71	(598,744.57)	1,630,366.14
55062	DATA CENTER ACCOUNT	40,374,377.45	40,374,377.45	41,893,207.51	-	41,893,207.51
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	102,200.65	103,714.04	49,811.96	43,511.08	93,323.04
55069	CENTRALIZED TECHNOLOGY SERVICES	50,620,780.06	70,706,182.42	67,479,142.96	(24,024,761.48)	43,454,381.48
55071	LABOR CONTACT CENTER ACCT	408,738.30	-	24,533.09	191,628.81	216,161.90
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,414,190.08	-	-	-	-
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	12,297,199.10	11,861,156.47	8,769,166.48	279,234.50	9,048,400.98
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	20,648,070.56	24,062,774.37	28,767,480.09	2,219,189.23	30,986,669.32
55250	HEALTH INSURANCE INTERNAL SERVICE	9,074,851.27	9,602,357.74	10,488,312.42	1,160,031.84	11,648,344.26
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,604,672.50	1,714,421.78	3,775,947.06	424,957.29	4,200,904.35
55350	CORR INDUSTRIES INTERNAL SERVICE	36,953,626.60	39,359,048.73	22,542,328.99	2,957,784.72	25,500,113.71
	TOTAL INTERNAL SERVICE FUNDS	306,106,109.97	336,013,178.46	339,943,521.52	(17,607,718.70)	322,335,802.82
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,550,279,312.24	\$ 3,925,877,244.92	\$ 5,843,011,599.24	\$ (565,224,712.96)	\$ 5,277,786,886.28

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(*** Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30, 2020
OPENING CASH BALANCE	\$ 86,513,214												\$ 86,513,214
RECEIPTS:													
Transfers from General Fund (**)	-												-
Other	-												-
Total Receipts	-												-
DISBURSEMENTS:													
Affordable and Homeless Housing	-												-
Broadband Initiative	1,735,855												1,735,855
Downtown Revitalization	-												-
Empire State Poverty Reduction Initiatives	2,457,343												2,457,343
Health Care / Hospital Initiatives	2,586,638												2,586,638
Information Technology/Infrastructure for Behavioral Sciences	-												-
Infrastructure Improvements	-												-
Life Sciences Initiative	-												-
Municipal Restructuring / Consolidation Competition	2,500,000												2,500,000
Penn Station Access	3,054,840												3,054,840
Resiliency, Mitigation, Security and Emergency Response	-												-
Southern Tier / Hudson Valley Farm Initiative	-												-
Thruway Stabilization Program	-												-
Transformative Economic Development Projects	10,440,876												10,440,876
Transportation Capital Plan	-												-
Update Revitalization Program	14,611,179												14,611,179
Total Disbursements	37,386,731												37,386,731
OPERATING TRANSFERS:													
Transfers to General Fund	-												-
Total Operating Transfers	-												-
Total Disbursements and Transfers	37,386,731												37,386,731
CLOSING CASH BALANCE	\$ 48,126,483	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 48,126,483

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b
(**) Pursuant to Section 93(b) of the State Finance Law

APPENDIX H

⁷ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.
⁸ Source: Statewide Financial System

STATE OF NEW YORK

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)

FISCAL YEAR 2020-2021

APPENDIX I

	APRIL 2020			1 MONTH ENDED APRIL 30		
	<u>Department of Health</u>	<u>Other State Agencies</u>	<u>April</u>	<u>Department of Health</u>	<u>Other State Agencies</u>	<u>Year to Date</u>
	\$	5,653,332.16	\$	5,653,332.16	\$	5,653,332.16
Medical Assistance & Survey Certification Program		-	\$		-	\$
Medical Assistance Administration		132,774.00	132,774.00		132,774.00	132,774.00
Partnership Plan		9,044,276.75	9,044,276.75		9,044,276.75	9,044,276.75
Inpatient Services		313,139,774.13	313,139,774.13		313,139,774.13	313,139,774.13
Outpatient & Emergency Room Services		41,079,270.72	41,079,270.72		41,079,270.72	41,079,270.72
Clinic Services		57,228,522.68	57,228,522.68		57,228,522.68	57,228,522.68
Nursing Home Services		126,831,521.91	126,831,521.91		126,831,521.91	126,831,521.91
Other Long Term Care Services		1,611,065,416.36	1,611,065,416.36		1,611,065,416.36	1,611,065,416.36
Managed Care Services		1,590,219,095.31	1,590,219,095.31		1,590,219,095.31	1,590,219,095.31
Pharmacy Services		39,425,065.47	39,425,065.47		39,425,065.47	39,425,065.47
Transportation Services		52,923,248.17	52,923,248.17		52,923,248.17	52,923,248.17
Dental Services		1,174,162.52	1,174,162.52		1,174,162.52	1,174,162.52
Non-Institutional & Other		24,052,072.60	24,052,072.60		24,052,072.60	24,052,072.60
Medical Services State Facilities		251,231,802.20	251,231,802.20		251,231,802.20	251,231,802.20
Additional DSH Payments SUNY		-	-		-	-
TOTAL ^(*)	4,123,067,560.98	132,774.00	4,123,200,334.98	4,123,067,560.98	132,774.00	4,123,200,334.98
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.						
	529,355,871.67	-	529,355,871.67	529,355,871.67	-	529,355,871.67
TOTAL REPORTED MEDICAID ^(**)	4,652,423,432.65	132,774.00	4,652,556,206.65	4,652,423,432.65	132,774.00	4,652,556,206.65

^(*) Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

^(**) Source: Statewide Financial System^(***) Reported Medicaid spending does not include the Basic Health Plan.

